



Gyrofluid and gyrokinetic approaches for multi-scale turbulence simulation in tokamak plasmas

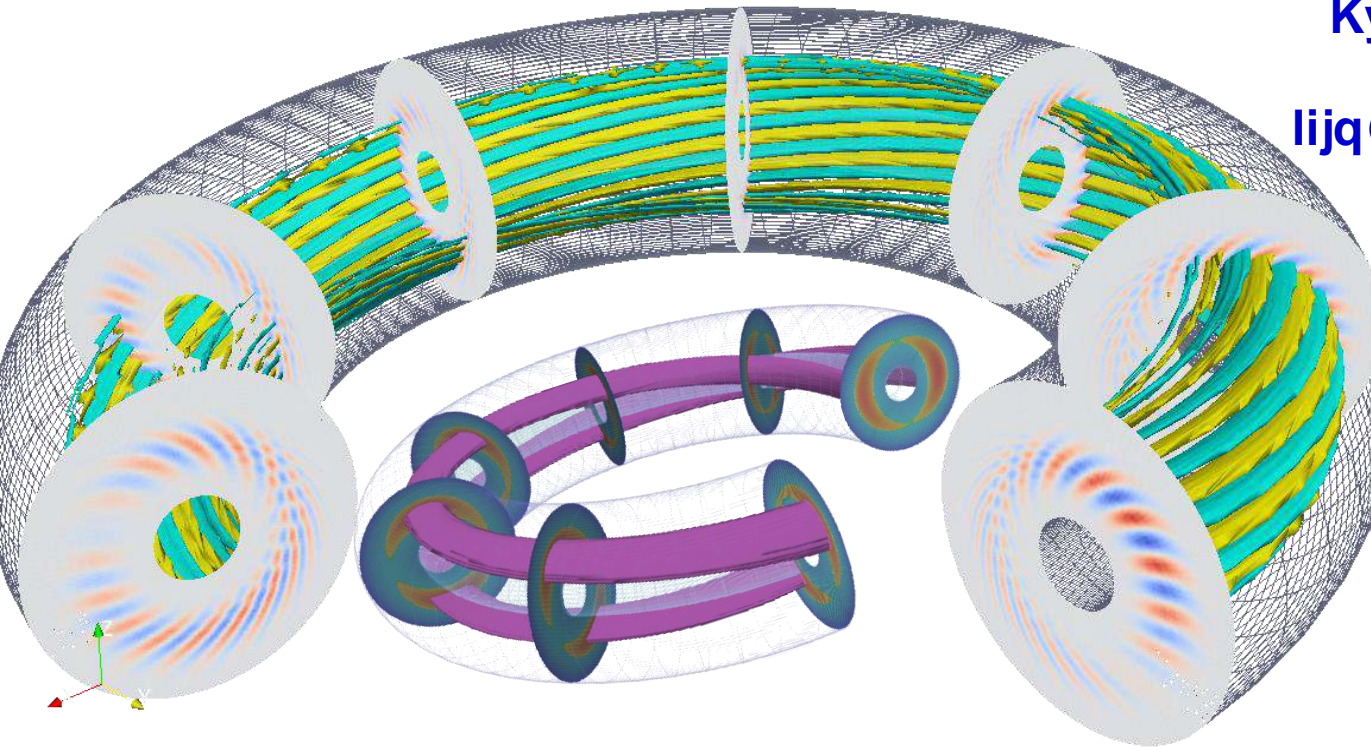
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**Acknowledgement
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Outline

- **Overview of multi-scale phenomena in MCF plasmas**
 - ✓ Origin of multi-scale turbulence
 - ✓ Status of multi-scale turbulence simulation

- **Gyrofluid approach simulation for multi-scale turbulence**
 - ✓ Gyrofluid model
 - ✓ Multi-scale interaction between MHD and micro-turbulence
 - Magnetic island response to micro-turbulence
 - Micro-turbulence response to MHD island dynamics

- **Gyrokinetic approach simulation for multi-scale turbulence**
 - ✓ Gyrokinetic model
 - ✓ Full- f gyrokinetic Vlasov code—GKNET
 - ✓ GK ITG instability with an island
 - ✓ Flux-driven GK turbulence simulation on profile stiffness and ITB

- **Summary**

ITER— on the road to fusion energy

EDITORIAL

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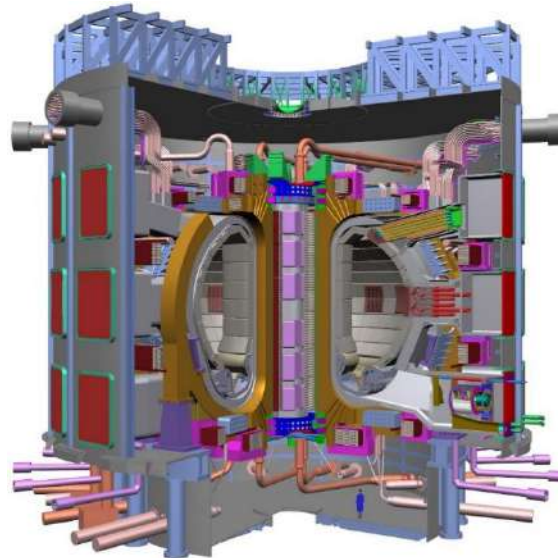
Vol.2 No.6 June 2006

www.nature.com/naturephysics

To dream the
possible dream

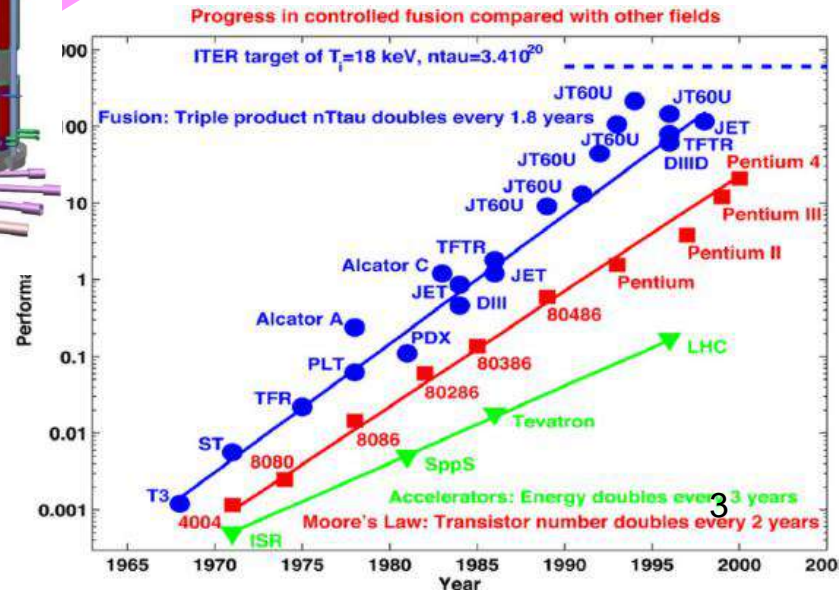
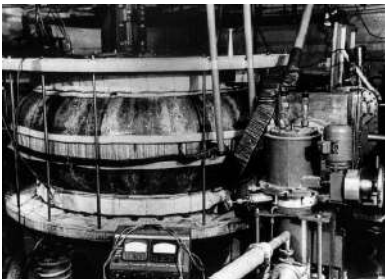
ITER

DEMO &
commercial
energy

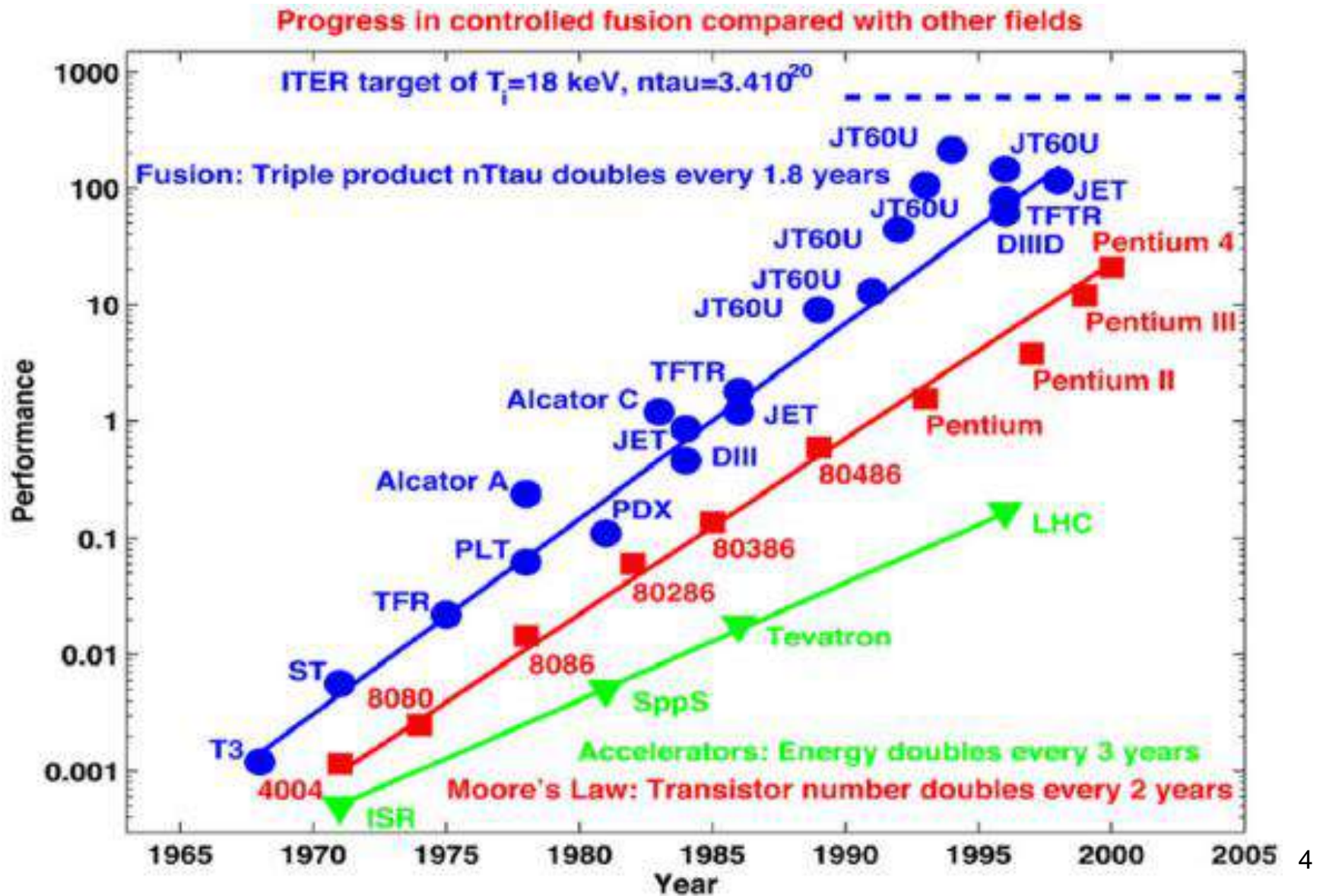


Fusion triple
product = $nT\tau_E$

First Tokamak T-1
(1958, USSR)



Fusion triple product = $nT\tau_E$



Energy confinement performance

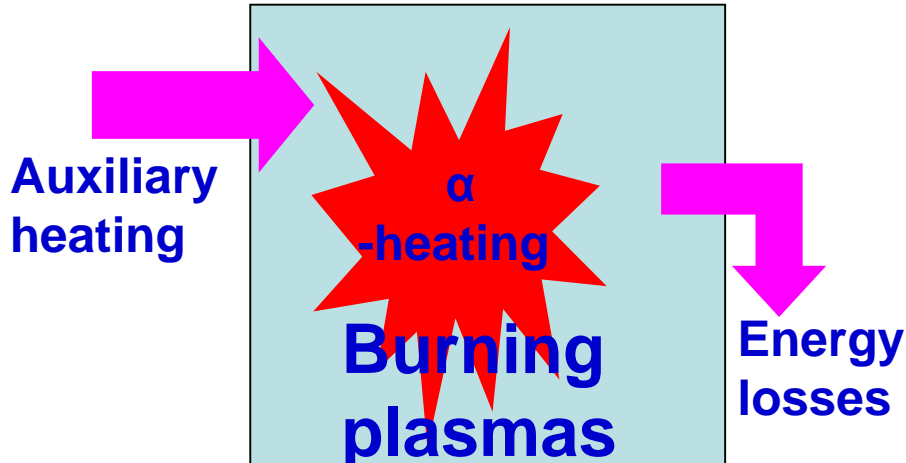
- Plasma confinement is limited by the loss of particle/energy.

Energy confinement time: $\tau_E = \frac{W}{P_{Loss}} = \frac{\int \frac{3}{2} n(T_i + T_e) dV}{P_{Loss}}$ Energy density
Power loss

In a stationary state, heating power is balanced by the losses

$$P_{Input} = Sn\chi\nabla T$$

$$\frac{\partial}{\partial t} W = P_{Input} - P_{Loss} = P_{Input} - \frac{W}{\tau_E}$$

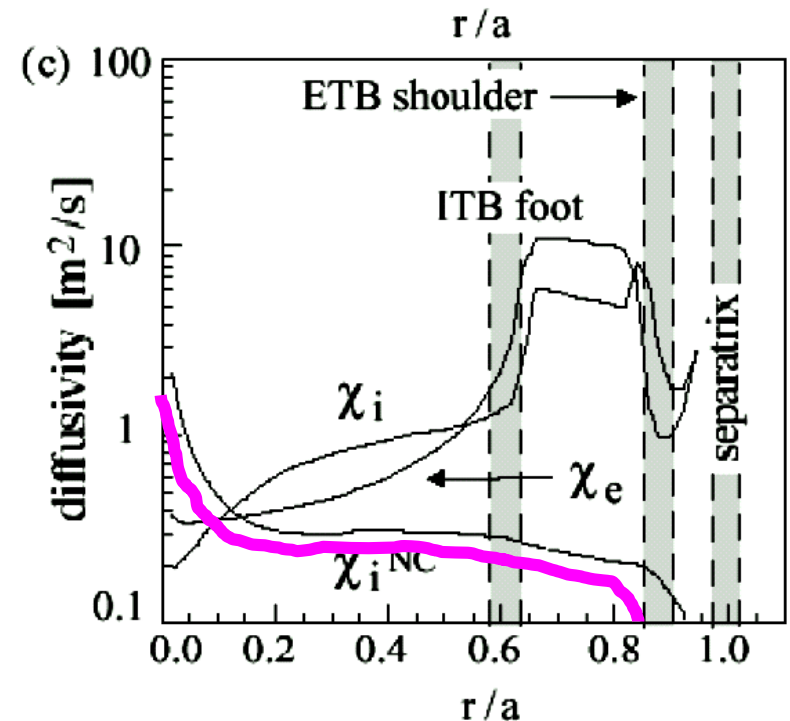
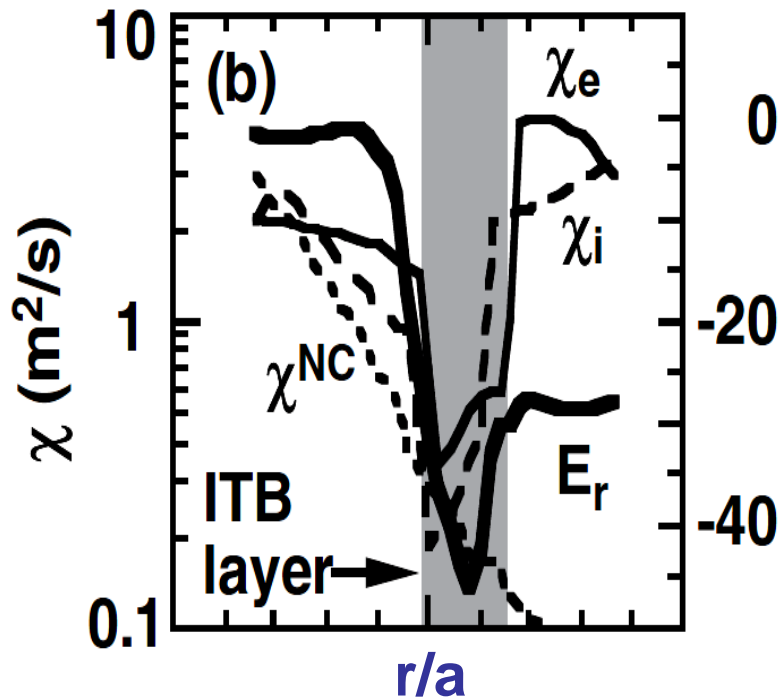


$$\tau_E = \frac{W}{P} = \frac{VnT}{Sn\chi\nabla T} \sim \frac{a^2}{\chi}$$

χ — Transport coefficients

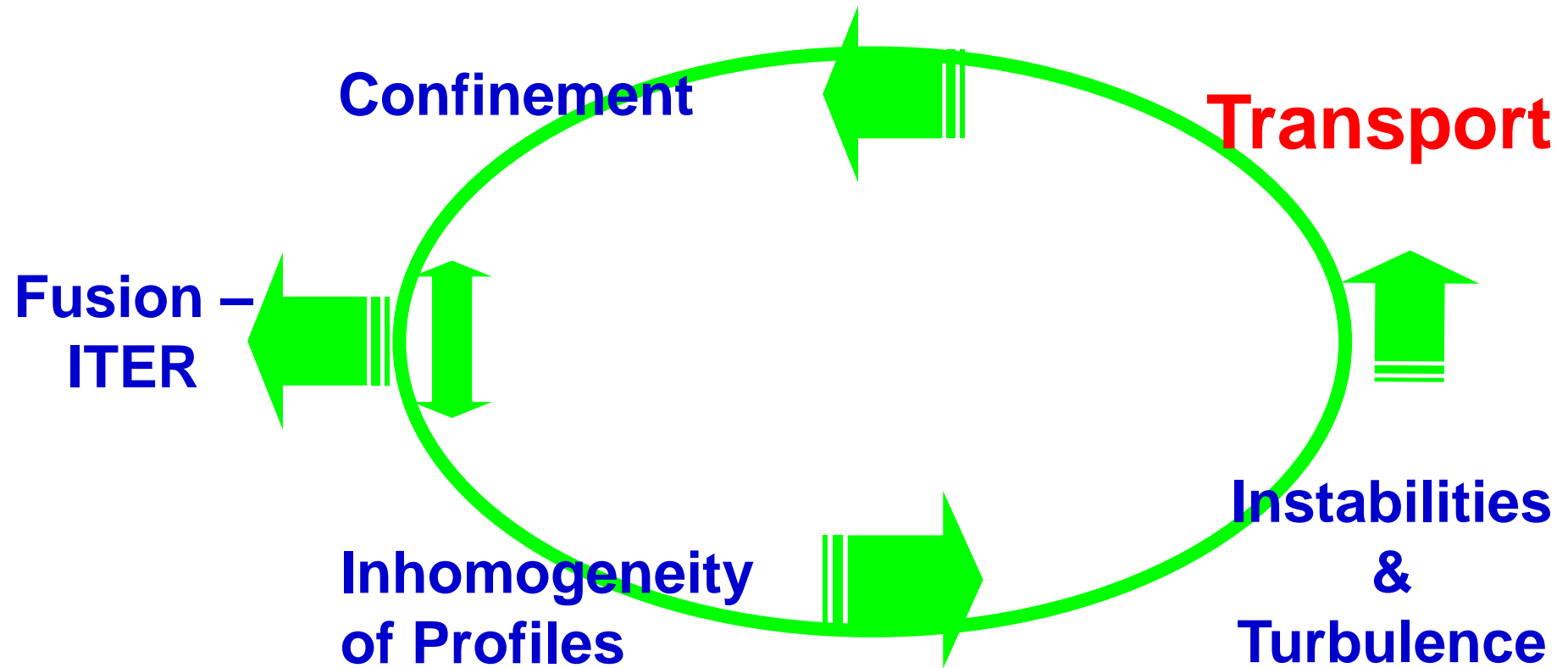
Heat transport coefficients

- Classical ion transport: $\sim 0.01\text{m}^2\text{s}^{-1}$; Neoclassical $\sim 0.5\text{m}^2\text{s}^{-1}$, comparable with experimental one;
- Electron transport is much lower than experimental observation.
- Large transport is due to turbulence—anomalous transport.



From JT-60U

Obstacles on the road to Fusion-ITER

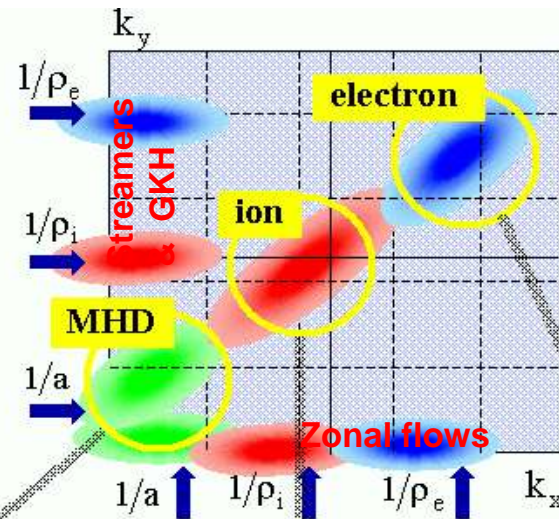


Characteristics of MCF plasma fluctuation

➤ Plasma fluctuation in MCF is characterized by multi-scale multi-mode electromagnetic fluctuations, which are driven by various linear and nonlinear instabilities.

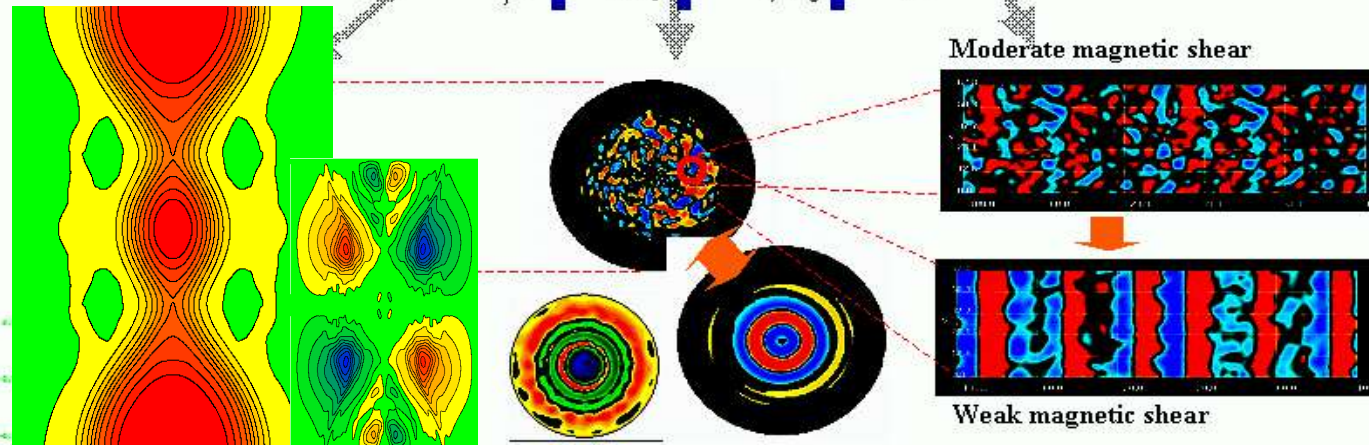
Characteristic lengths
in a JT60-like Tokamak
plasma [$B_i=4T$, $T_i(T_e)\approx 10\text{keV}$]:

$a \sim 0.9 \text{ m}$
 $\rho_i \sim 5 \text{ mm}$
 $\rho_e \sim 0.08 \text{ mm}$

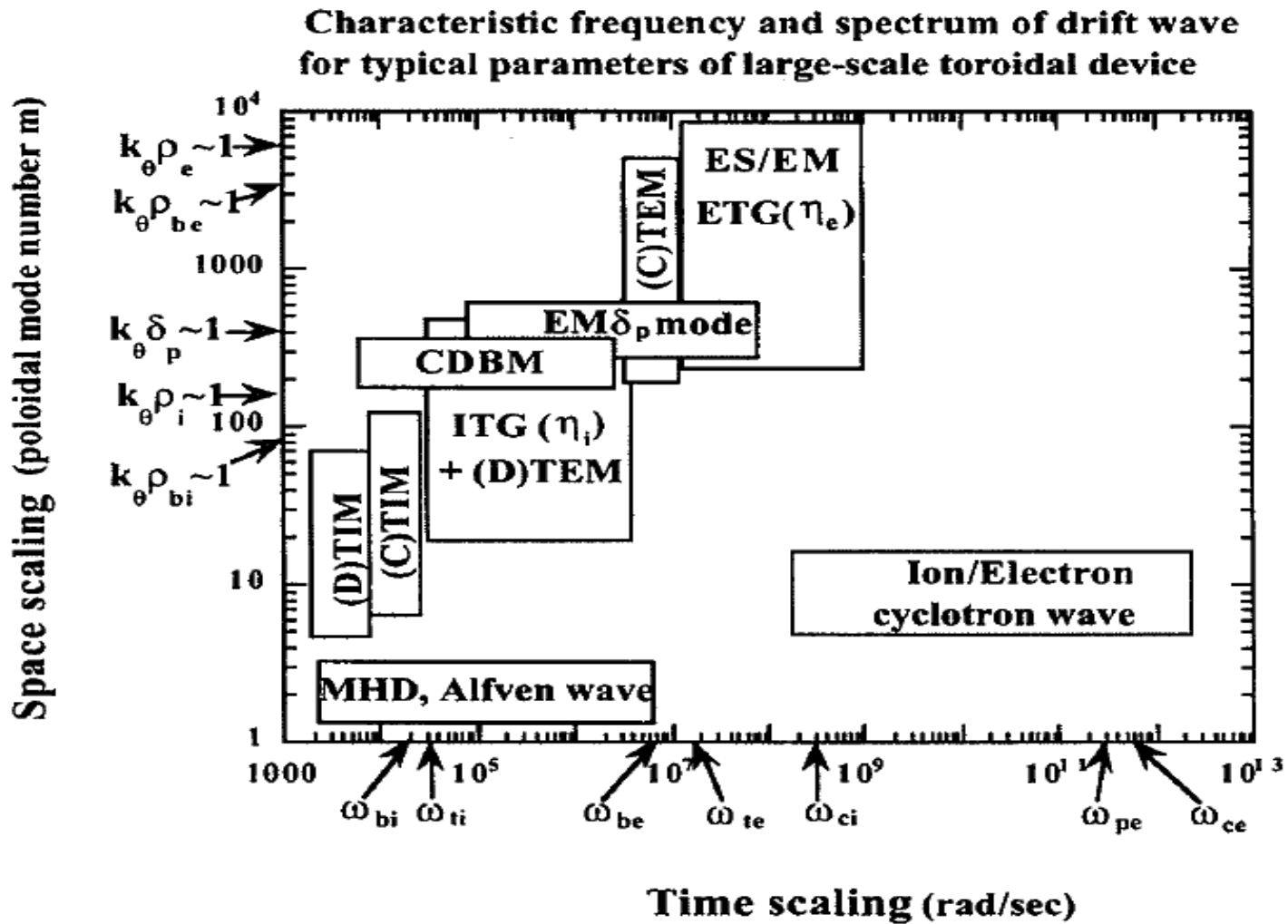


Characteristics of plasma turbulence

- many eigen modes,
- wide scale
- coexistence of multi-scale fluctuations
- overlap on scales



Eigenmodes in MCF plasma



- Coexistence and mutual interaction between various MHD activities and micro-turbulence

Theory on multi-scale problem in plasmas

➤ Mathematical description

$$\frac{\partial f}{\partial t} + L^{(0)} f = N(f, f) + \tilde{S}_{th}$$

S_{th} – thermodynamical excitation

$$N_k(f, f) = -\Gamma_k f_k + D_k f_k + \tilde{S}_k$$

Coherent part:

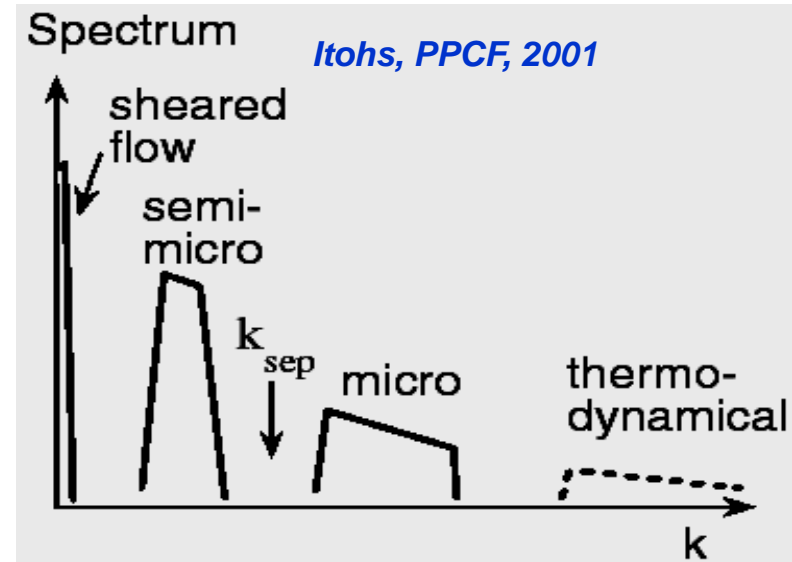
Drag;
Eddy-
viscosity;

.....

Mode interaction
from different
Scale Drive

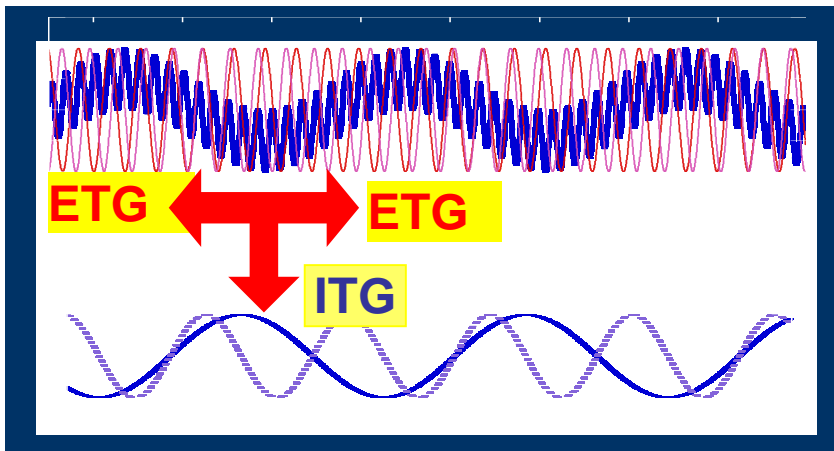
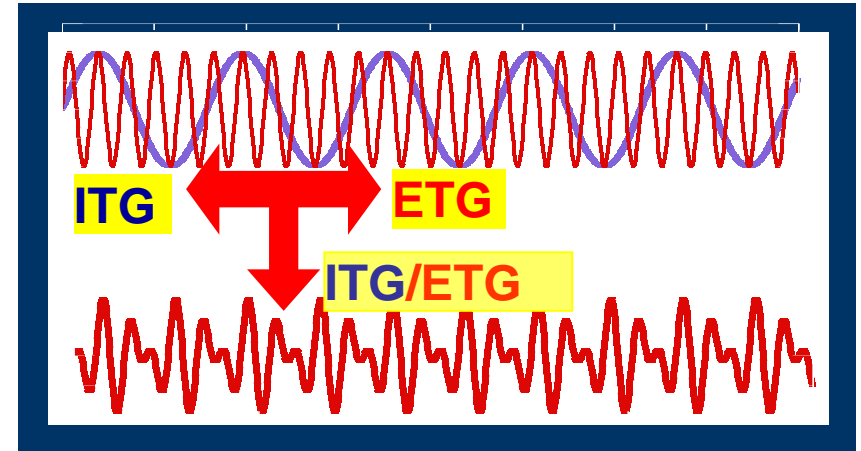
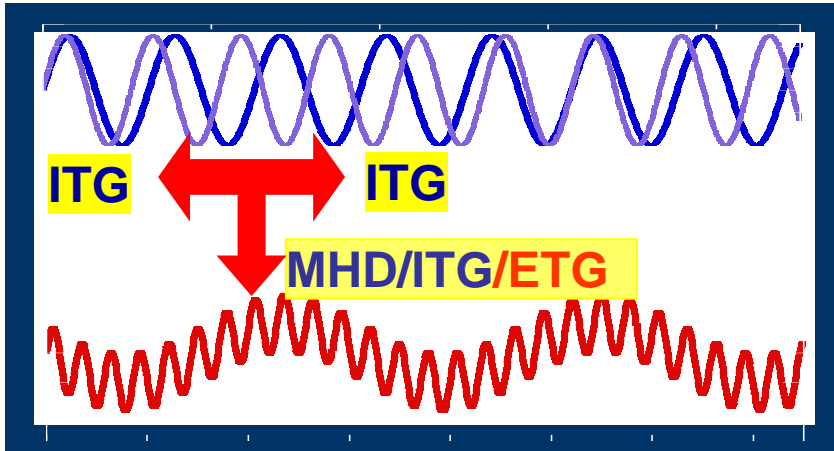
Incoherent part:
Random noise;

.....



Schematics of i-e scale interaction

➤ Nonlocal mode coupling in multi-scale turbulence



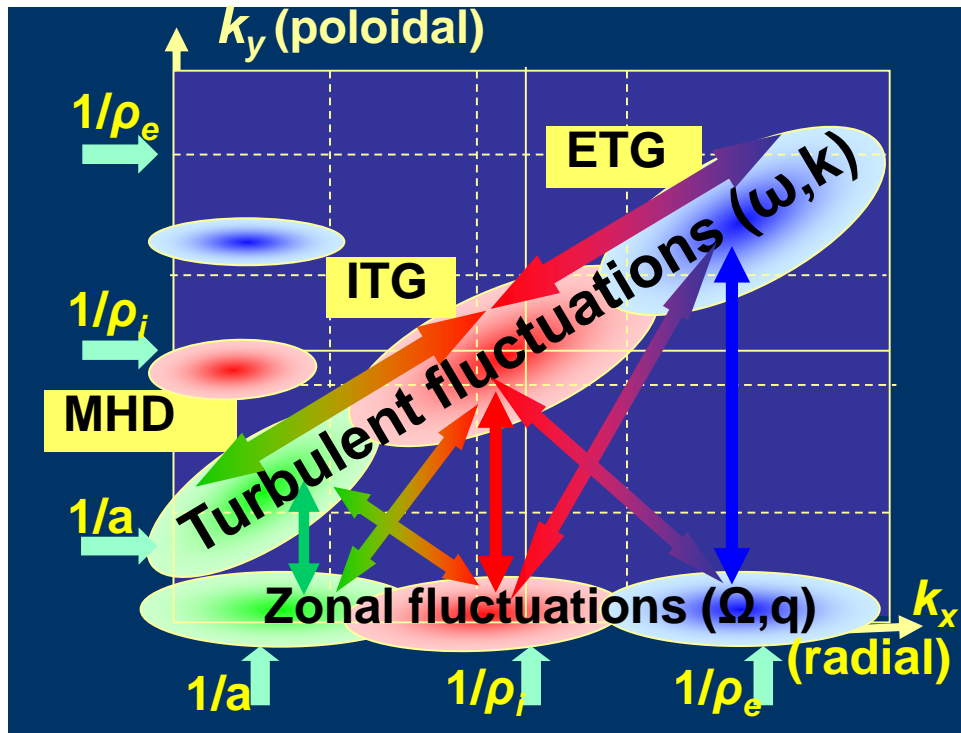
◆ Indirect interacting through zonal flows;

◆ Direct mode coupling

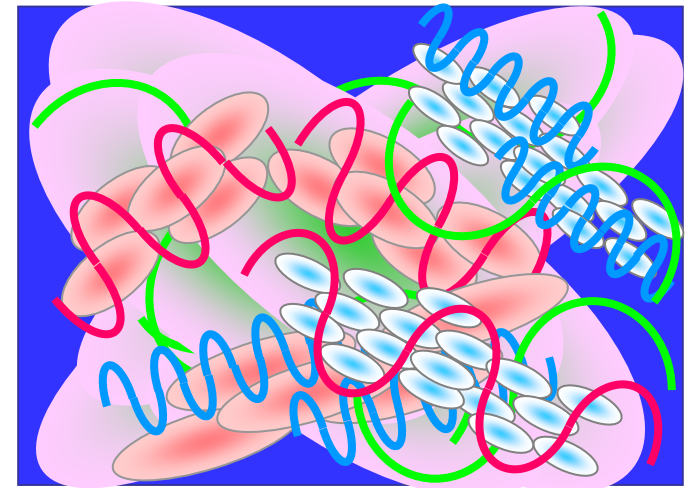
- ✓ Beat wave generation;
- ✓ Envelope modulation;
- ✓ Energy cascading (and inverse);
- ✓

Multi-scales in plasma turbulence

- Multi-scale turbulence & flow interaction in fusion plasma



Fluctuations & flows

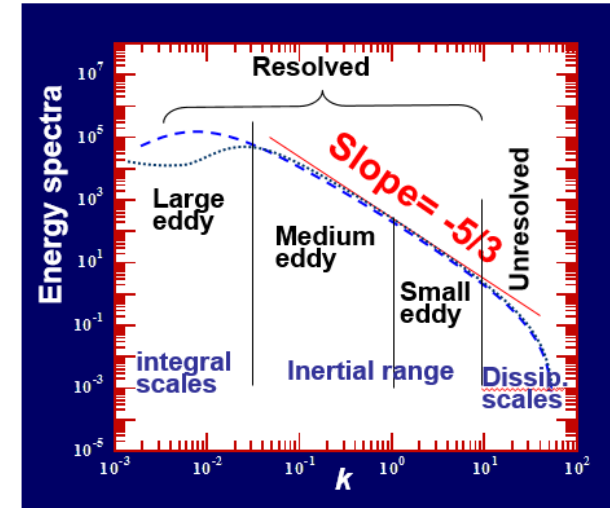


A full scale simulation should involve all interacting processes among scales covering equilibrium scale, ion scale to electron scale.

***BUT, this is still an incapable job right now!
Reduced modeling is necessary!
– near-scale model***

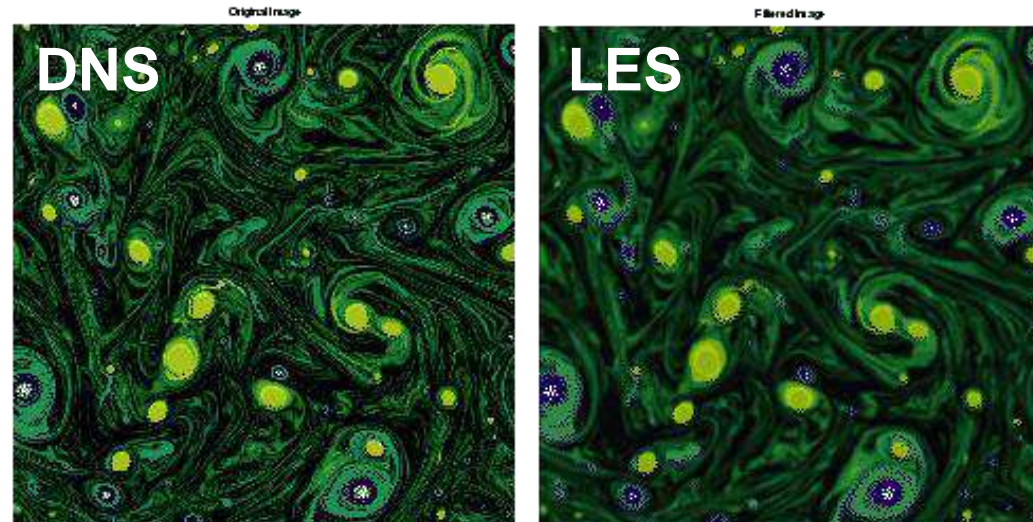
Fluid Turbulence Modeling

- **Direct Numerical Simulation(DNS):**
can include all scales of vortex structures,
BUT difficult.
- **Turbulence modelling for unresolved scale**
small-scale components are eliminated and
their effects are represented by such
concepts as turbulent or renormalized
viscosity.



- **Large Eddy Simulation (LES):**
filter to remove small-scales,
viewed as a time- and spatial-
averaging.

Reynolds Averaged Navier-Stokes (RANS)

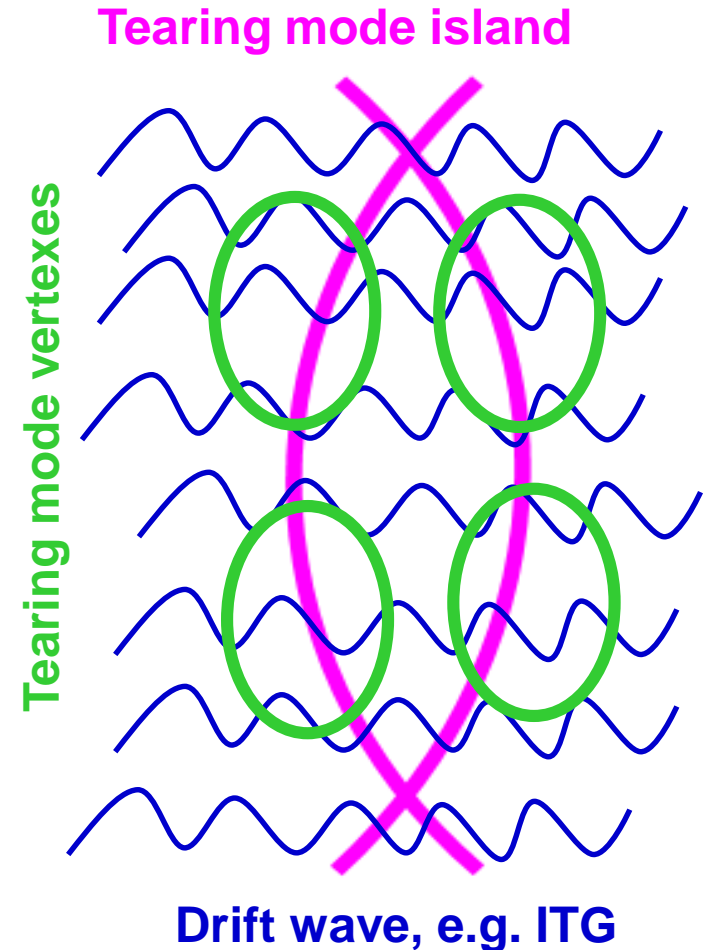
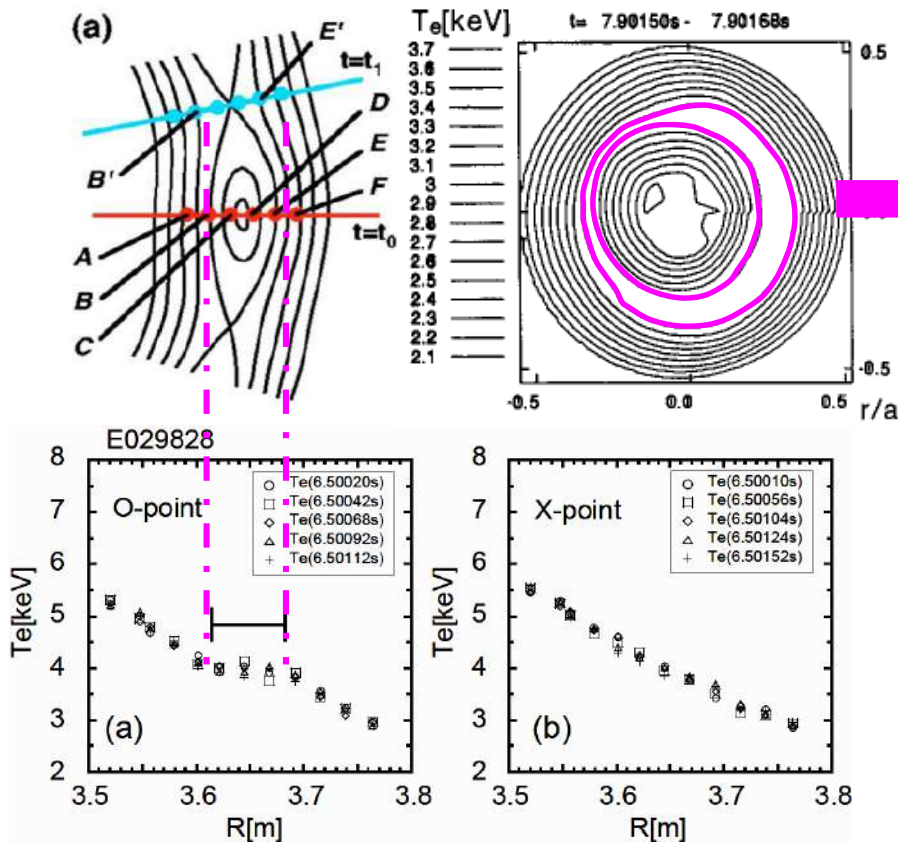


Simulation modeling on near-scale MHD-ITG

➤ MHD islands exist in tokamak

➤ Mixed MHD-ITG EM model

Isayama & JT-60 Team, PoP2005; FED 2001



✓ Islands appear due to a family of tearing mode; RMPs; error fields;.....

Status on MHD-ITG scale problems

➤ Theoretically, MHD islands (tearing modes) interacting with drift wave (ITG, et al.) is considered through equilibrium modification or flow shearing,

Itohs, PPCF, 2001;

McDevitt & Diamond, PoP 2006;

.....

Wilson, et al, PPCF 2009;

Waelbroeck, PPCF 2009;

.....

➤ Simulation efforts on indirect or direct MHD-ITG interaction

Yagi, et al, NF, 2005;

Ishizawa et al, PoP 2008, 2013;

Millitelo, et al, PoP 2008;

Muraglia et al, PRL 2009;

....

Li, et al, NF 2009;

Poli ,et al, NF 2009 ;

Wang, et al, PRL 2009; PoP 2009;

Hornsby PoP 2012; 2015;

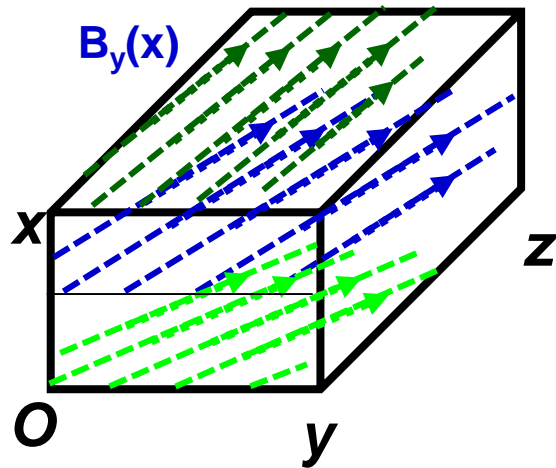
Jiang, et al PoP, 2015

....

Modeling: configuration with island

- An island is embedded (example in slab)

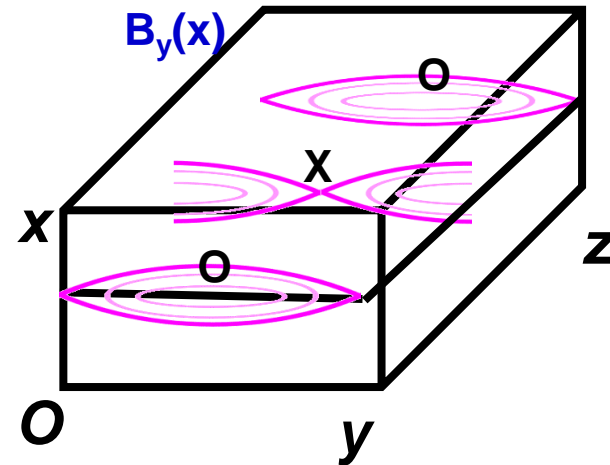
Sheared slab w/o island



$$\vec{B} = B_0 \nabla z - \nabla \psi \times \nabla z$$

$$\psi = -\frac{B_0 x^2}{2L_s}$$

with island



$$\vec{B} = B_0 \nabla z - \nabla \psi \times \nabla z$$

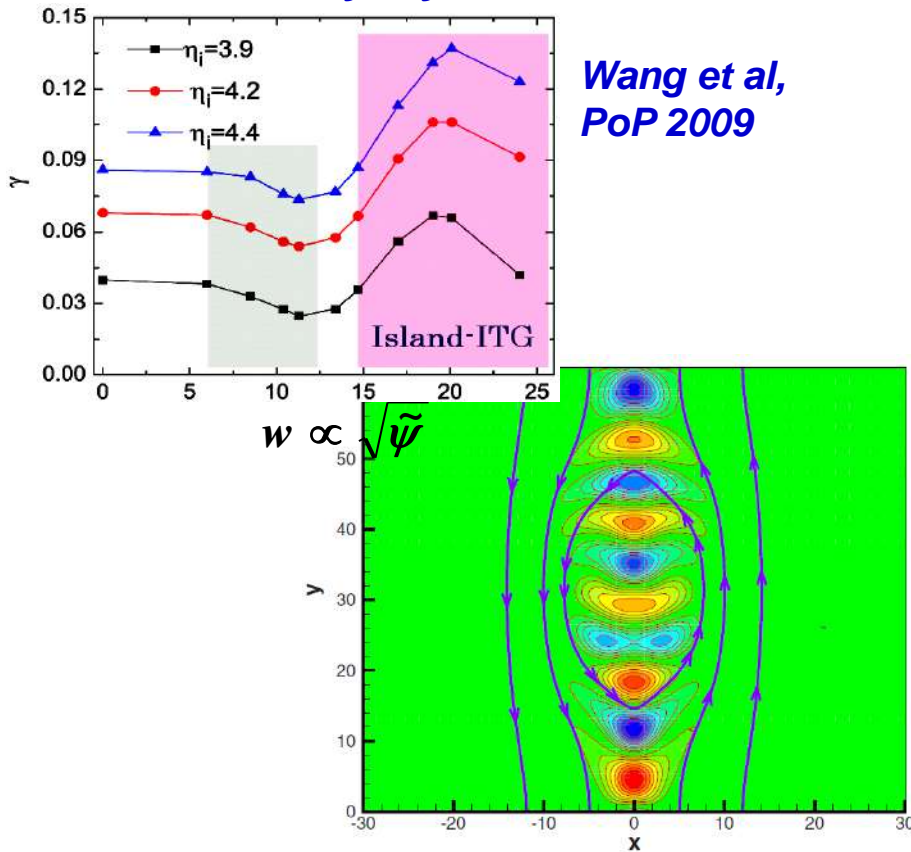
$$\psi = -\frac{B_0 x^2}{2L_s} + \tilde{\psi} \cos(k_y y)$$

Half width of island $w = 2\sqrt{L_s \tilde{\psi} / B_0}$

Modeling: MHD islands modify configuration

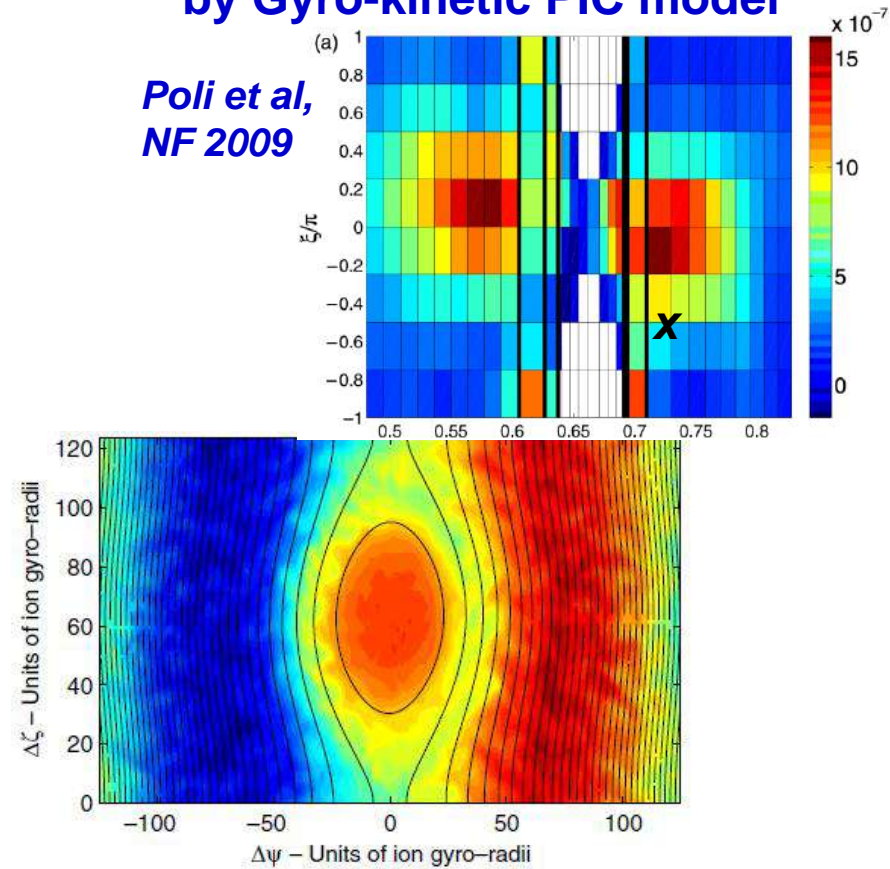
➤ **Stationary island** is imbedded in DW fluctuations

ITG growth rate vs island width by Gyro-fluid model



*Wang et al,
PoP 2009*

Heat flux around magnetic island by Gyro-kinetic PIC model



*Poli et al,
NF 2009*

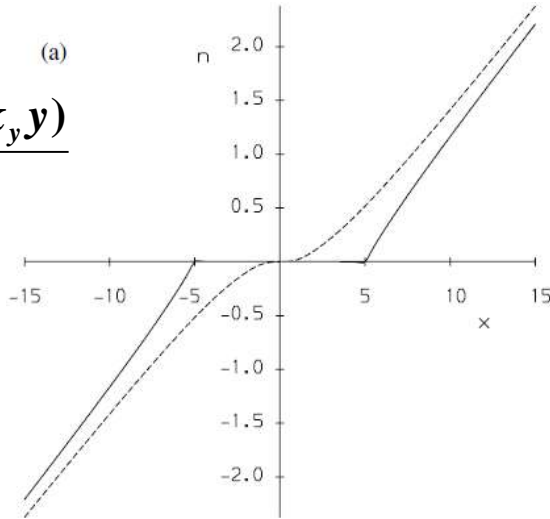
✓ Small island stabilizes ITG, whereas wider island destabilizes ITG (MITG)

✓ Larger heat fluxes in the X-point region, reduced around the O-point.

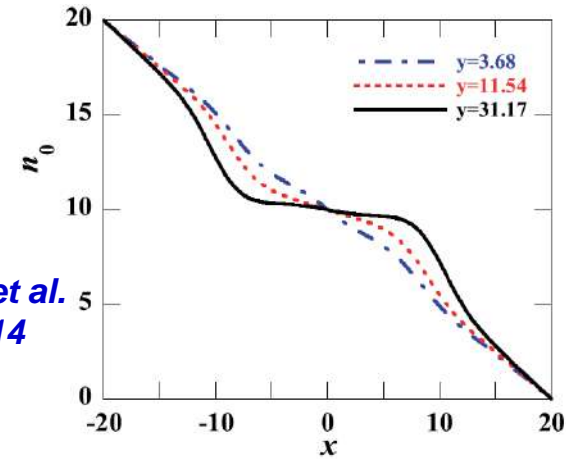
Theory/modeling: MHD islands modify profile

➤ Dominant effect of island is from n/T profile modification

$$\eta_i = \eta_0 \frac{1 - \varepsilon \cos(k_y y)}{1 + \varepsilon}$$



Wilson/Connor,
PPCF 2009



Hu, Li, et al.
PoP 2014

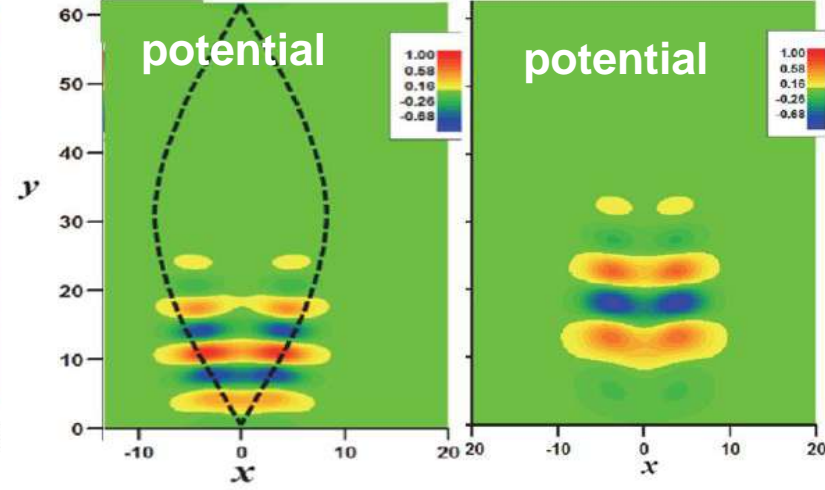
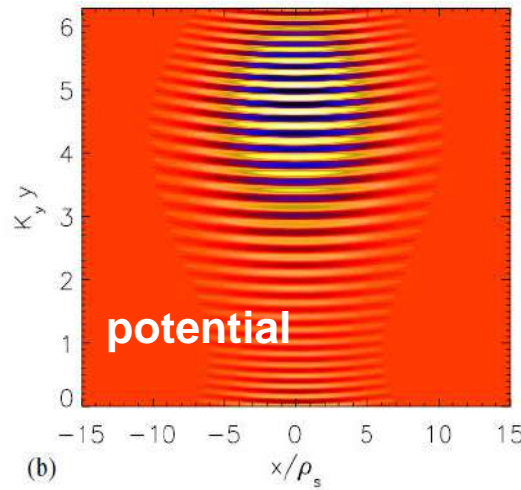
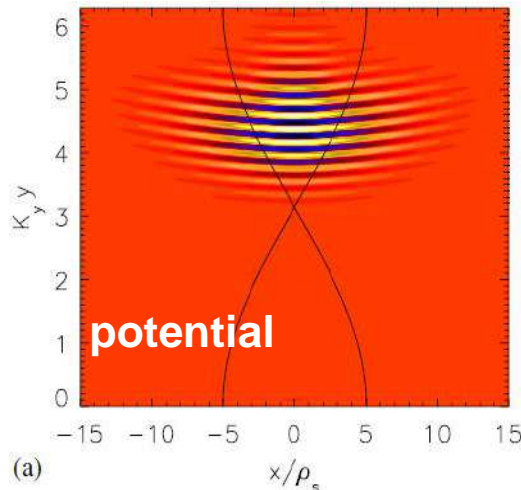
$$L_n(y) = L_{n0}[1 + \varepsilon \cos(k_y y)]$$

With island

W/o island BUT n/T
varying in y

With island

W/o island BUT n/T
varying in y

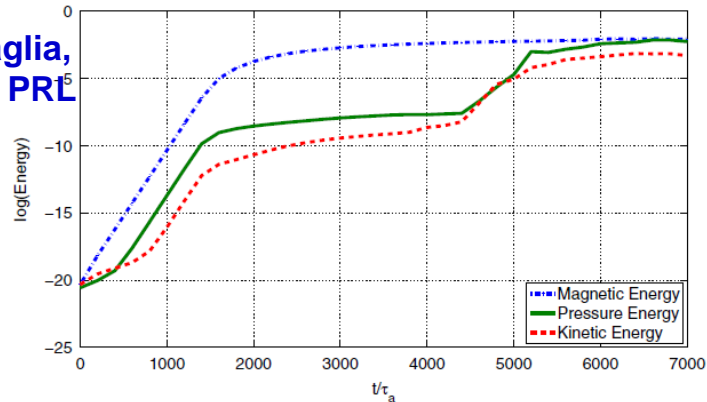


Simulation: MHD & micro-scale turbulence

➤ Fluid: MHD & interchange

Pressure island builds up

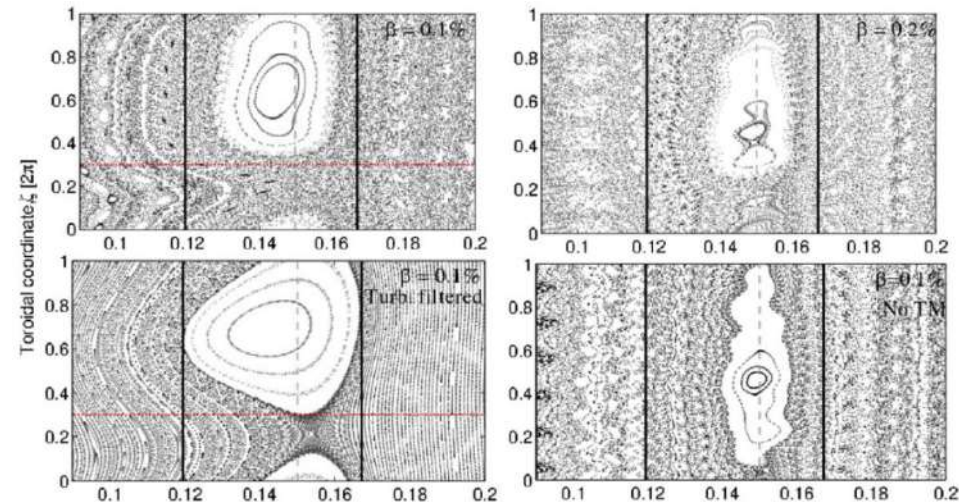
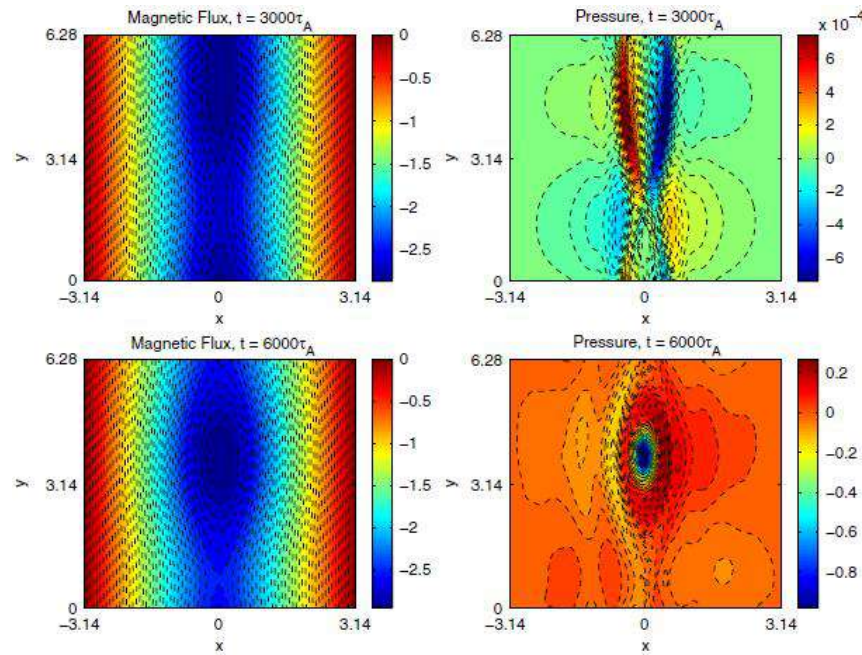
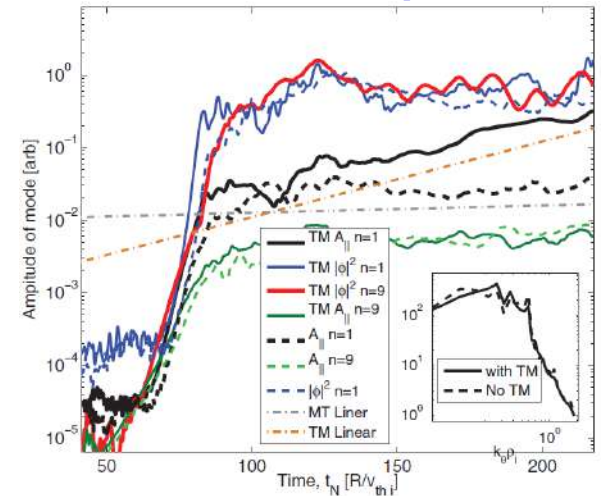
Muraglia,
et al. PRL
2009



➤ Gyrokinetic: Tearing & ITG

Linear MHD structure is kept in EM ITG

Hornsby,
et al. PoP
2015



Poincaré plot of magnetic field lines

Status on near-scale ITG-ETG problems

➤ Gyro-fluid and gyrokinetic simulations on ITG & ETG

Li, et al, PRL 2002

Candy, et al. PPCF 2007

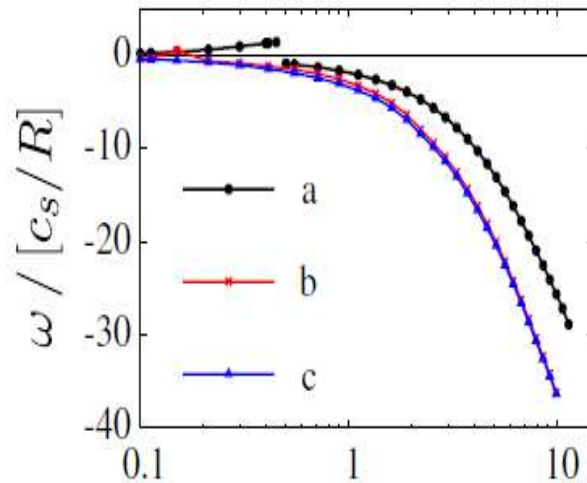
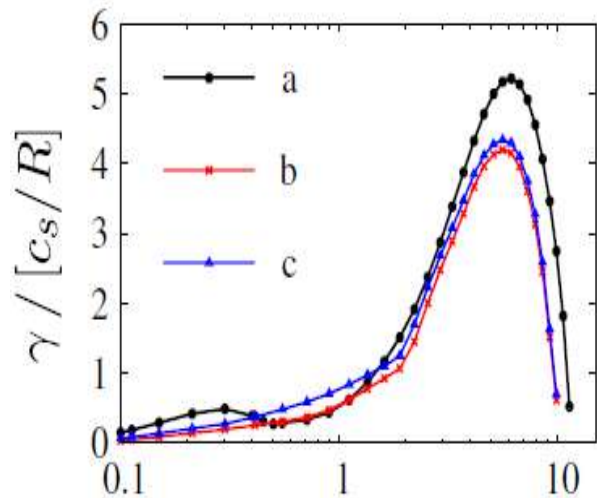
Waltz, et al. PoP 2007

Gorler/Jenko, et al. PRL 2008

Howard, et al. PoP 2014

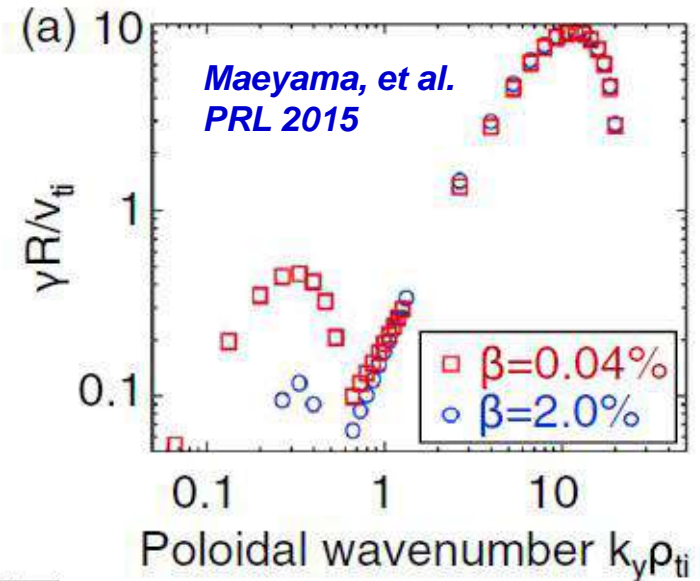
Maeyama, et al. PRL 2015

.....



$k_y \rho_s$ Gorler/Jenko, et al. PRL 2008 $k_y \rho_s$

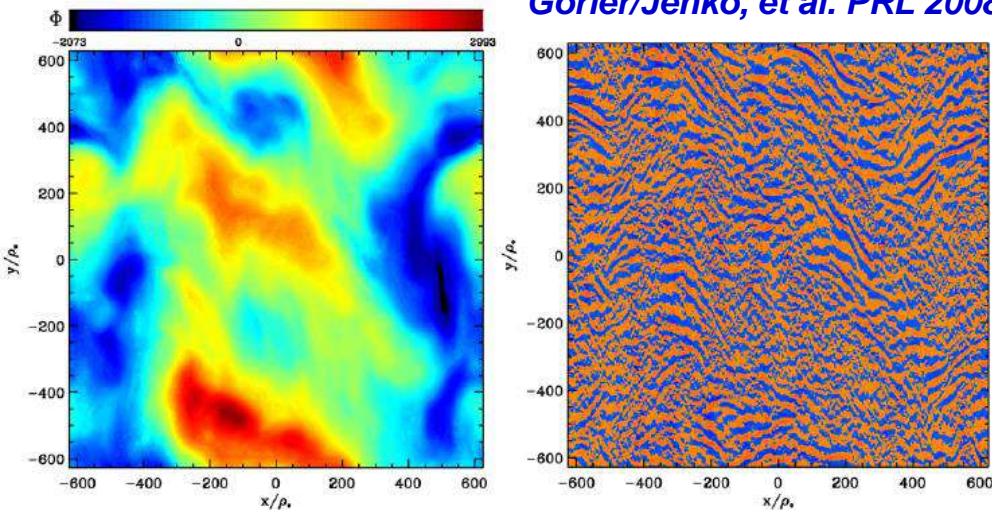
Local EM ITG + ETG



Local ES ITG + ETG

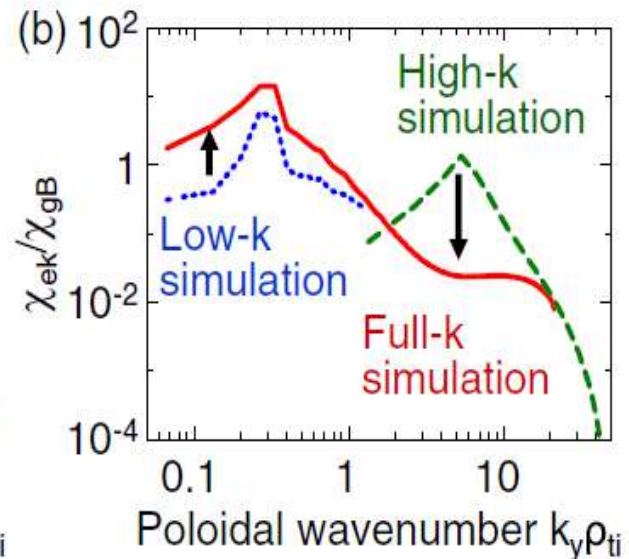
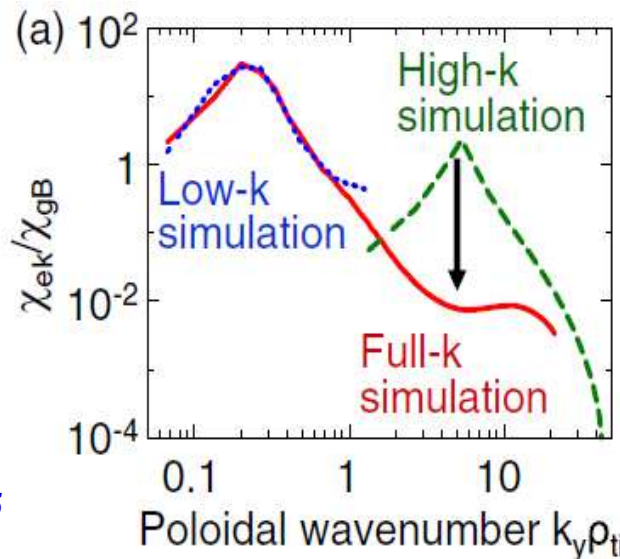
Status on ITG-ETG scale simulation

➤ Gyrokinetic simulations on ITG & ETG (local)



- ✓ EM potential is dominated by large-scale, isotropic ITG vortices;
- ✓ and the same data with all k_y 's < 2 modes filtered out, exhibiting the existence of small-scale ETG streamers.

- ✓ Suppression of e-scale turbulence by i-scale eddies, rather than by long-wavelength zonal flows.



Maeyama, et al. PRL 2015

On multi-scale turbulence simulation

- Full MHD-ion-electron scale gyrokinetic simulation on nonlinear interaction among MHD(island), ITG and ETG fluctuations is a goal in the future, BUT not realistic right now.

For example for local ITG+ETG only,

Gorler/Jenko, et al. PRL 2008 Time: $m_i/m_e=400$, ~100,000CPUh

Maeyama, et al. PRL 2015 Time: $m_i/m_e=1860$, ~420h with 98,304 cores

For global ITG+ETG, Time: ?

For MHD+ global ITG+ETG, Time: ??

- Near-scale (MHD-ion; ion-electron) simulations are in progress; an intense, sustained effort is being made;
 - MHD-ion: Gyro-fluid & gyrokinetic
 - Ion-electron: Gyrokinetic & gyro-fluid (TGLF ?)
- Physics oriented reduced turbulence modeling is being developed;
- Simulation oriented numerical methodology is being advanced;
- Experimental validation & verification of simulation models is being conducted

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- Summary

What is a Gyrofluid model?

- Gyrofluid model $\approx \rightarrow$ gyrokinetic physics

Landau fluid
Gyro-Landau fluid



Classical fluid
+ Landau effects + Gyroradius effects
+ Toroidal resonance + Trapped effects +

- Why Gyrofluid?

Fluid picture (clear physics; analyzable;.....)

+ save much CPU (turbulence Simulation is very huge.....)

GF: 3D; GK: 3D+2D

- Gyrofluid People:

G.W.Hammett; W.Dorland; M.Beer; P.Snyder; S. Smith (PPPL); R.E.Waltz;
G.M. Staebler (GA);

B. Scott (MP-IPP); N. Matter (LLNL); A. Brizard (Berkeley,CA); H. Sugama
(NIFS);

M. Ottaviani; X. Garbet; B. Labit (Cadarache); J. Q. Li (SWIP); N. Miyato
(JAERI);

How to obtain a Gyrofluid model?

➤ Starting from gyrokinetic equation:

(Frieman & Chen PF1982; Hahm, PF1988; Lee, JCP1987)

$$\frac{\partial}{\partial t} FB + \nabla \cdot \left[FB(\upsilon_{\parallel} \hat{\mathbf{b}} + J_0 \vec{\upsilon}_E + \vec{\upsilon}_d) \right] + \frac{\partial}{\partial t} \left[FB \left(-\frac{e}{m} \hat{\mathbf{b}} \cdot \nabla J_0 \Phi - \mu \hat{\mathbf{b}} \cdot \nabla B + \upsilon_{\parallel} (\hat{\mathbf{b}} \cdot \nabla \hat{\mathbf{b}}) \cdot J_0 \vec{\upsilon}_E \right) \right] = C(F)$$

➤ Usual velocity-averaging procedure $g_j(t, \vec{X}) = \frac{1}{N} \int_{\vec{v}} d\upsilon v^j F(t, \vec{X}, \vec{v})$

➤ Difference: closure relation for higher moments with linear benchmark

- ✓ **Gyroradius effects:** model the highest moments by lower moments
- ✓ **Landau effects:** add damping proportional to $|k_{\parallel}|$. Typical parallel Hammett & Perkins closure: $q_{\parallel} = -i \sqrt{8/\pi} k_{\parallel} T / |k_{\parallel}|$
- ✓ **Toroidal effects:** add damping proportional to $|\omega_d|$; ...
- ✓ **Trapped particle (TGLF)**

References: Hammett & Perkins, PRL1991; Waltz, *et al.*, PoP1997; Dorland & Beer & Snyder, PhD theses; Scott, PoP2000; Sugama, *et al.*, PoP2003; Staebler, *et al.*, PPCF2004; Mator, PoP1998; Brizard, PF1995;

Landau-Fluid model with ZF-GAM damping

➤ Landau-fluid ITG model with GAM closure

$$\frac{d}{dt} \nabla_{\perp}^2 \phi - \frac{1}{n_{eq}} \frac{dn}{dt} = -T_{eq} \frac{a}{n_{eq}} \frac{dn_{eq}}{dr} (1 + \eta_i) \nabla_{\theta} \nabla_{\perp}^2 \phi - \frac{a}{n_{eq}} \frac{dn_{eq}}{dr} \nabla_{\theta} \phi + \nabla_{\parallel} v_{\parallel} - \omega_d \cdot \left(\phi + T_i + \frac{T_{eq}}{n_{eq}} n \right) + D \nabla_{\perp}^4 \phi$$

$$\frac{d}{dt} v_{\parallel} = -\nabla_{\parallel} T_i - \frac{T_{eq}}{n_{eq}} \nabla_{\parallel} n - \nabla_{\parallel} \phi + \mu \nabla_{\perp}^2 v_{\parallel}$$

$$\frac{d}{dt} T_i = T_{eq} \frac{a}{n_{eq}} \frac{dn_{eq}}{dr} \eta_i \nabla_{\theta} \phi - (\Gamma - 1) T_{eq} \nabla_{\parallel} v_{\parallel} - \gamma_{LD} \sqrt{\frac{8T_{eq}}{\pi}} |k_{\parallel}| T_i$$

$$+ T_{eq} \omega_d \cdot \left((\Gamma - 1) \phi + (2\Gamma - 1) T_i + (\Gamma - 1) \frac{T_{eq}}{n_{eq}} n \right) + \chi \nabla_{\perp}^2 T_i$$

➤ New Landau closure relation (*empirical*):

$$\gamma_{LD} = \begin{cases} \Gamma - 1 & \text{for ITG} \\ 3\Gamma & \text{for GAM} \end{cases} \quad \nabla_{\parallel} = ik_{\parallel} = \begin{cases} \varepsilon \left(\frac{\partial}{q\partial\theta} - \frac{\partial}{\partial\phi} \right) & \text{for ITG} \\ \Gamma \left(\frac{q}{1.6} \right)^{1/4} \varepsilon^{1/2} \frac{\varepsilon \partial}{q\partial\theta} & \text{for GAM} \end{cases}$$

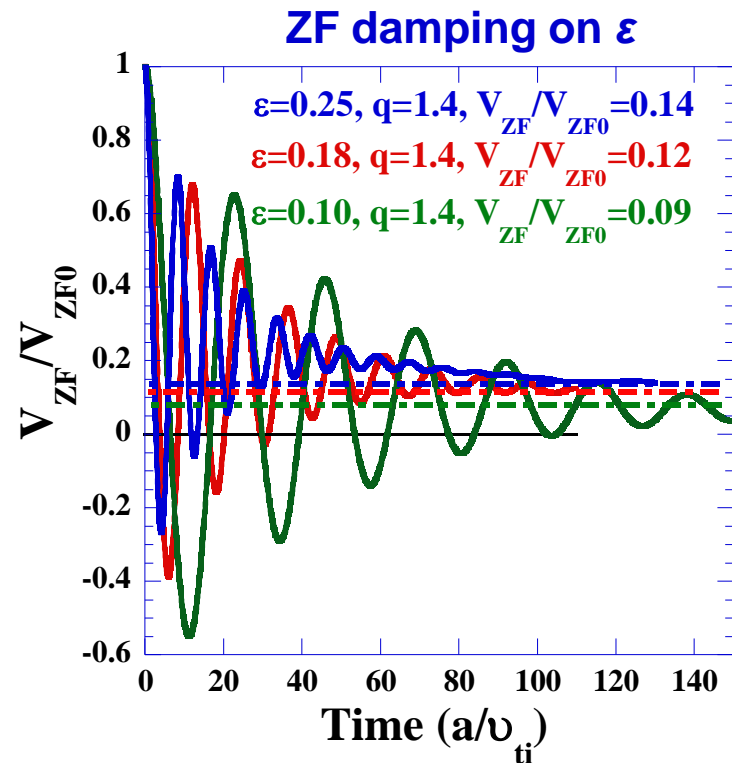
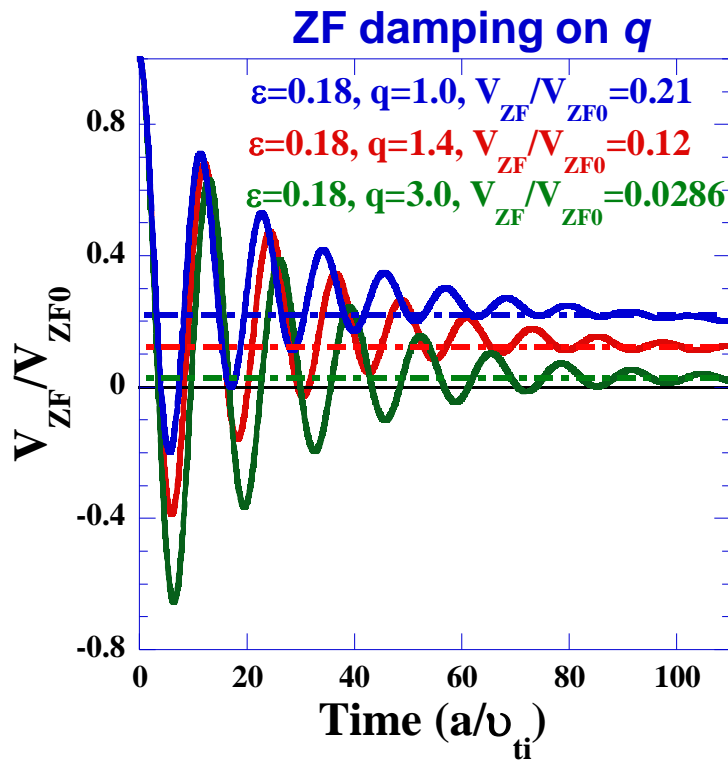
ZF-GAM damping in Landau-Fluid model

- Residual level of zonal flows due to the collisionless damping

[Rosenbluth & Hinton, PRL1998]

$$\frac{V_{ZF}}{V_{ZF0}} = \left(1 + \frac{1.6q^2}{\varepsilon^{1/2}} \right)^{-1}$$

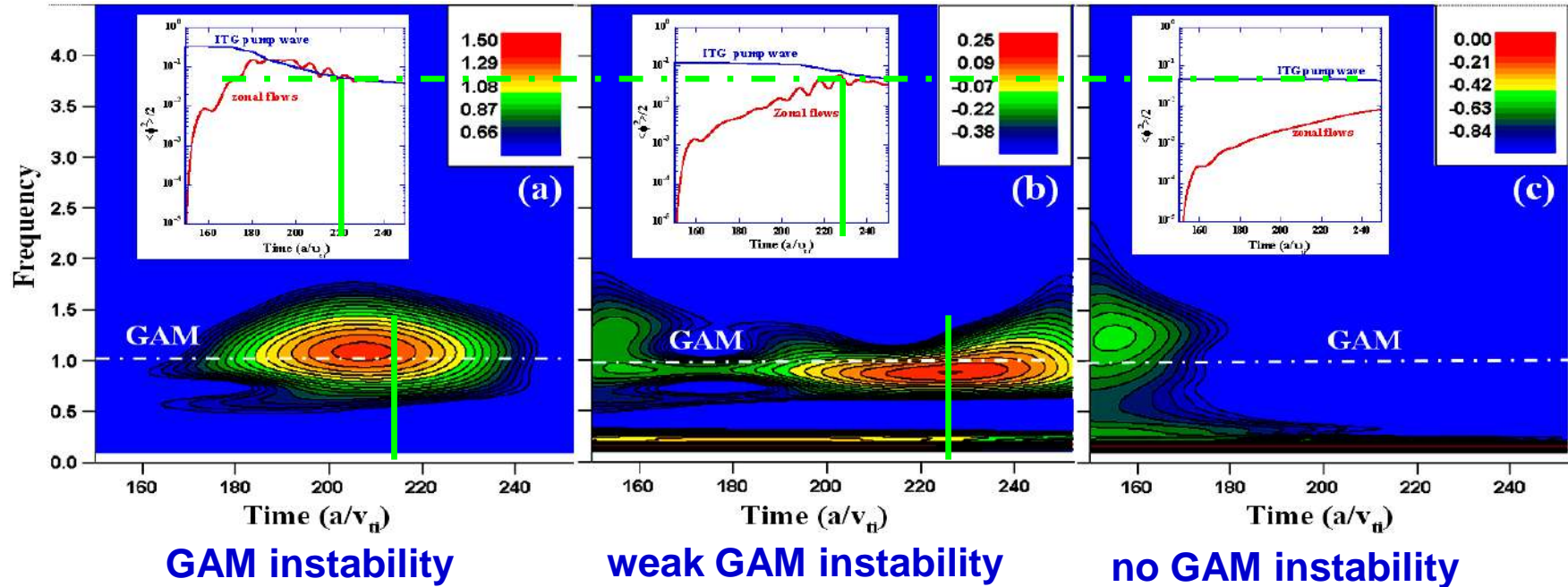
- Initial flow: $V_{ZF0}(t=0) = \sin(0.19x)$



- ✓ ZF damping and the residual levels are well reproduced as the gyrokinetics.

Nonlinear excitation of GAM by ITG

➤ Wavelet energy analysis for nonlinear excitation of GAM

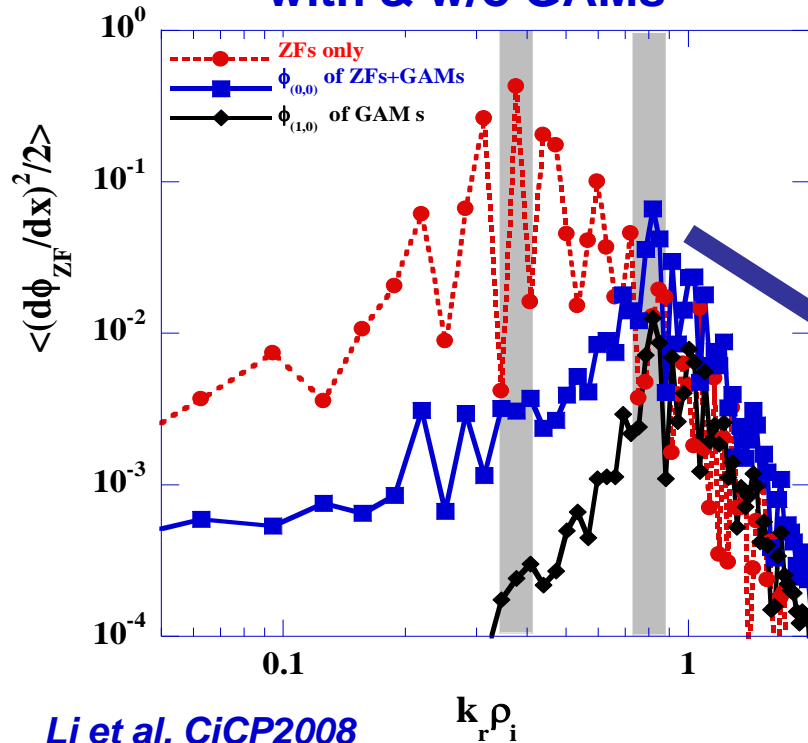


- ✓ **GAM instability:** occur in ITG fluctuations with larger amplitude; is determined by the competition between nonlinear driving force and the Landau damping;
- ✓ **Amplitude threshold:** pump amplitude threshold of GAM instability is higher than that of ZF instability;

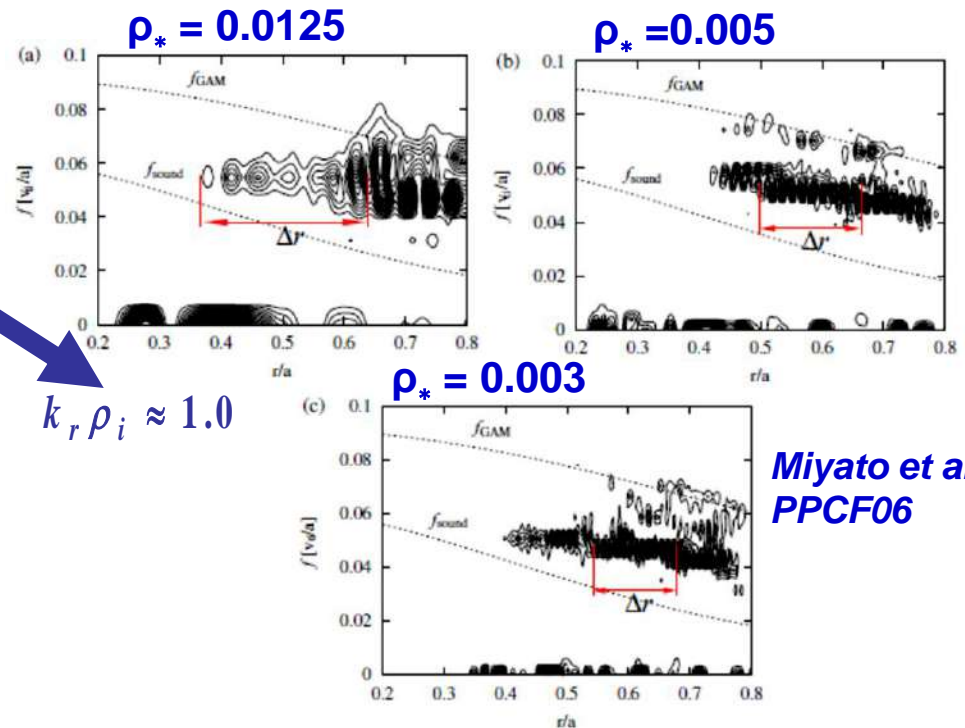
Radial structure of GAMs

➤ GAMs are characterized by finite frequency and radial structure

Two simulations
with & w/o GAMs



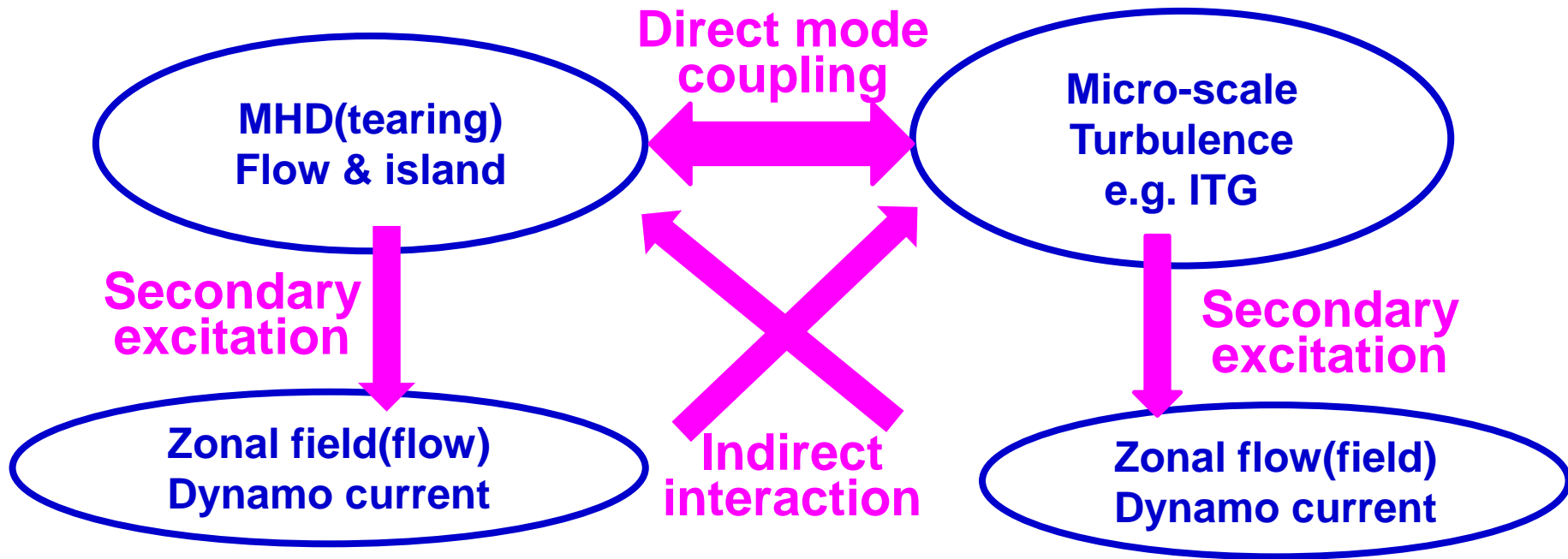
Radial distribution of zonal flow
frequency spectra



- ✓ Radial structure of GAMs is shorter than that of the pure zonal flow fluctuation, about $k_r \rho_i \leq 1.0$

Multi-scale MHD & ITG nonlinear interaction

- possible direct & indirect cross nonlinear processes



- ✓ MHD response to micro-turbulence(ITG);
- ✓ ITG response to MHD island dynamics

Gyrofluid model for mixed MHD & ITG

➤ Modeling equ. – 5-field EM Landau-fluid ITG with MHD in slab

$$\left\{ \begin{aligned}
 \partial_t \nabla_{\perp}^2 \phi &= -[\phi, \nabla_{\perp}^2 \phi] + (1 + \eta_i) \partial_y \nabla_{\perp}^2 \phi + \nabla_{\parallel} j_{\parallel} + D_U \nabla_{\perp}^4 \phi \\
 \partial_t n &= -[n, \phi] + \partial_y \phi - \nabla_{\parallel} v_{\parallel i} + \nabla_{\parallel} j_{\parallel} + D_n \nabla_{\perp}^2 n \\
 \beta \partial_t A_{\parallel} &= -\nabla_{\parallel} \phi + \tau \nabla_{\parallel} n + \beta \tau \partial_y A_{\parallel} - \eta j_{\parallel} + \sqrt{\frac{\pi}{2}} \tau \frac{m_e}{m_i} |\nabla_{\parallel}| (v_{\parallel i} - j_{\parallel}) \\
 \partial_t v_{\parallel i} &= -[\phi, v_{\parallel i}] - 2 \nabla_{\parallel} n - \nabla_{\parallel} T_i + \beta (2 + \eta_i) \partial_y A_{\parallel} - \eta j_{\parallel} + D_v \nabla_{\perp}^2 v_{\parallel i} \\
 \partial_t T_i &= -[\phi, T_i] - \eta_i \partial_y \phi - (\gamma - 1) \nabla_{\parallel} v_{\parallel i} - (\gamma - 1) \sqrt{\frac{8}{\pi}} |\nabla_{\parallel}| T_i + D_v \nabla_{\perp}^2 T_i
 \end{aligned} \right.$$

$$j_{\parallel} = -\nabla_{\perp}^2 A_{\parallel} \quad A_{\parallel} = -\psi$$

Landau damping terms

➤ Mean parameters

$\left\{ \begin{array}{l} \tau_i \text{ for ITG fluctuations} \\ \tau_e \text{ for MHD (kink-tearing) modes} \end{array} \right.$

Configuration models

➤ Equilibrium magnetic field models

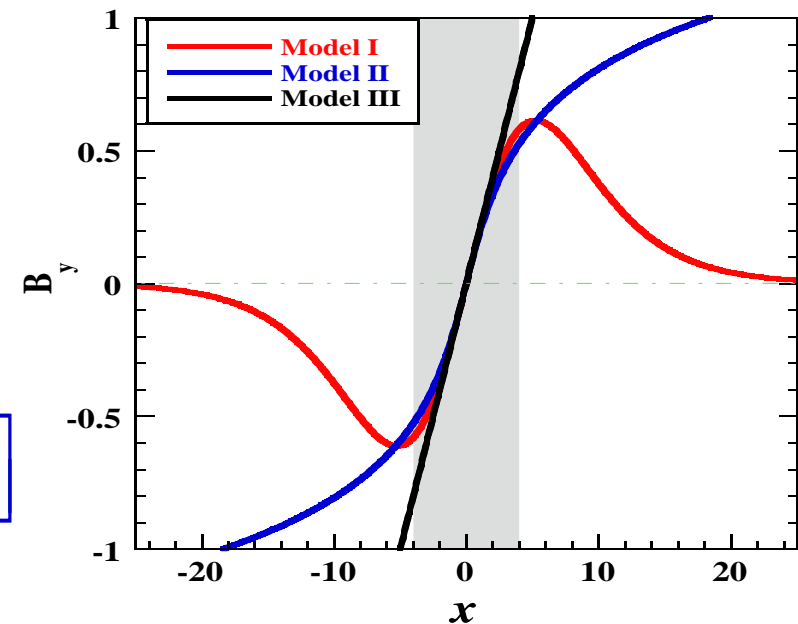
Model I
$$B_{y2} = B_0 \hat{s} \lambda_2 \frac{\tanh(x/\lambda_2)}{\cosh^2(x/\lambda_2)}$$

$$k_{\parallel} = \frac{\vec{k} \cdot \vec{B}}{B} = k_z - k_y \hat{s} \lambda_1 \frac{\sinh(x/\lambda_1)}{\cosh^3(x/\lambda_1)}$$

Model II

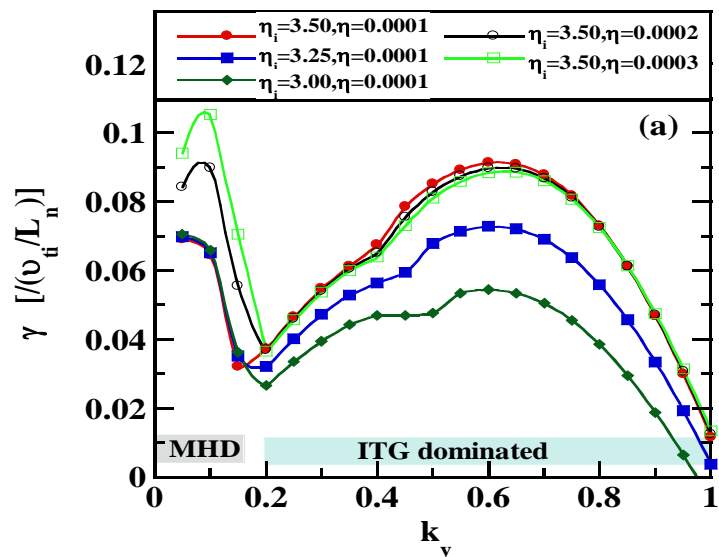
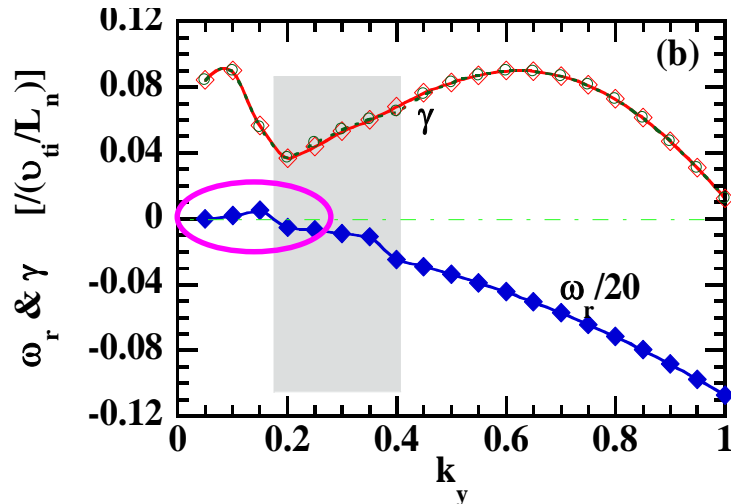
$$B_{y1} = B_0 \hat{s} \lambda_1 \log \left[(x/\lambda_1) + \sqrt{1 + (x/\lambda_1)^2} \right]$$

Model III (for reference)
$$B_{y3} = B_0 \hat{s} x$$

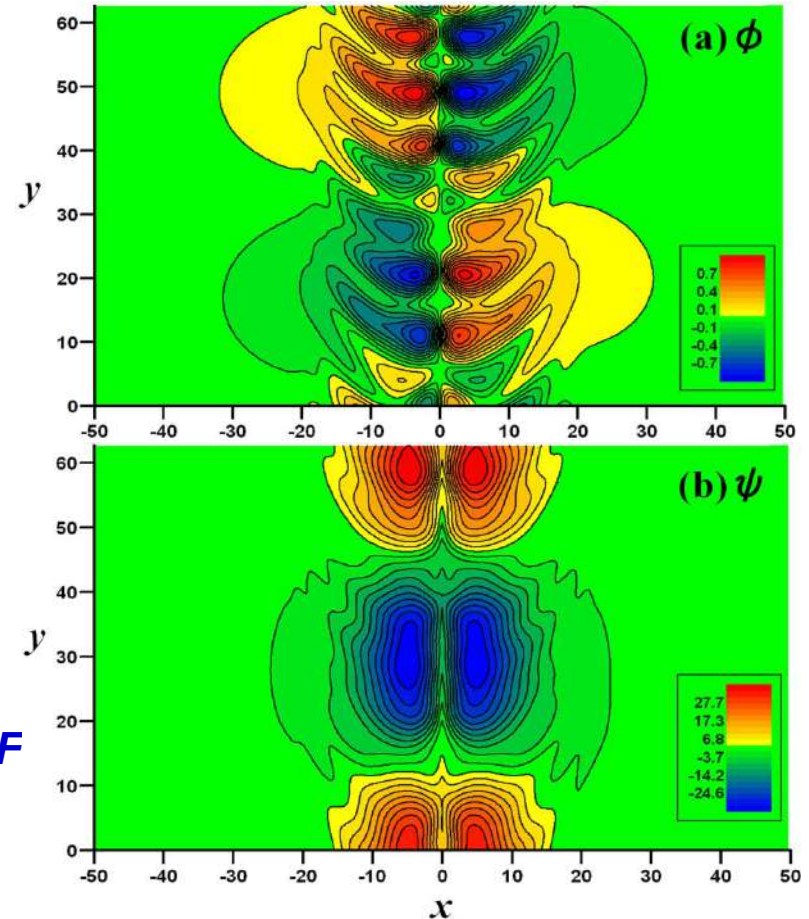


Cross-scale MHD and ITG instability

➤ Co-existence of MHD and ITG



➤ Eigen functions



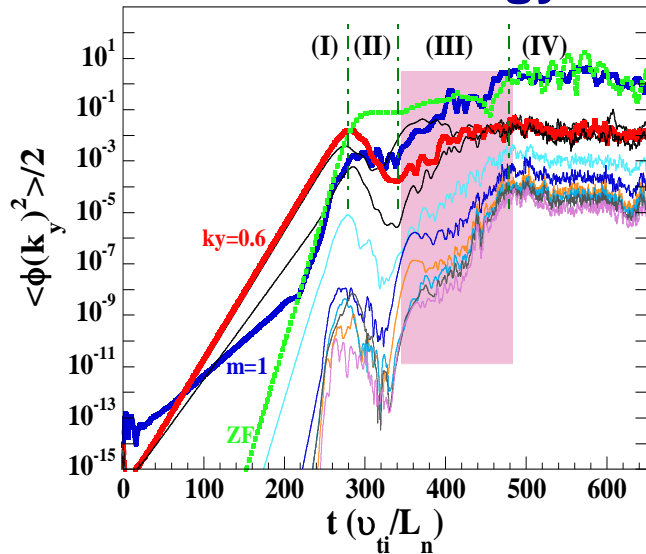
*Li, et al, NF
2009*

✓ MHD/ITG coexist and propagate in electron/ and ion diamagnetic direction, respectively.

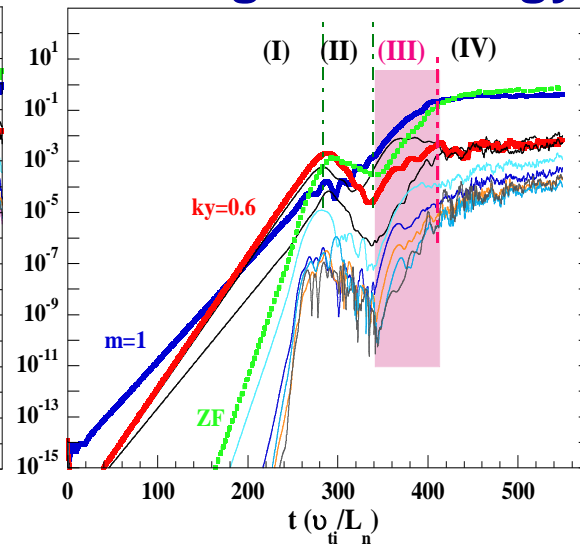
Multi-scale interaction: ITG affects MHD

$$\gamma_{MHD} < \gamma_{ITG} \quad \eta = 1 \times 10^{-4} \quad \eta_i = 2; \beta = 0.01; \hat{s} = 0.2; L_x = 100; L_y = 20\pi$$

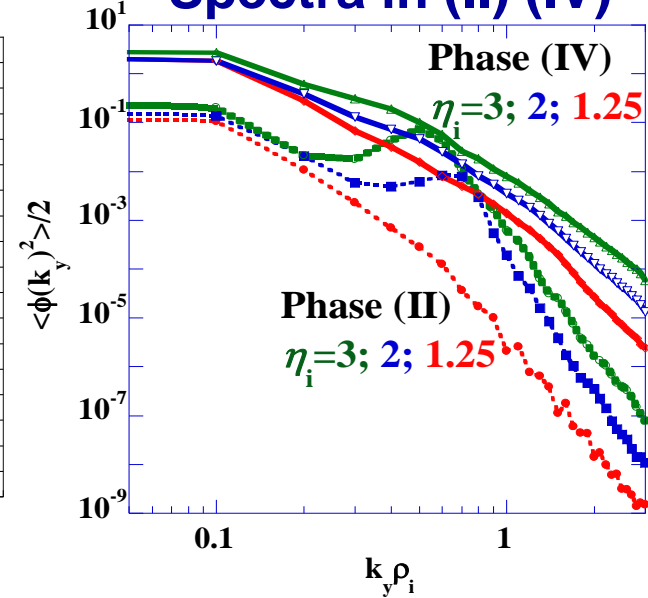
Kinetic energy



Magnetic energy



Spectra in (II) (IV)



✓ Four phases for mixed turbulence evolution:

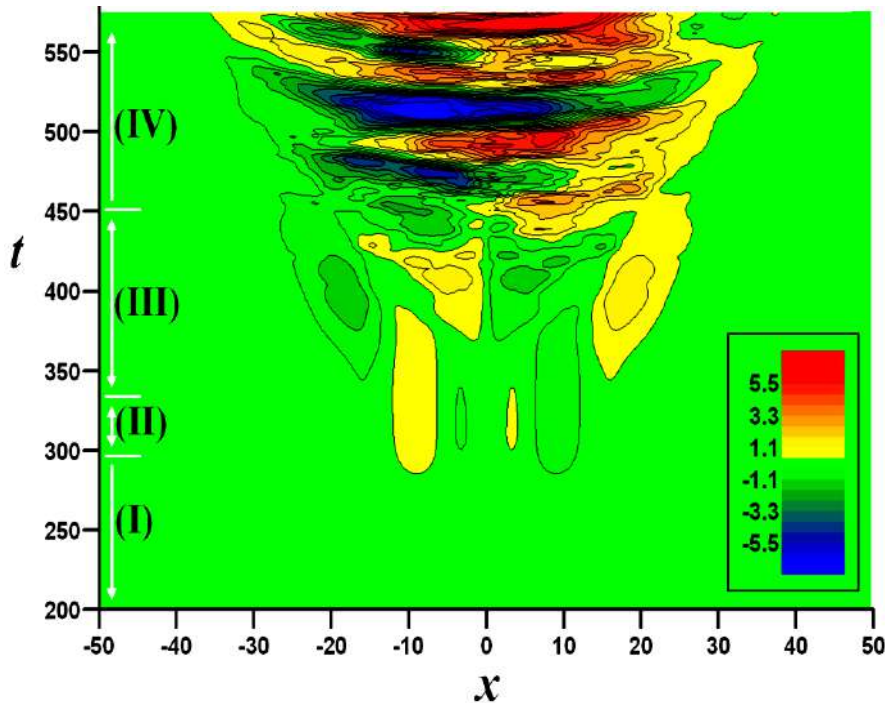
- (I) linear;
- (II) first saturation;
- (III) second growing;
- (IV) quasi-steady state;

✓ As ITG increases, turbulent spectrum is enhanced for all components, and is characterized by MHD fluctuation.

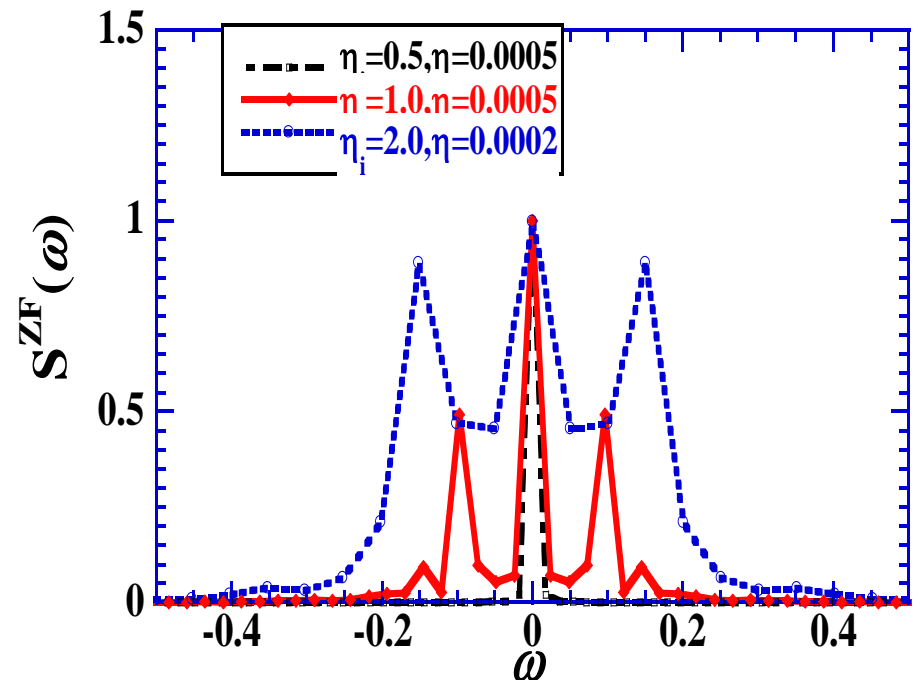
Zonal flow dynamics in cross-scale MHD-ITG

➤ Multi-scale MHD and ITG simulation: oscillatory zonal flows

Time evolution of zonal flow structures



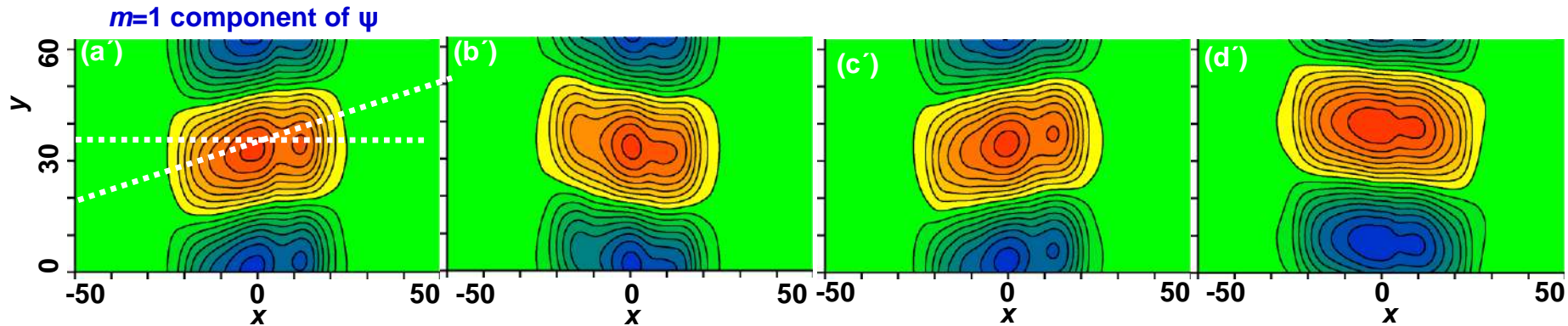
Parametric dependence of ZF frequency



✓ oscillatory ZF with frequency about $(0.1 \sim 0.2)\omega_*$, weakly depending on η_i & η

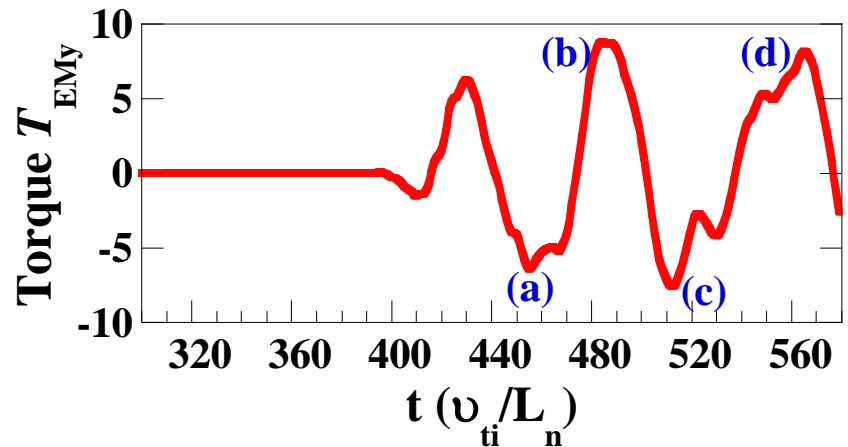
Magnetic island seesaw

➤ Magnetic island seesaw in multi-scale MHD and ITG



➤ Electromagnetic torque

$$T_{EMy} \hat{e}_z = \iint_{xy} x \hat{e}_x \times (\vec{j} \times \vec{B})_y dx dy / L_x L_y$$



- ✓ Stronger ITG drives larger EM torque; leads to island seesaw;
- ✓ EM torque has same oscillation as the seesaw

Minimal model

- Minimal model with essential ingredients:
reduced MHD +ITG mode

$$\begin{cases} \partial_t \nabla_{\perp}^2 \phi = -[\phi, \nabla_{\perp}^2 \phi] + \nabla_{\parallel} j_{\parallel} \\ \beta \partial_t A_{\parallel} = -\nabla_{\parallel} \phi - \eta j_{\parallel} \end{cases} \quad \longrightarrow \quad \text{For MHD such as tearing, kink ...}$$

$$\phi = \tilde{\phi}^{MHD}(t, x, y) + \phi^{ITG}(t, x, k_y^{ITG}) \quad \longrightarrow \quad \text{ITG eigen mode} \\ \text{(Li et al, PoP 1998, 2004)}$$

$$\phi^{ITG}(t, x, k_y^{ITG}) \sim \hat{\phi}^{(n)}(x) e^{-i\Omega t + i k_y^{ITG} y}$$

$$\hat{\phi}^{(n)} = H_n \left(\sqrt{i \frac{L_n |\hat{s}|}{\Omega R q_0}} \hat{x} \right) \exp \left(-i \frac{L_n |\hat{s}|}{2\Omega R q_0} \hat{x}^2 \right) \quad \text{with} \quad -k_y^2 + \frac{1-\Omega}{\Omega + K} = i \frac{L_n |\hat{s}|}{\Omega R q_0} (2n+1)$$

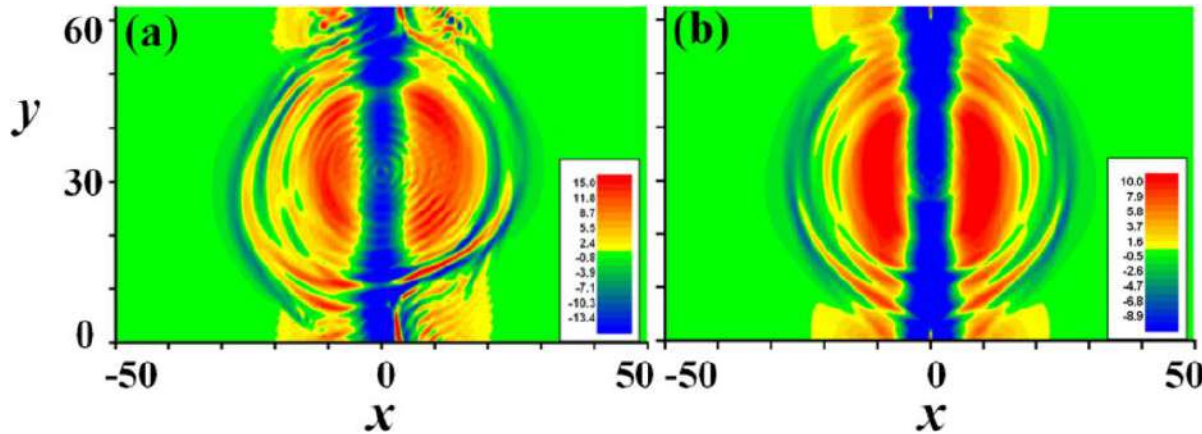
Evidence of cross-scale dynamo current

➤ Current snapshots in modeling simulations

Li/Kishimoto POP 2013

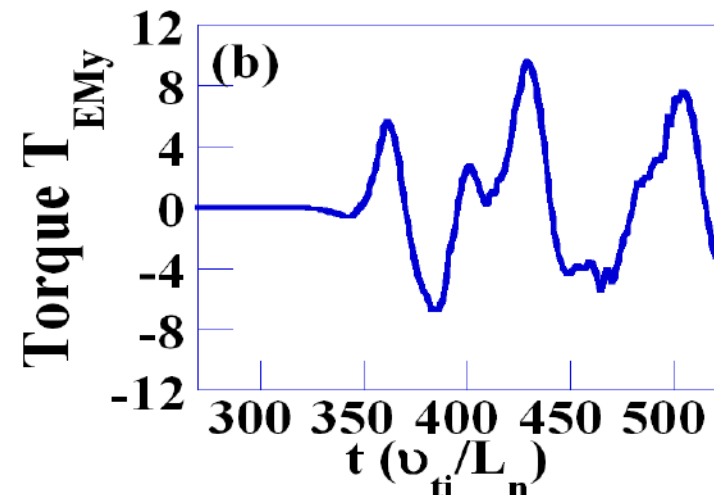
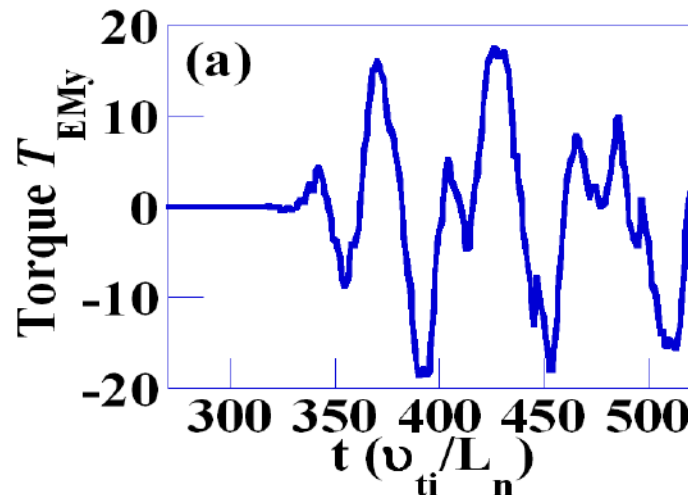
With radial **even**-parity ITG

With radial **odd**-parity ITG



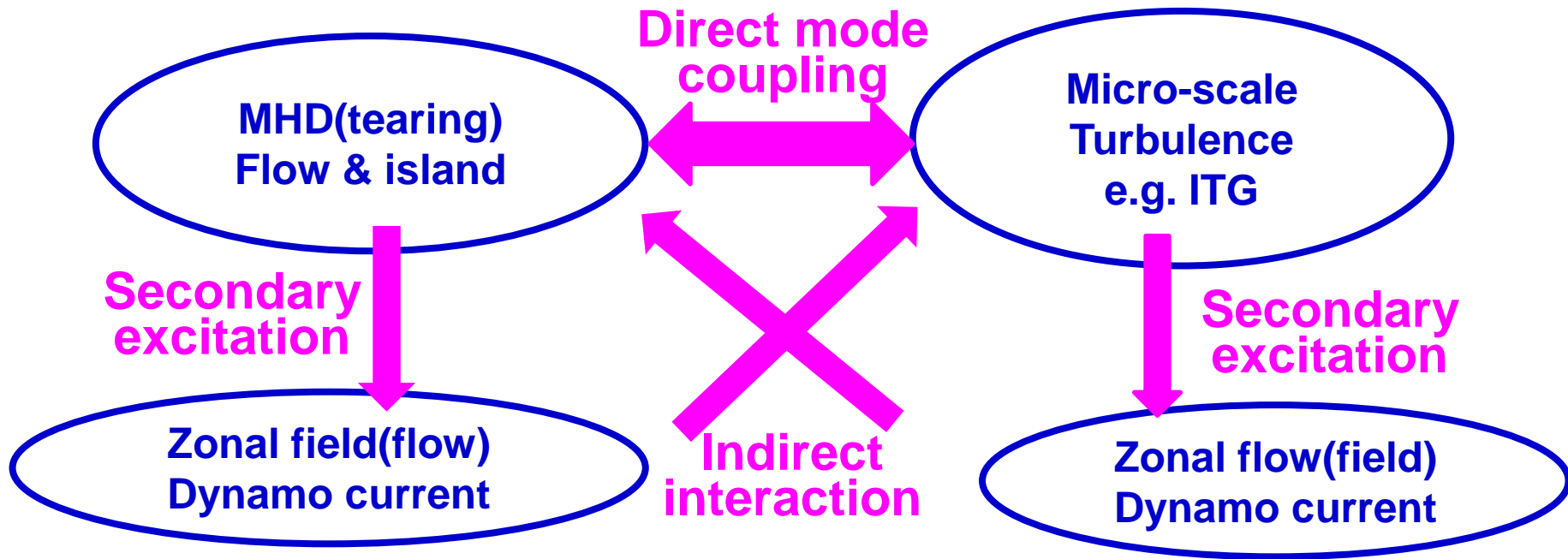
✓ Radially even-parity ITG potential induces asymmetric dynamo current, which can drive oscillatory EM torque.

➤ EM torque oscillation in **direct** and **modeling** simulations



Multi-scale MHD & ITG nonlinear interaction

- possible direct & indirect cross nonlinear processes



- ✓ MHD response to micro-turbulence(ITG);
- ✓ ITG response to MHD island dynamics

Multi-scale MHD & ITG turbulence

➤ Multi-scale MHD & ITG turbulence with **linearly stable ITG**

$$\gamma_{MHD} > 0$$

$$\gamma_{ITG} < 0$$

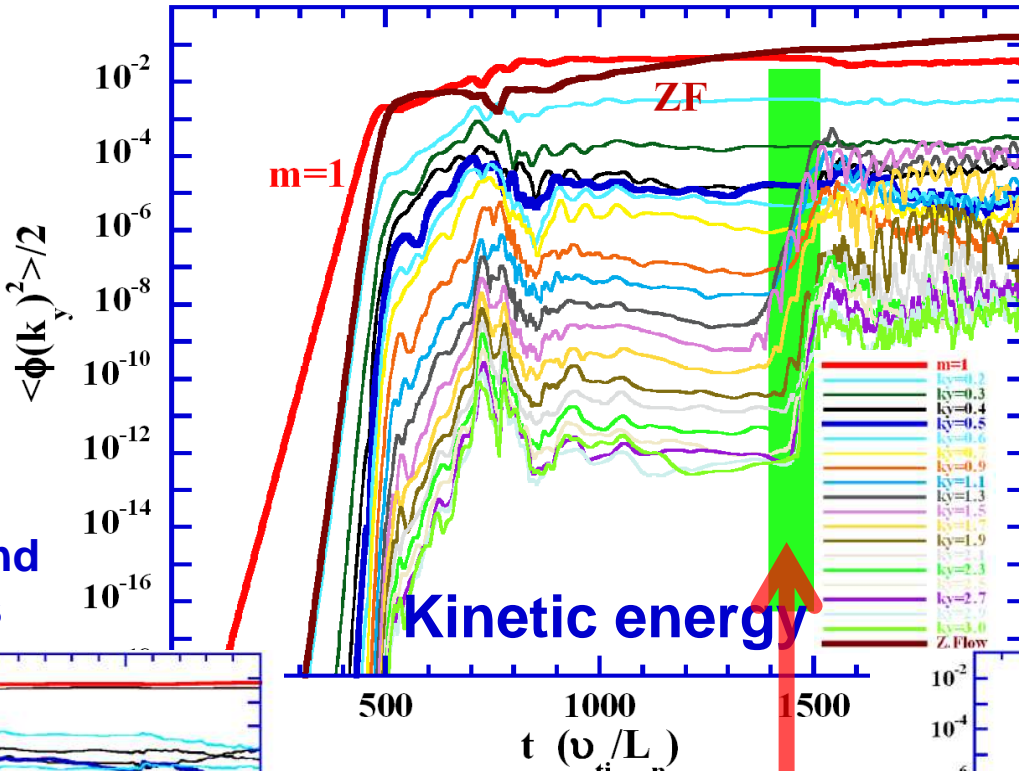
$$\eta_i = 0.75$$

$$\eta = 1 \times 10^{-3}$$

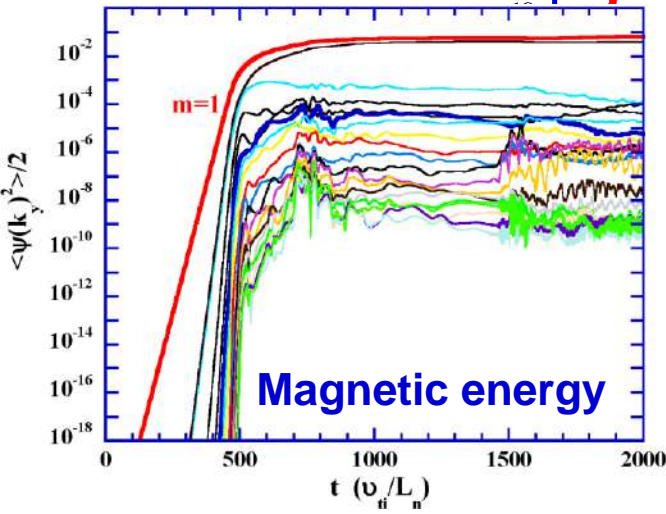
$$\beta = 0.01$$

$$\hat{s} = 0.2$$

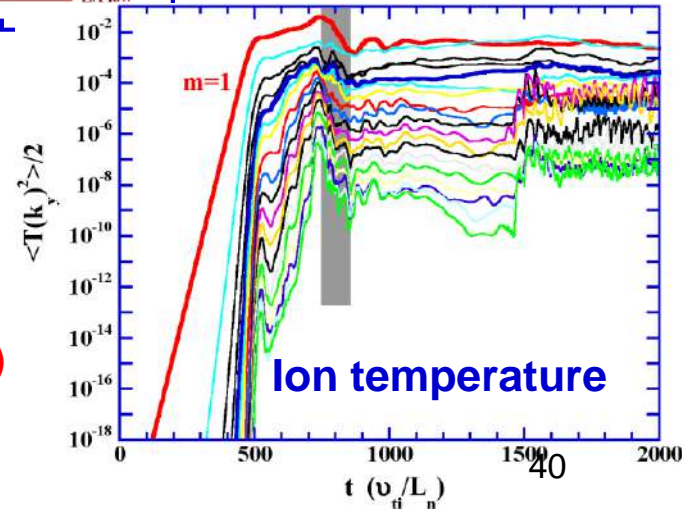
✓ Magnetic island slowly increases



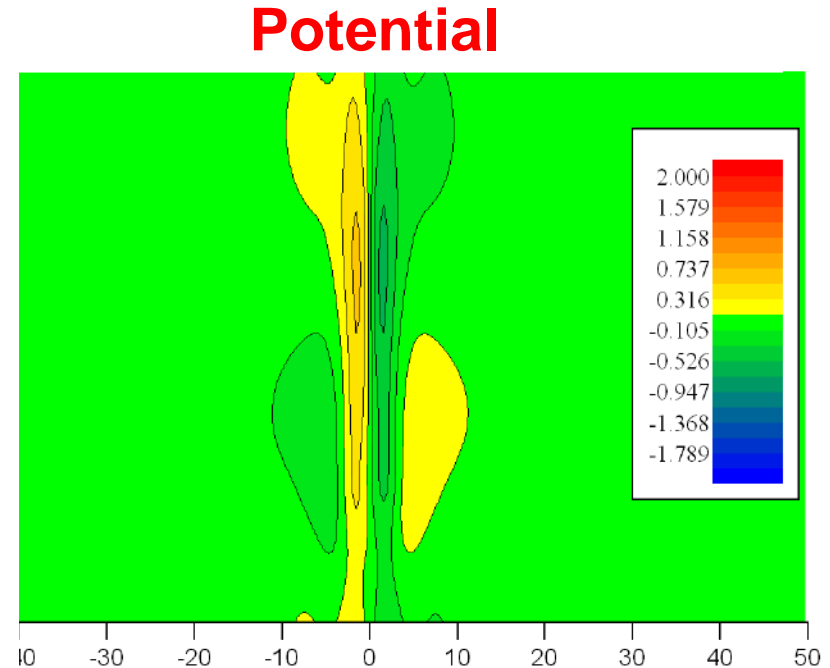
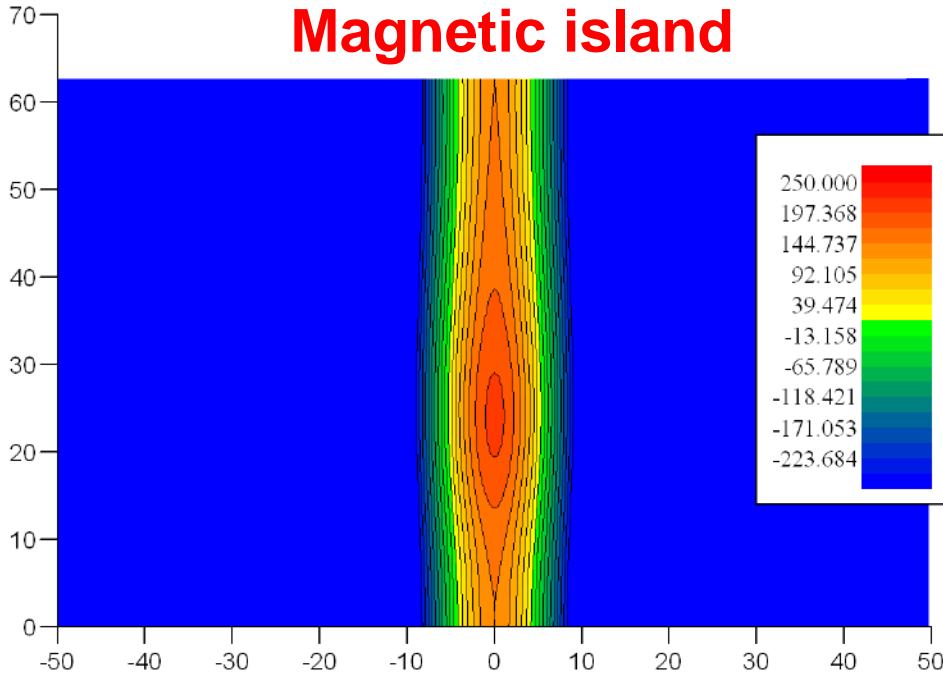
✓ Temperature island collapses



✓ New secondary instability (Short wavelengths with same growth rate; electrostatic)



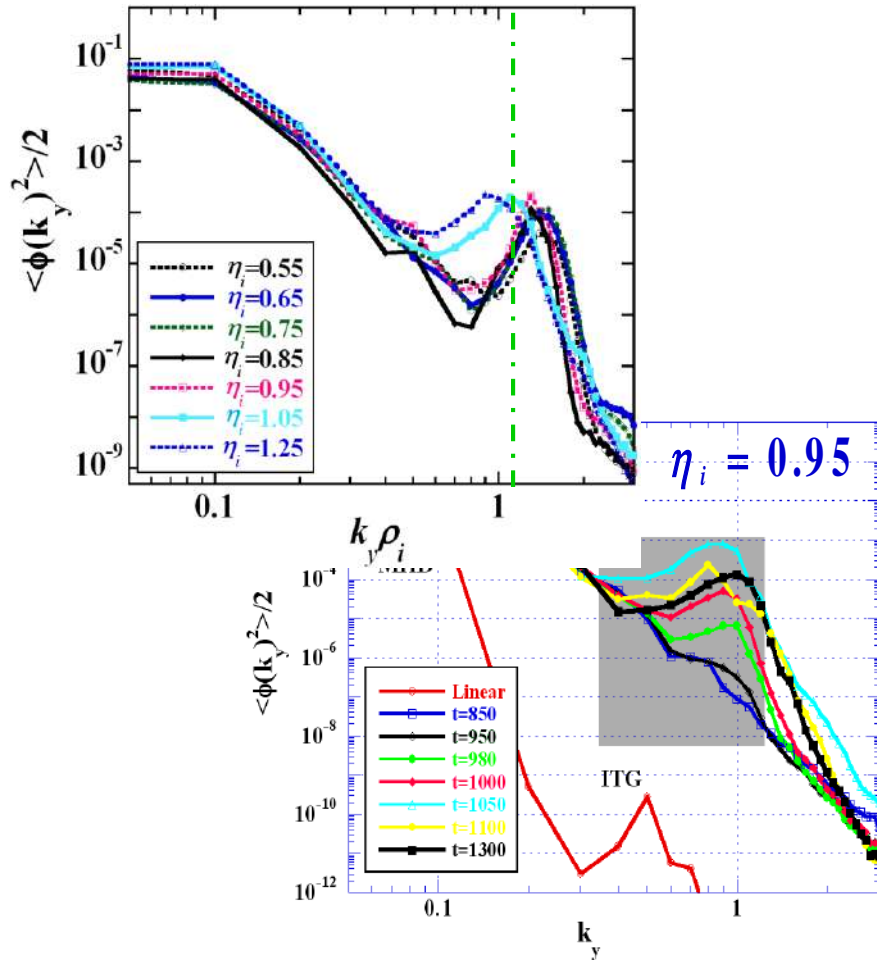
A new instability (Animation)



- ✓ New instability is excited in the boundary region around the separatrix, global-type structure propagating along ion diamagnetic drift direction;
- ✓ Magnetic island induced ITG – MITG.

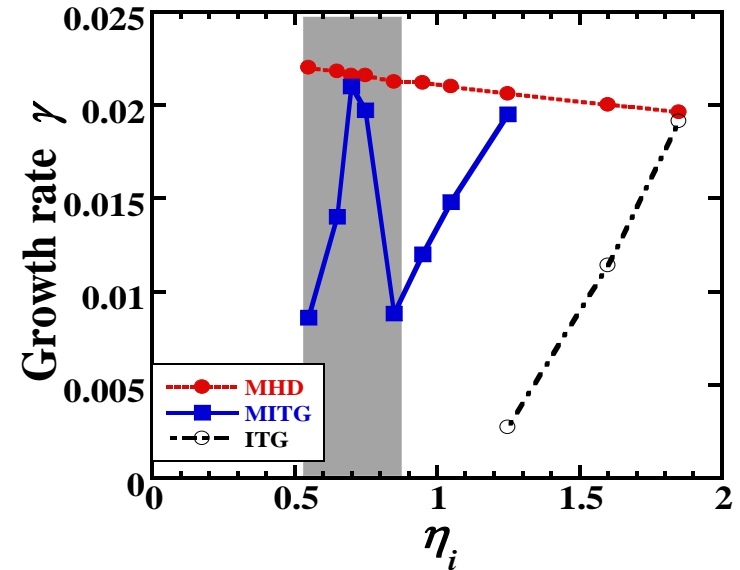
Dispersion characteristics of MITG

➤ Energy spectra of MITG



✓ MITG drives a spectral hump around $k_y \sim 1$, but almost no effect on low- k_y region.

➤ Growth rate vs η_i

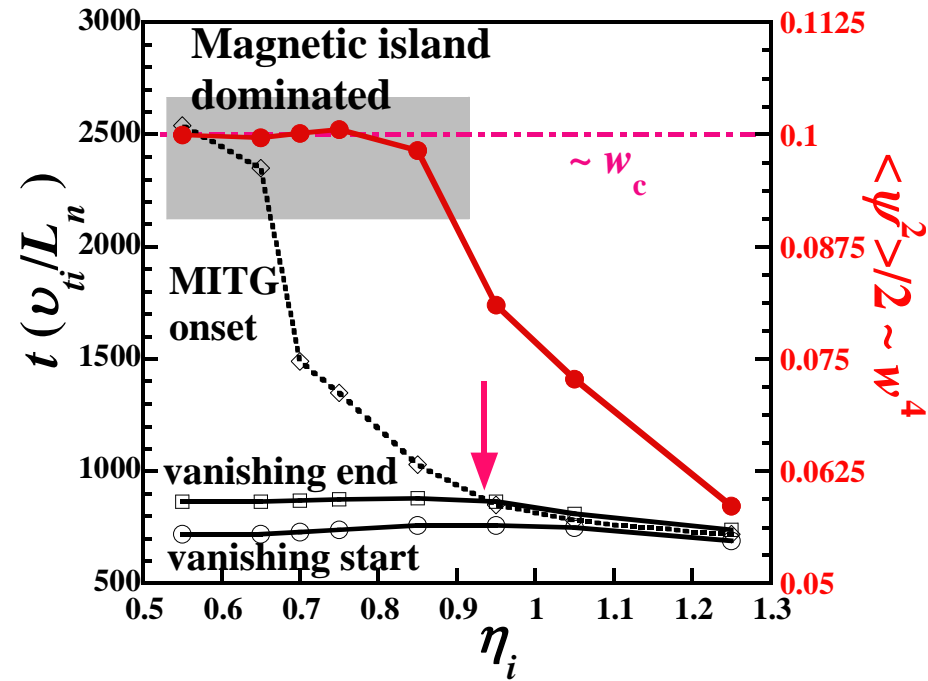
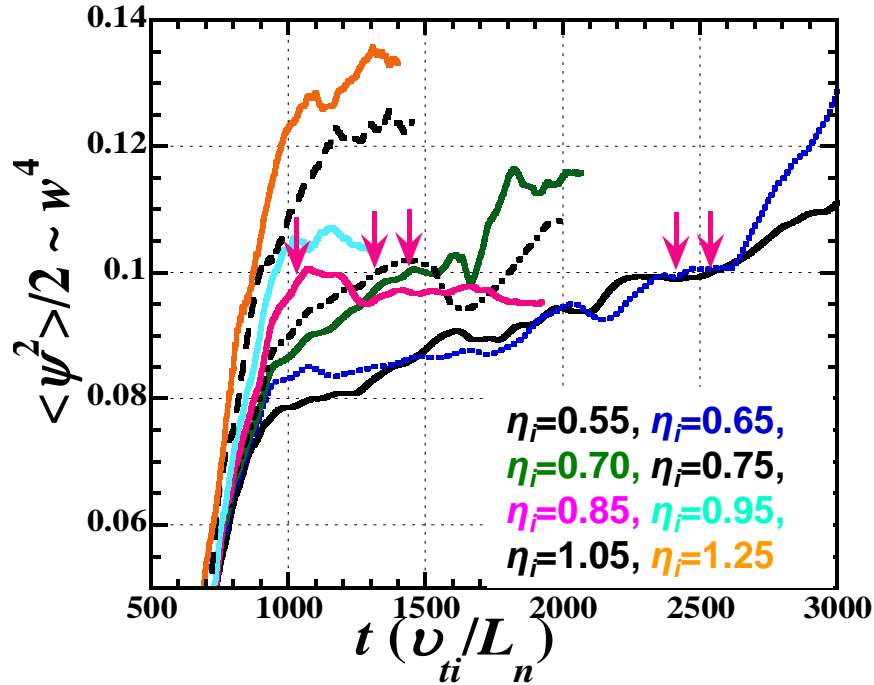


✓ MITG has lower stability threshold of η_i . Why? (If frozen-in law is satisfied, no T_i gradient inside magnetic island, then no ITG)

✓ Dependence of MITG growth rate on η_i is non-monotonic. Why? (what determines the instability?)

Excitation condition of MITG mode

- MITG is subject to **magnetic and temperature island dynamics**

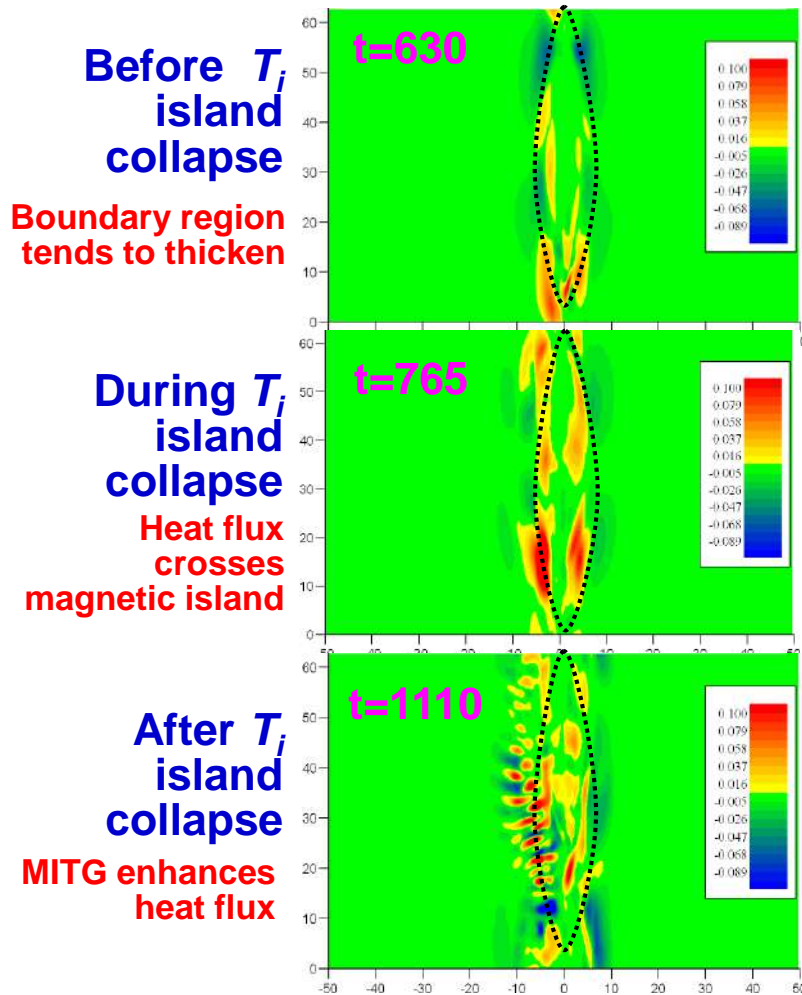


✓ A critical island width w_c for MITG excitation after T_i island collapse.

✓ MITG is excited during T_i island collapse for $\eta_i \gtrsim 0.9$; otherwise, after T_i island collapse as magnetic island approaches w_c .

Probable mechanism of T_i island collapse

➤ Heat flux evolution



✓ Enhanced radial transport near separatrix leads to T_i island collapse

➤ Transport around magnetic island

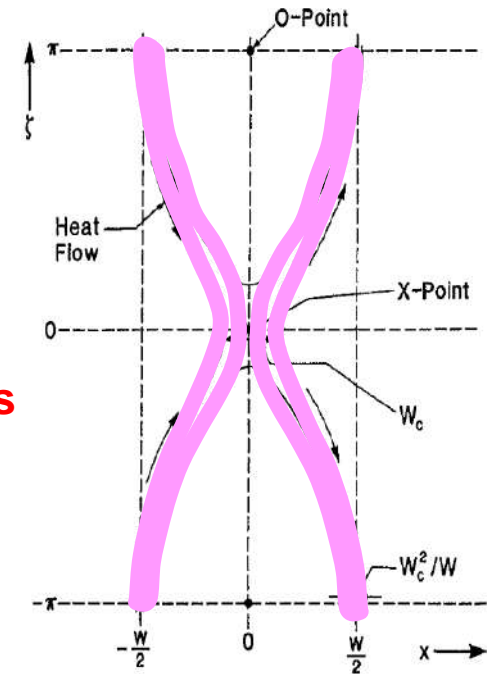
$$\nabla \cdot (\chi_{\parallel} \nabla_{\parallel} T) + \nabla \cdot (\chi_{\perp} \nabla_{\perp} T) + P(r)/n = 0$$

➤ Critical island width

$$w_c \propto a (\chi_{\perp} / \chi_{\parallel})^{1/4}$$

Fitzpatrick, PoP 1995

➤ For $w < w_c$, T is not a function of island flux surfaces.



➤ Physical understanding:

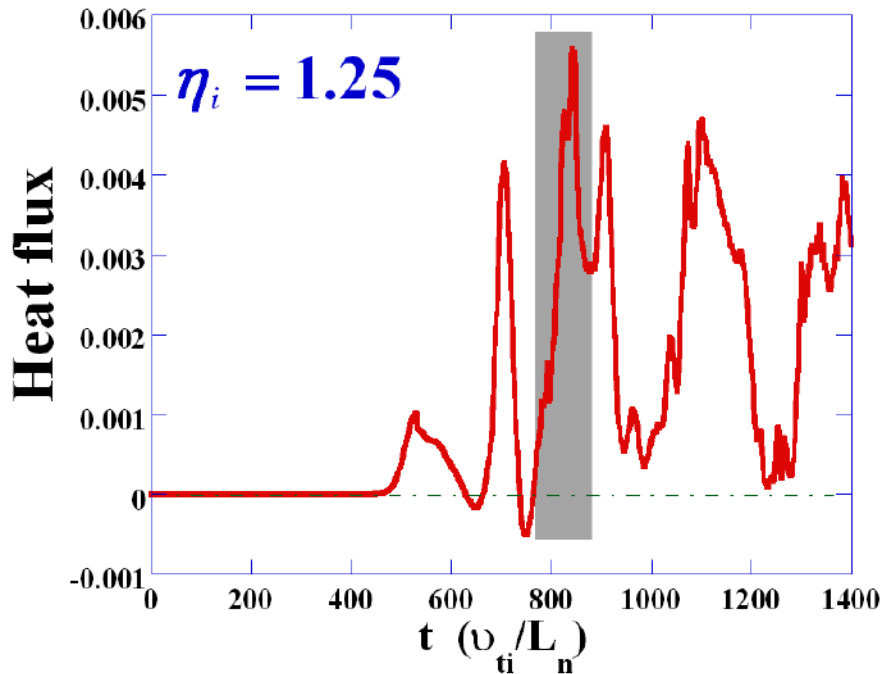
ITG $\Rightarrow \chi_{\perp} \nearrow \Rightarrow w_c \nearrow$; $w_c^2/w \nearrow \Rightarrow$
 $w < w_c \Rightarrow T_i$ island collapse

+

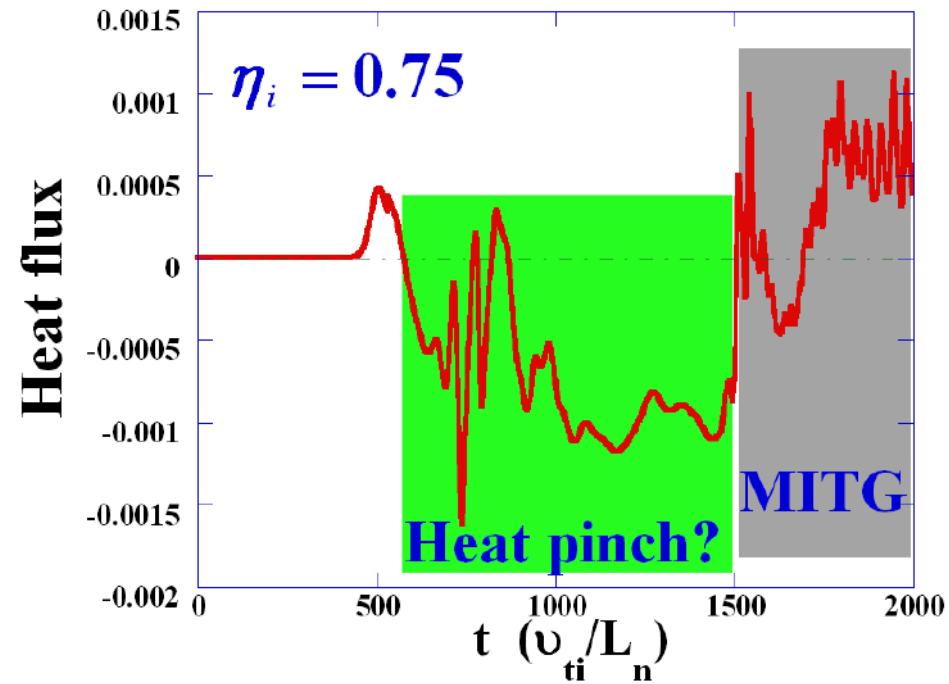
Magnetic island growth \Rightarrow MITG

Transport feature of MITG

➤ Case with **unstable** ITG



➤ Case with **stable** ITG



- ✓ MITG enhances heat transport;
- ✓ Transport displays intermittency due to strong excitation of MITG;
- ✓ Mixed MHD and ITG turbulence may cause heat pinch, especially for small η_i .

Outline

- Overview of multi-scale phenomena in MCF plasmas
 - ✓ Origin of multi-scale turbulence
 - ✓ Status of multi-scale turbulence simulation
- Gyrofluid approach simulation for multi-scale turbulence
 - ✓ Gyrofluid model
 - ✓ Multi-scale interaction between MHD and micro-turbulence
 - Magnetic island response to micro-turbulence
 - Micro-turbulence response to MHD island dynamics
- **Gyrokinetic approach simulation for multi-scale turbulence**
 - ✓ **Gyrokinetic model**
 - ✓ **Full- f gyrokinetic Vlasov code—GKNET**
 - ✓ **GK ITG instability with an island**
 - ✓ **Flux-driven GK turbulence simulation on profile stiffness and ITB**
- Summary

First-principle model: Gyrokinetics

➤ Gyrokinetic theory

Topical review: Gyrokinetic simulations of turbulent transport, X. Garbet, et al., Nucl. Fusion 50, 043002 (2010)

$$\frac{\partial}{\partial t} FB + \nabla \cdot \left[FB(\nu_{\parallel} \hat{b} + J_0 \vec{\nu}_E + \vec{\nu}_d) \right] + \frac{\partial}{\partial t} \left[FB \left(-\frac{e}{m} \hat{b} \cdot \nabla J_0 \Phi - \mu \hat{b} \cdot \nabla B + \nu_{\parallel} (\hat{b} \cdot \nabla \hat{b}) \cdot J_0 \vec{\nu}_E \right) \right] = C(F)$$

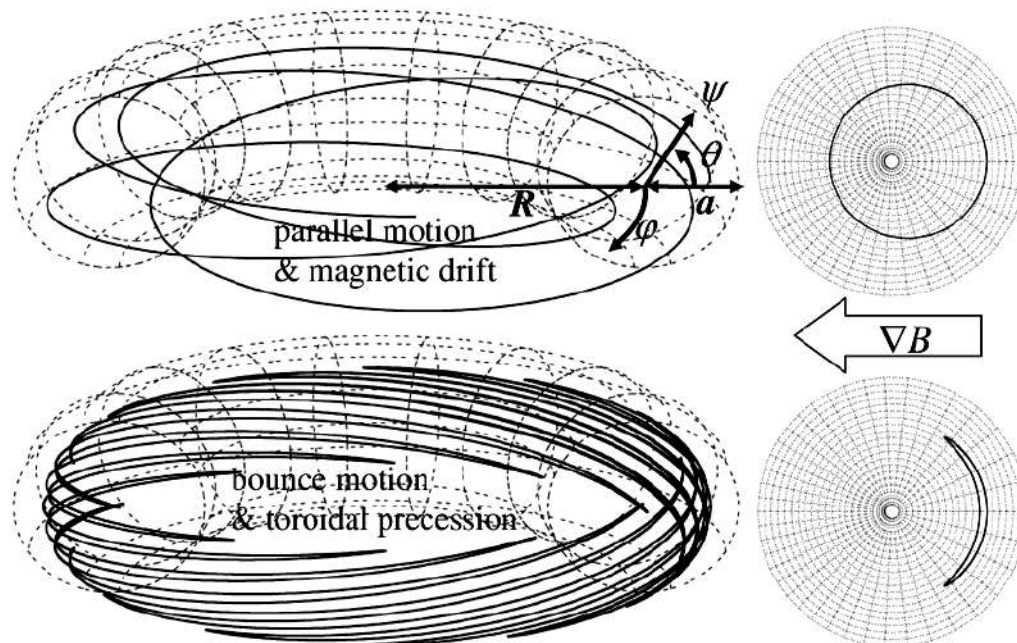
➤ Why Gyrokinetic:

✓ Precise ion and electron dynamics (FLR effects) and Landau damping along perturbed field lines are crucial in a wide spectrum.

✓ Particle resonances and trapped particle effects are important

✓ Nonlinear dynamics in velocity space is non-negligible

✓



GKNET code

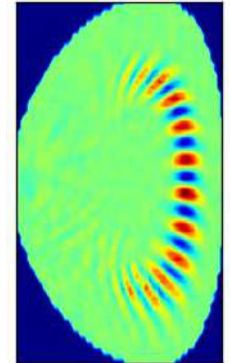
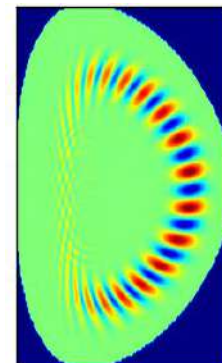
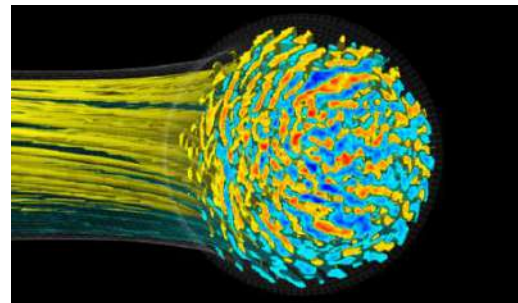
➤ GKNET: GyroKinetic Numerical Experiment of Tokamak

➤ Features of GKNET

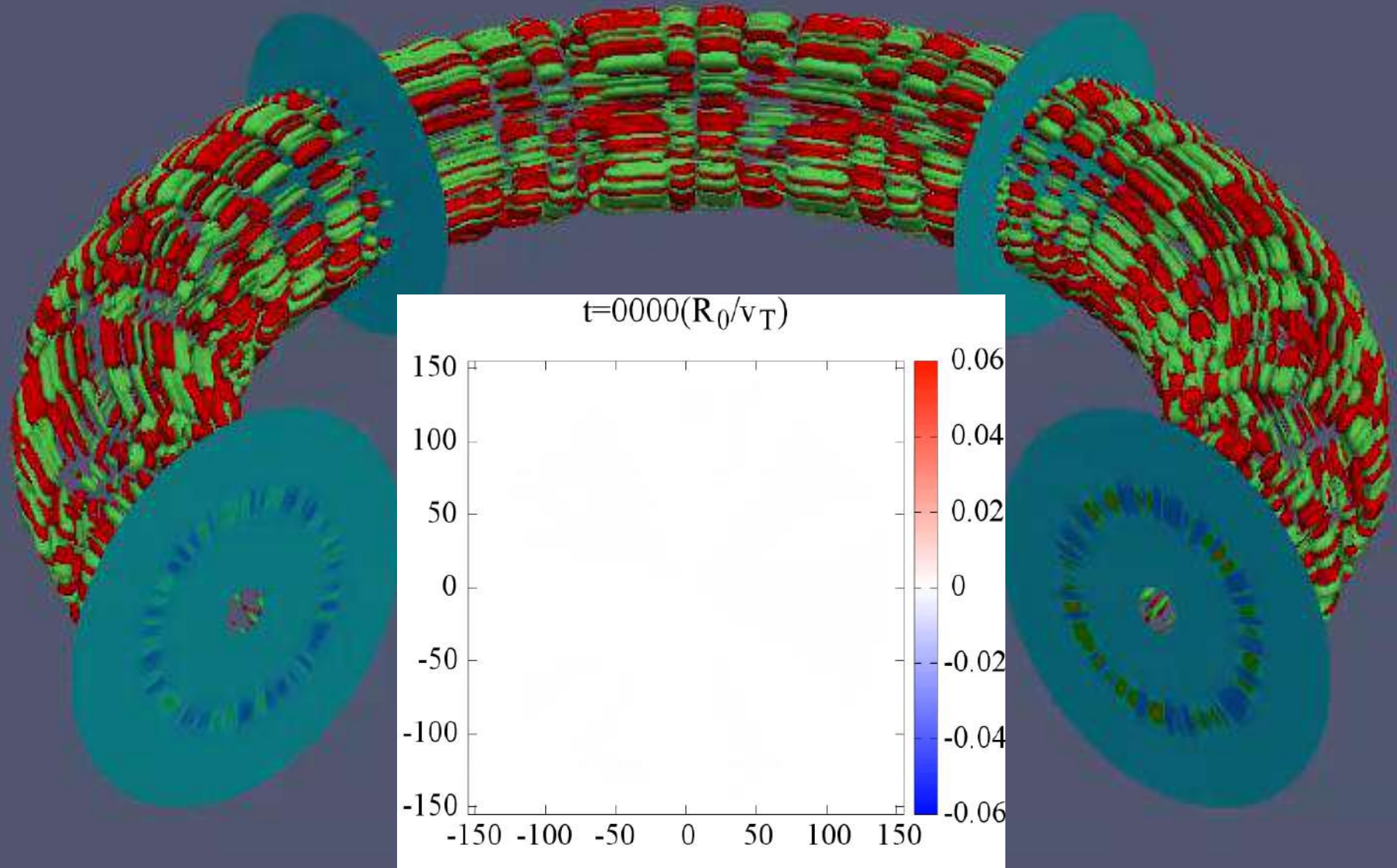
Imadera, et.al. 25th IAEA 2014; Kevin et al. PFR 2015

- ✓ **Full- f (Global):** neoclassical flow (E_r) satisfying radial force balance;
- ✓ **Flux-driven:** heat source in the core and sink at edge;
- ✓ **Momentum-driven:** momentum injection;
- ✓ **Collisional:** Linearized Fokker–Planck collision operator (test-particle and field-particle parts);
- ✓ **Gyrokinetic ions + adiabatic (or kinetic) electrons;**
- ✓ **Electrostatic (or electromagnetic);**
- ✓ **Circular (or non-circular with analytical equilibrium) cross-section plasmas;**
- ✓
- ✓ **Vlasov approach: finite difference (Morinishi scheme) in 5D with FFT solver (or real space solver) for gyro-average;**
- ✓ **Conservation;**
- ✓

Typical global ITG turbulence
and linear ITG mode structures



Animation: flux-driven ITG turbulence



GyroKinetic formulism in GKNET

➤ GK Vlasov equation for ions

Imadera, et.al. 25th IAEA 2014

$$\frac{\partial}{\partial t} f_i + \frac{d\vec{R}}{dt} \cdot \nabla f_i + \frac{dv_{\parallel}}{dt} \frac{\partial}{\partial v_{\parallel}} f_i = C_{coll} + S_{src} + S_{snk} \quad H = \frac{v_{\parallel}^2}{2} + \mu B + \langle \phi \rangle_{\alpha}$$

$$\frac{d\vec{R}}{dt} = \{ \vec{R}, H \} = \frac{\vec{B}_{\parallel}^*}{B_{\parallel}^*} \frac{\partial H}{\partial v_{\parallel}} + \frac{1}{B_{\parallel}^*} \vec{b} \times \nabla H \quad \frac{dv_{\parallel}}{dt} = \{ v_{\parallel}, H \} = -\frac{\vec{B}_{\parallel}^*}{B_{\parallel}^*} \nabla H \quad \hat{\vec{B}}_{\parallel}^* = \hat{\vec{B}} + v_{\parallel} \nabla \times \hat{\vec{b}}$$

➤ GK quasi-neutrality condition

$$\phi - \langle \langle \phi \rangle \rangle_{\alpha} + \frac{1}{T_{e0}(\mathbf{r})} (\phi - \langle \phi \rangle_{\alpha}) = \frac{1}{n_{i0}(\mathbf{r})} \iint \langle \delta f \rangle_{\alpha} B_{\parallel}^* dv_{\parallel} d\mu$$

➤ DK collision operator

$$C_{coll}(f) = \frac{\partial}{\partial u} \left[\frac{3\sqrt{\pi}}{2} \frac{n}{v_{th}} \frac{\Phi(v) - \Psi(v)}{2v} \frac{\varepsilon^{3/2}}{qR_0} v^* \left(\frac{\partial f}{\partial u} \right) + \frac{u}{v_{th}^2} f \right] - C_F(f)$$

$$\Phi(v) = \frac{2}{\sqrt{\pi}} \int_0^v e^{-x^2} dx \quad \Psi(v) = \frac{1}{\sqrt{\pi} v^2} \left(\int_0^v e^{-x^2} dx - v e^{-v^2} \right)$$

Applications of GKNET code

- **Effect of 3D helical island on GK toroidal ITG instability;**
- **Simulation of flux-driven GK turbulence on profile stiffness and ITB formation**

GK ITG mode with 3D helical resonant island

➤ Configuration with 3D island

$$\vec{B} = B_0 \hat{b} + \delta \vec{B}_I = B_0 \hat{b} + \nabla \times (A_{//I} \vec{b}) = B_0 \hat{b} + \nabla \times [A_{//I0}(r) \cos(m_I \theta - n_I \varphi) \hat{b}]$$

➤ Model for resonant magnetic island (e.g., tearing mode island)

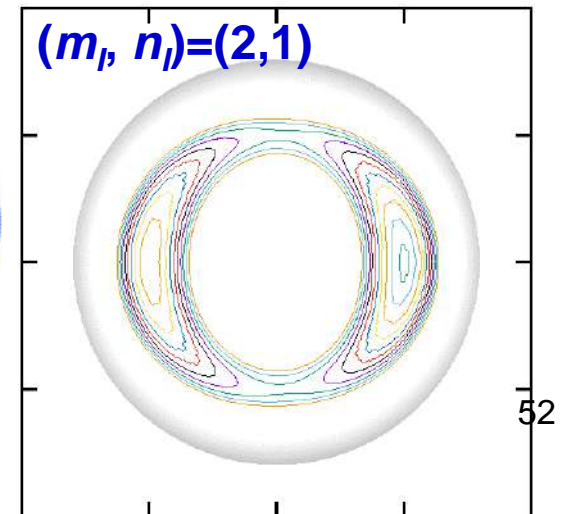
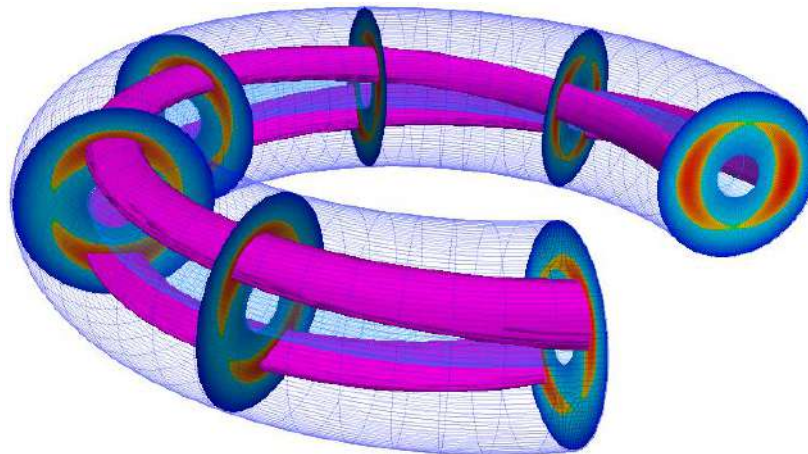
$$\psi_{He} = \psi_p - \frac{\psi_t}{q_s} + \psi_I$$

$$\psi_I = \kappa(r) \frac{\hat{s}_s w^2}{4r_s} [1 + \cos(m_I \theta - n_I \varphi)]$$

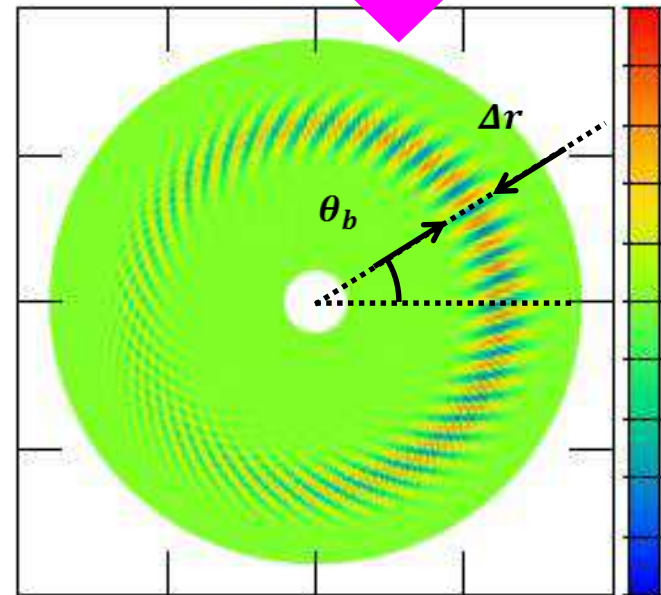
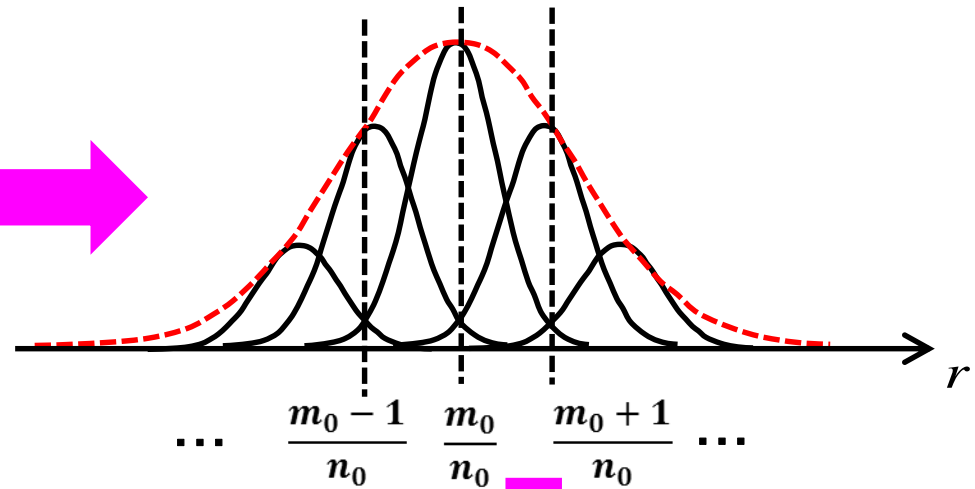
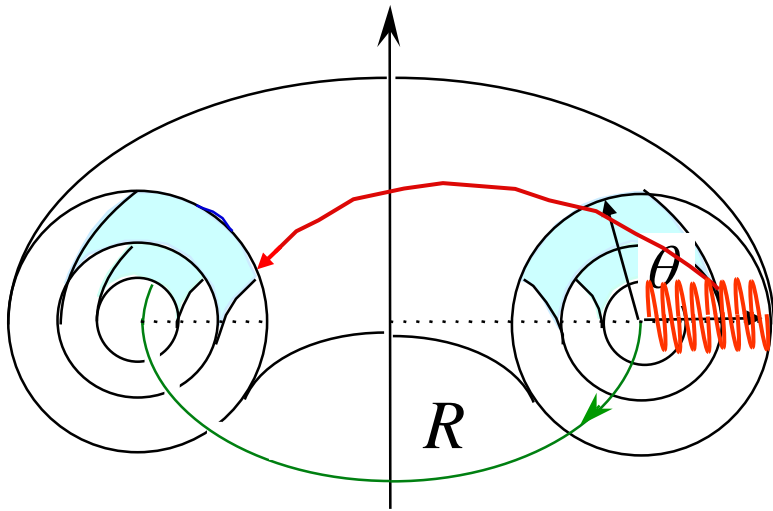
Under constant- ψ approximation with island width: $w(\psi) \propto \sqrt{\tilde{\psi}}$

➤ Flux contours

$w \sim 25$

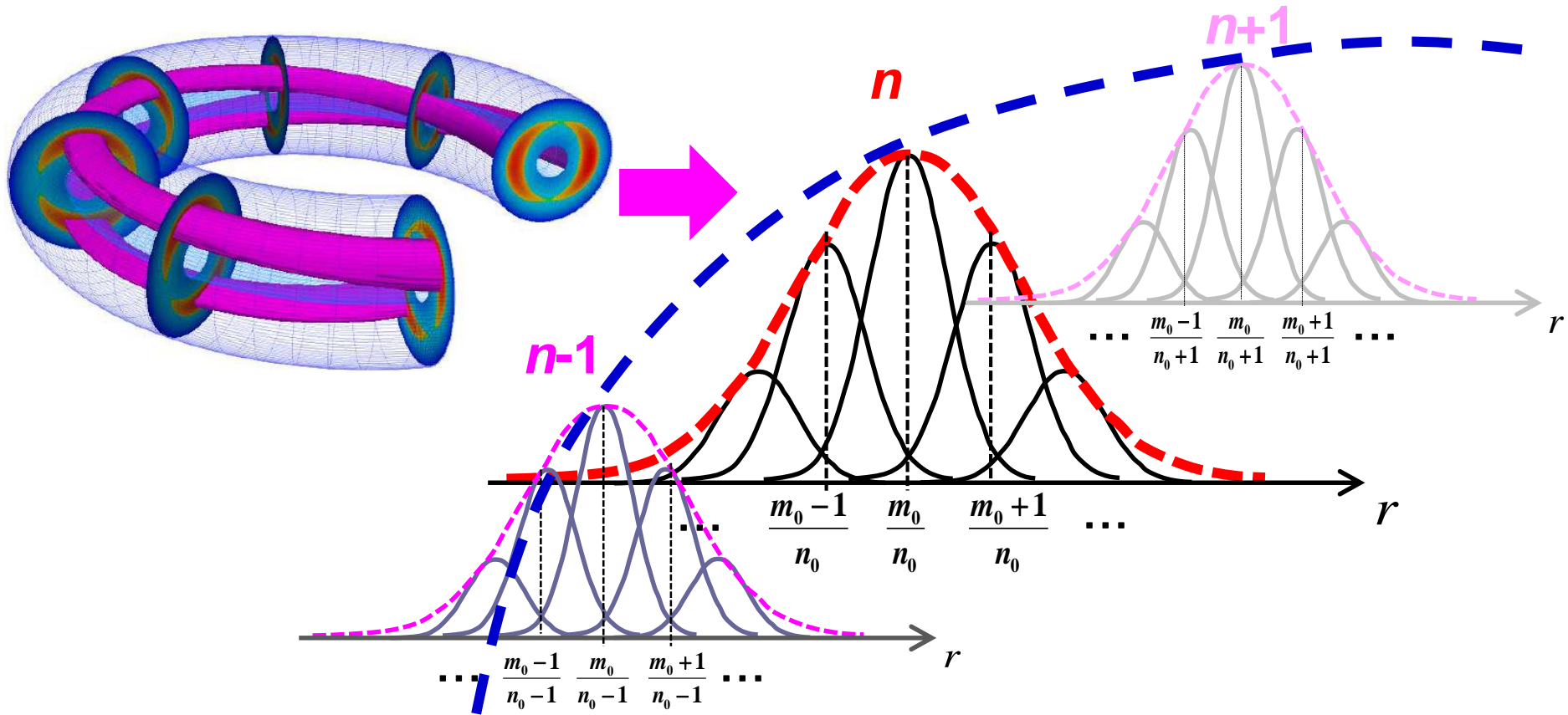


Ballooning mode in a torus

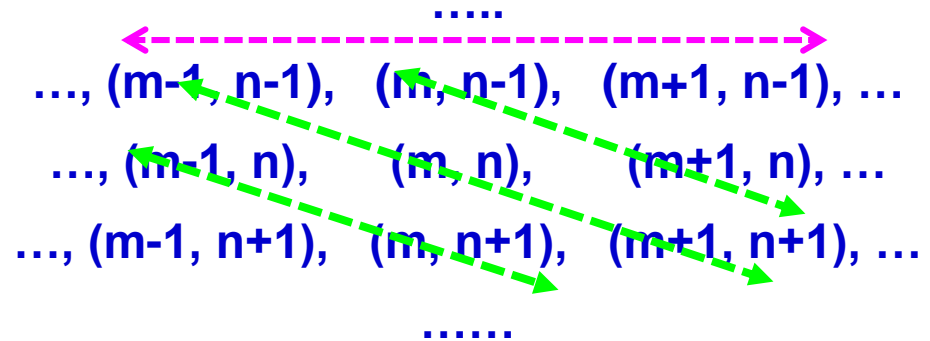


- ✓ **Ballooning mode structure is formed through “toroidal coupling” in “bad” curvature region for a given toroidal mode number n ;**
- ✓ **Ballooning angle θ_b and mode width Δr are determined by q and pressure profiles.**

Role of 3D helical Island in ITG fluctuation?

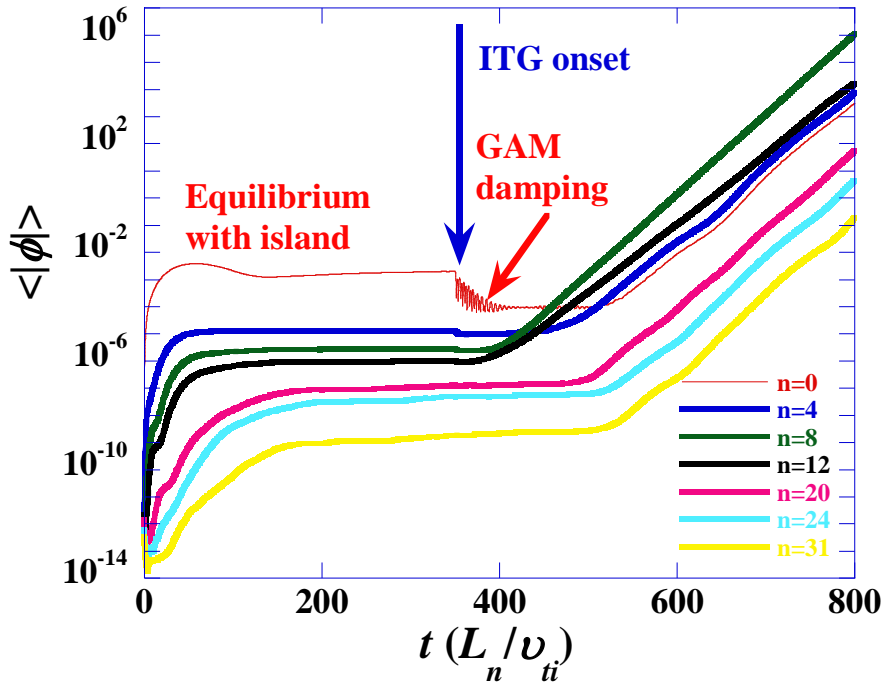


- ✓ Island can enhance toroidal coupling (m-coupling);
- ✓ Island may link ballooning modes in “bad” and “good” curvature regions (i.e, n-coupling).

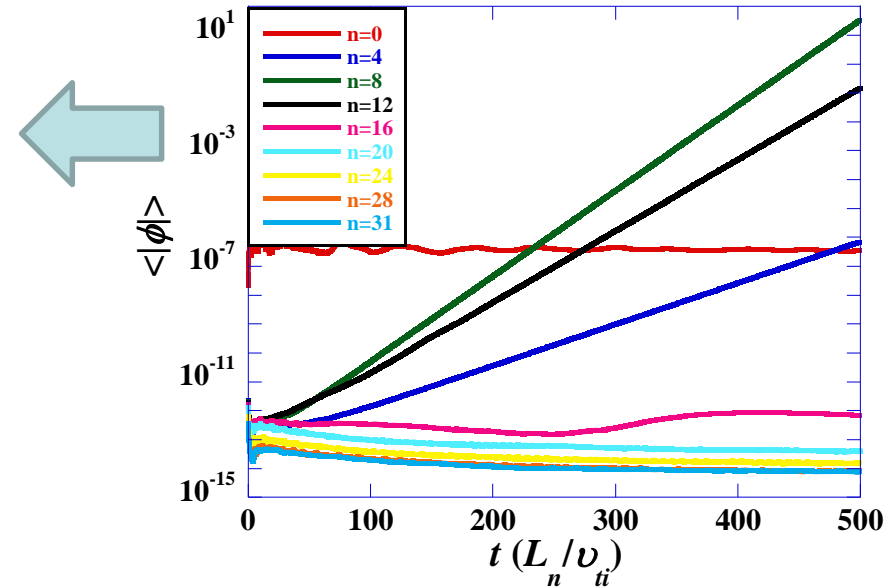


Simulation with resonant island

➤ ITG **with** 3D (2, 1) resonant island
($w=25$)



➤ ITG **without** island
for comparison



Simulation is performed with 2 stages

✓ $t=0\sim 350$: equilibrium establishment (T_i & n_i flattening inside island)

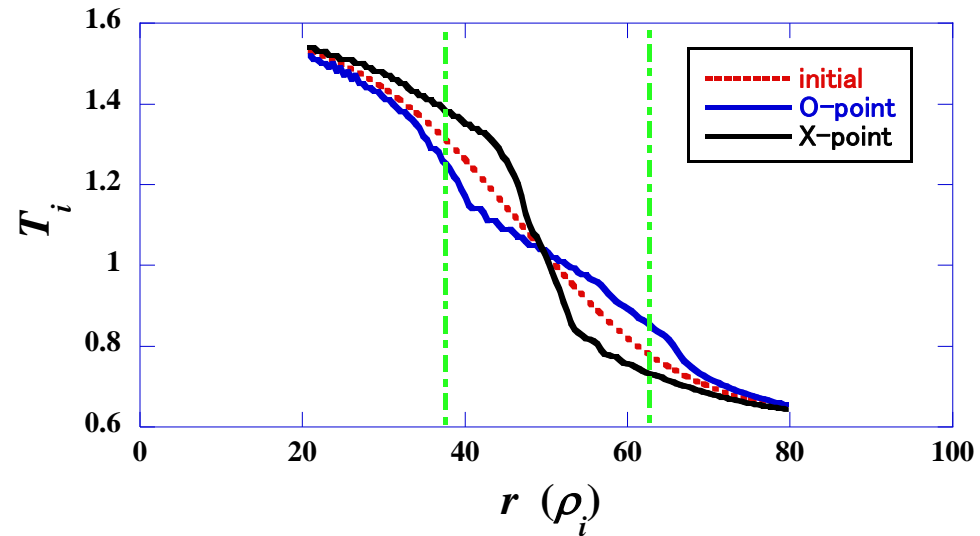
✓ $t=350\sim 420$: GAM damping;

$t=350\sim$: ITG excitation with n -mode coupling

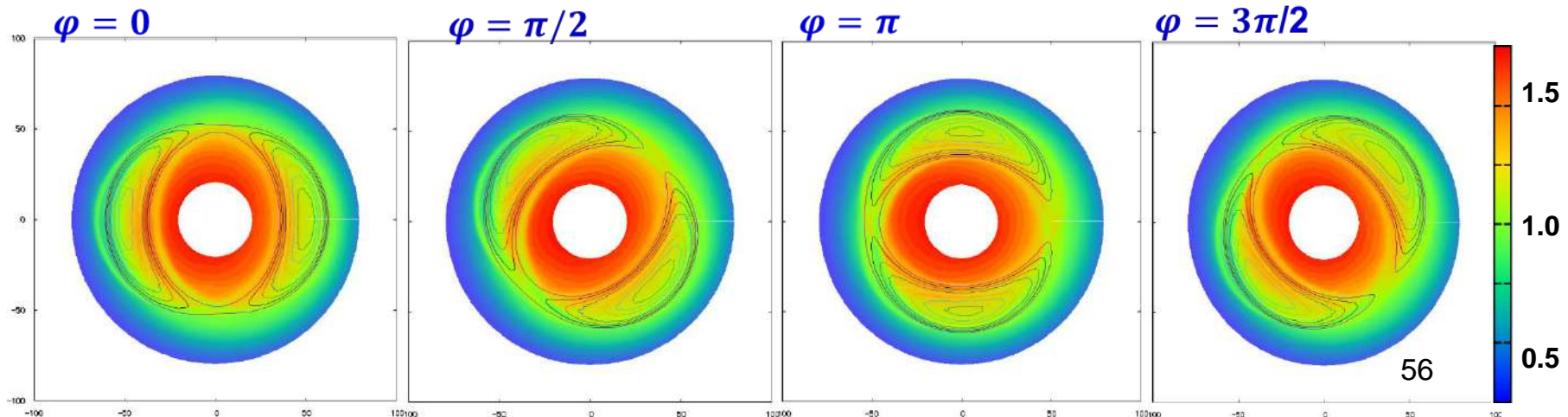
Equilibrium response to resonant island

➤ Quasilinear flattening inside islands

- ✓ O-point region (low field side): flattening (NOT complete);
- ✓ X-point region: steepening

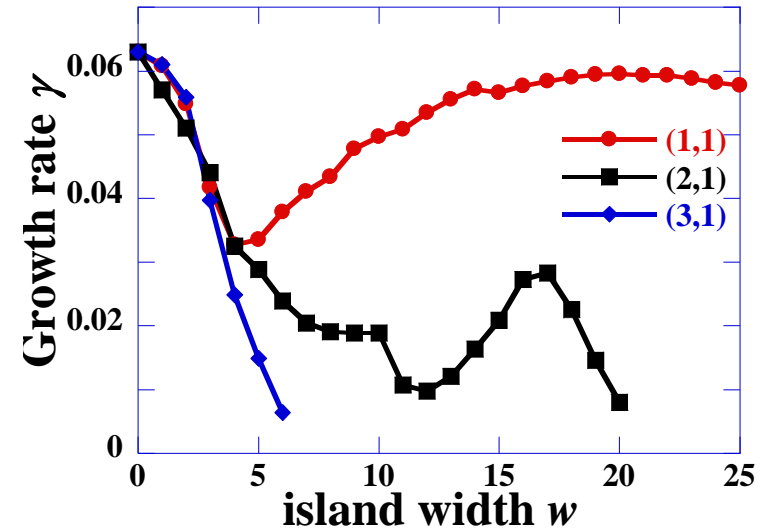
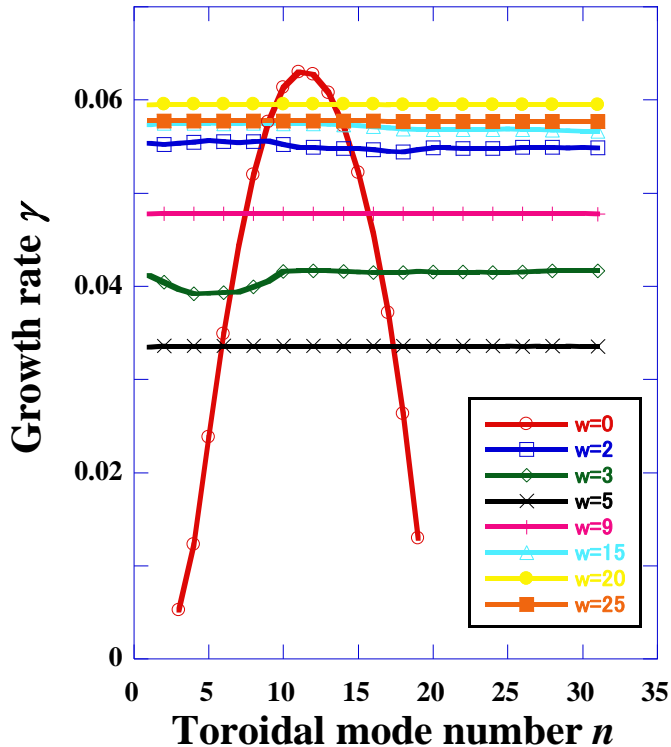


➤ Equilibrium T_i response to (2,1) resonant island

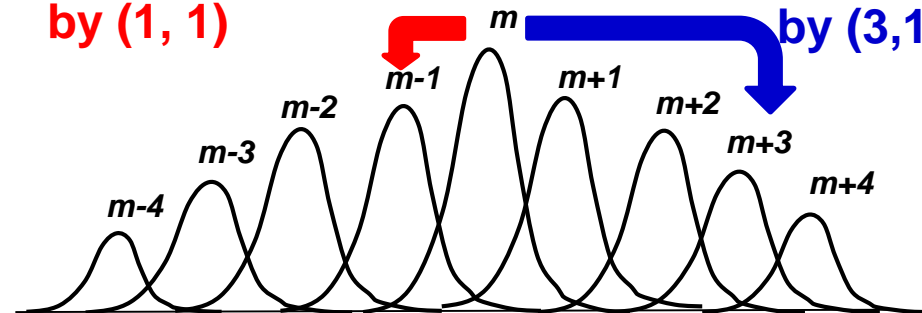


ITG growth rate vs island width

➤ Magnetic island with (1, 1)



Strong m -coupling by (1, 1) ↑ Weak m -coupling by (3,1) ↘



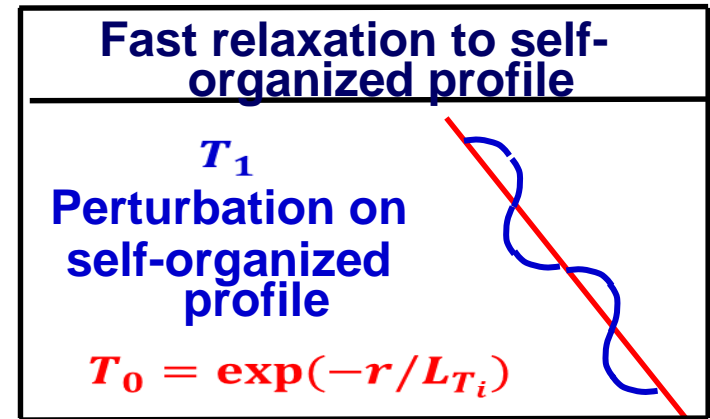
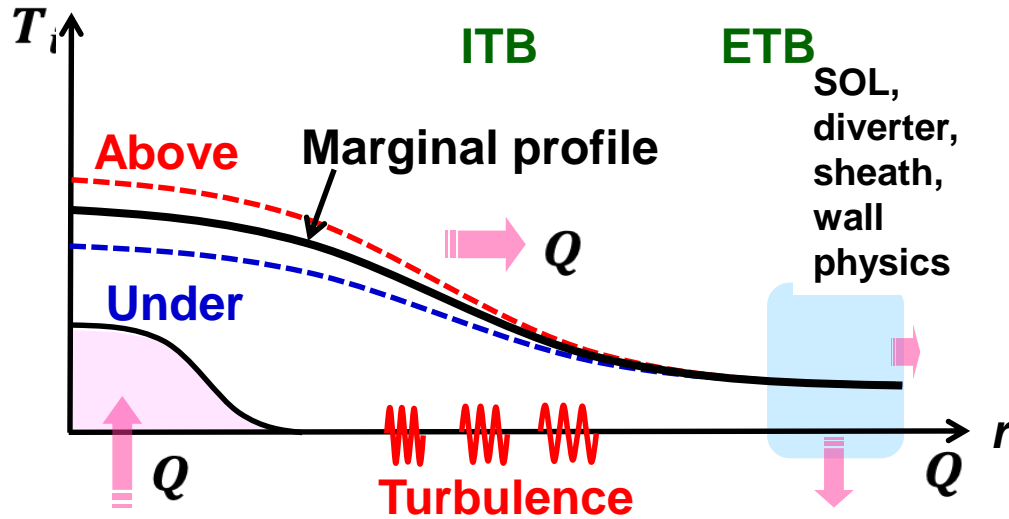
✓ Strong 3D magnetic perturbation with small island width stabilizes toroidal ITG mode; BUT larger island plays a destabilization role.

✓ Destabilization mechanism: island induced m -coupling enhances “toroidal coupling”, destabilize ITG.

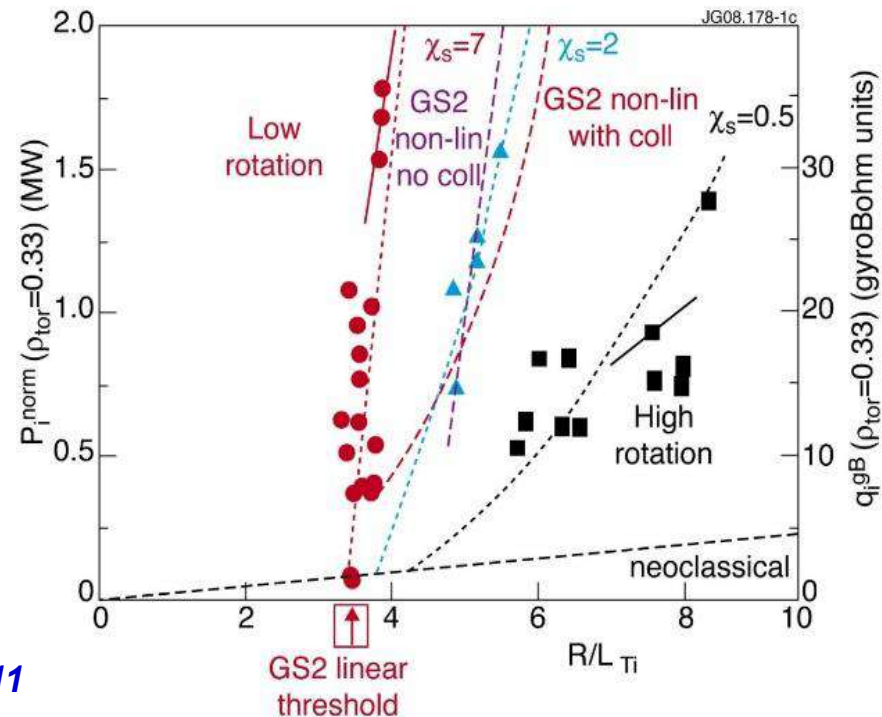
Applications of GKNET code

- Effect of 3D helical island on GK toroidal ITG instability;
- **Simulation of flux-driven GK turbulence on profile stiffness and ITB formation**

Profile Stiffness in Toroidal Plasmas



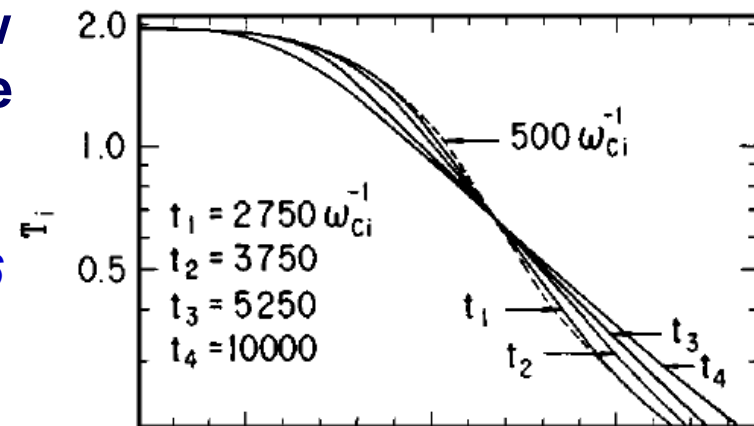
✓ Ion temperature gradient tends to be a constant around the critical value to drive ITG instability.
 --> Profile stiffness



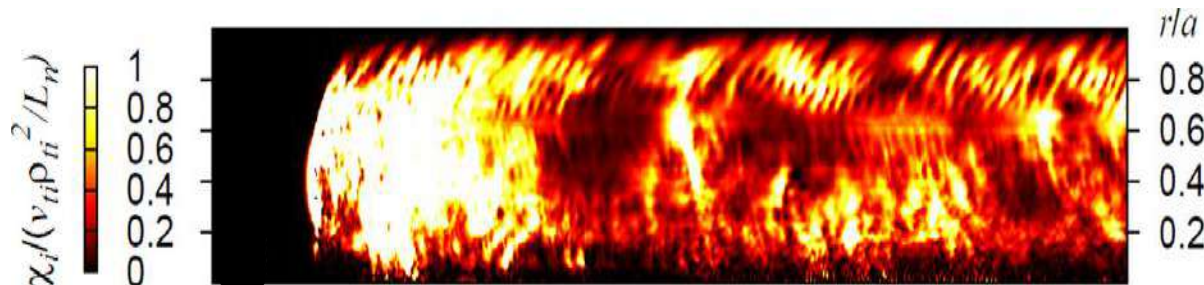
Profile stiffness in profile & flux driven ITG

➤ Full-kinetic global simulations with low zonal flows show a strong constraint on the functional form of temperature profile.

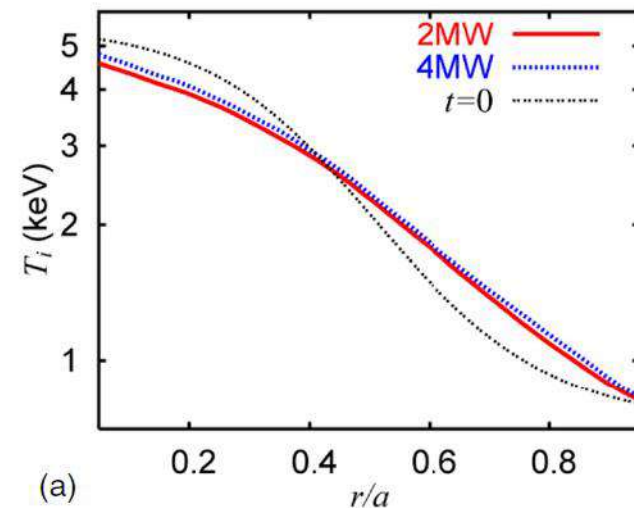
Kishimoto, et.al. PoP 1996



➤ Flux-driven full- f GK simulations also reveal a strong stiffness of T_i profile even with strong mean and zonal flows.



Idomura, et al. NF 2009



Why is profile stiffness dominant even in flux-driven turbulence with mean and zonal flows?

Flow effects on ballooning mode(Theory)

➤ Ballooning mode with flows

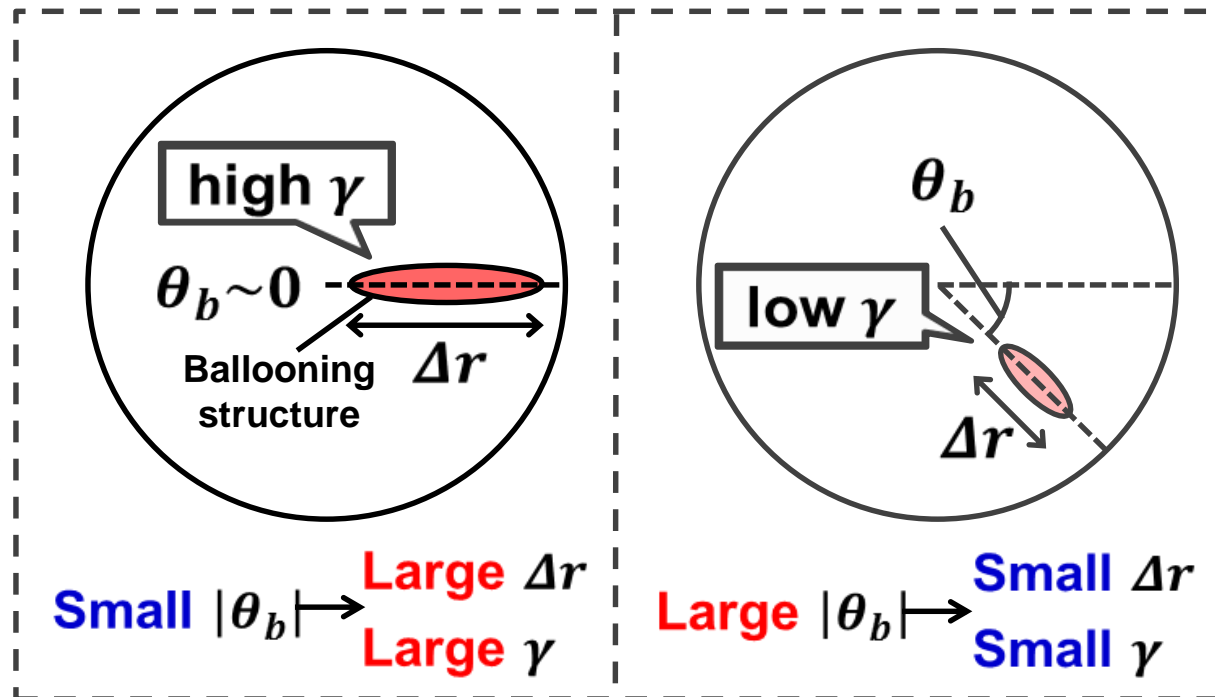
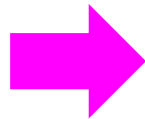
Kishimoto, et.al., PPCF 1998; NF 2000

$$\theta_b = \mp \left| \frac{\partial_r(\omega_r + \omega_f)}{2k_\theta \gamma_0 \hat{s}} \right|^{1/3}$$

$$\omega_r \sim \omega_D = -k_\theta \frac{2T_i}{eBR_0}, \quad \omega_f \sim -k_\theta \frac{E_r}{eB}$$

$$\Delta r = \left| \frac{\sin \theta_b}{k_\theta^2 \hat{s}^2 \theta_b^3} \right|^{1/2}$$

$$\gamma = \gamma_0 \cos \theta_b$$



✓ Mean flow can recover ballooning symmetry

Origin of flow in ballooning mode(Theory)

➤ Radial force balance

$$E_r - v_\theta B_\phi + v_\phi B_\theta - \frac{1}{n_i e} \frac{\partial p_i}{\partial r} = 0 \quad \longrightarrow \quad E_r = \frac{rB}{qR} U_\parallel - \frac{T_i}{e} \left(\frac{1}{L_n} + \frac{1-k}{L_{T_i}} \right)$$

$$v_\theta = \frac{k}{eB} \frac{\partial T_i}{\partial r}, v_\phi = U_\parallel, n_i = n_{i0} \exp\left(-\frac{r}{L_n}\right), T_i = T_{i0} \exp\left(-\frac{r}{L_{T_i}}\right)$$

Eigenfrequency + Doppler shift frequency

$$\omega_r + \omega_f \sim \frac{k_\theta}{eB} \left[\underbrace{\left(\frac{2}{R_0} - \frac{1}{L_n} - \frac{1-k}{L_{T_i}} \right)}_{\text{Mean flow}} T_i - \underbrace{\frac{erB}{qR} U_\parallel}_{\text{Toroidal rotation}} \right]$$

Diamagnetic drift

Mean flow

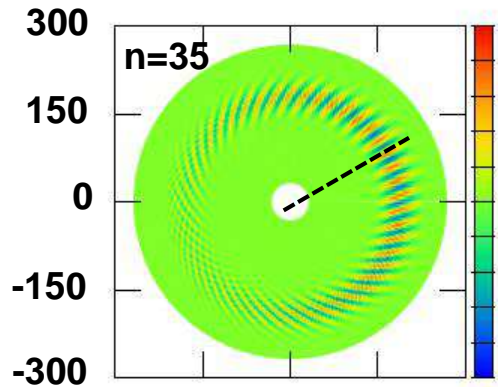
Toroidal rotation

✓ Cancellation by mean flow

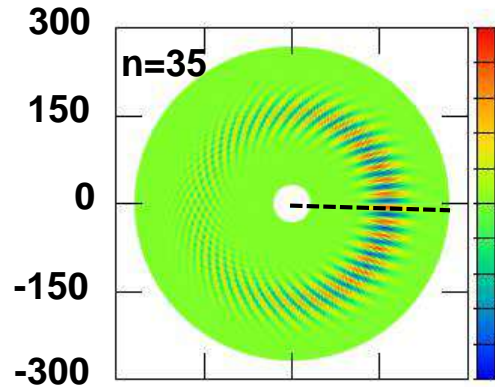
✓ Impact of toroidal rotation

Flow effect on ballooning mode(simulation)

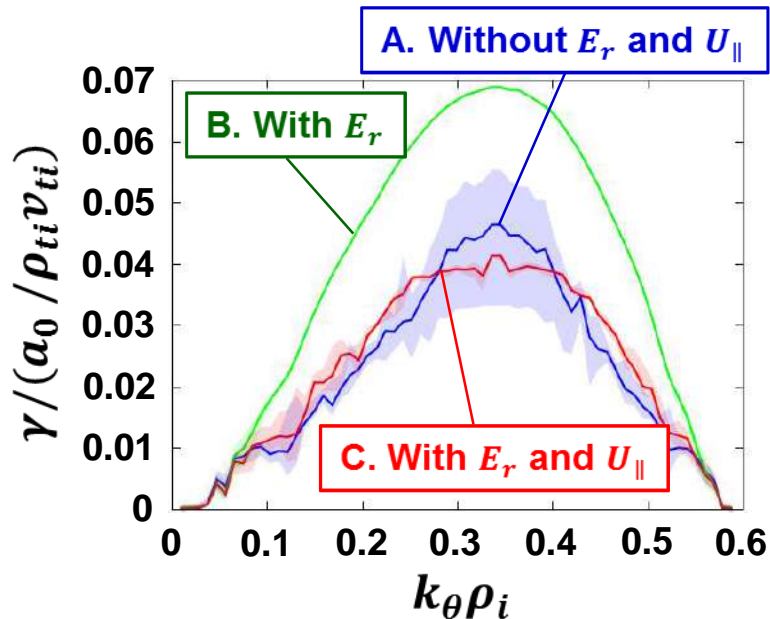
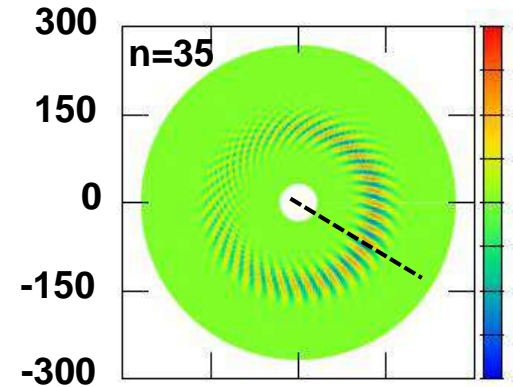
A. Without E_r and U_{\parallel}



B. With E_r



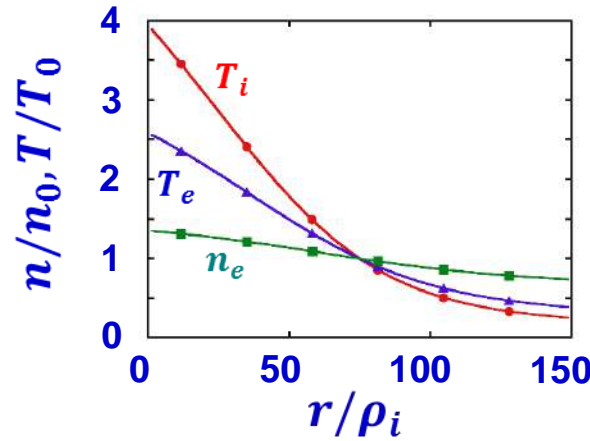
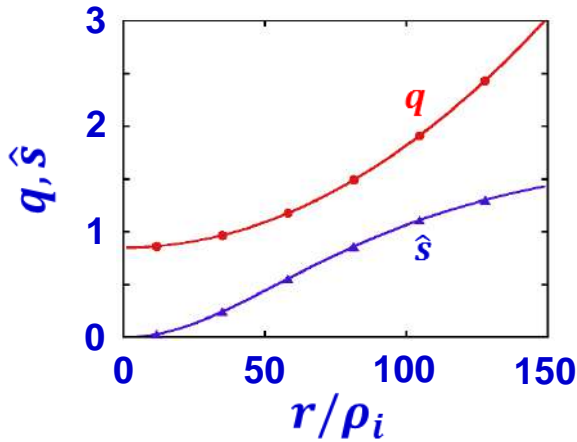
C. With E_r and U_{\parallel}



	γ	θ_b	Δr
A	0.07~0.12	0.5~0.6	28~42
B	0.15	0	49
C	0.09	-0.7~-0.6	25~27

- ✓ E_r recovers ballooning symmetry, destabilizing ITG mode;
- ✓ E_r is modulated by moderate U_{\parallel} to stabilizes ITG mode.

Parameter setting for flux-driven simulations



Parameter	Value
a_0/ρ_i	150
a_0/R_0	0.36
$(R_0/L_n)_{r=a_0/2}$	2.22
$(R_0/L_{T_i})_{r=a_0/2}$	10.0
$(R_0/L_{T_e})_{r=a_0/2}$	6.92
v_*	0.28
P_{in}	16 [MW]
$\tau_{snk}^{-1}R_0/v_{ti}$	0.25

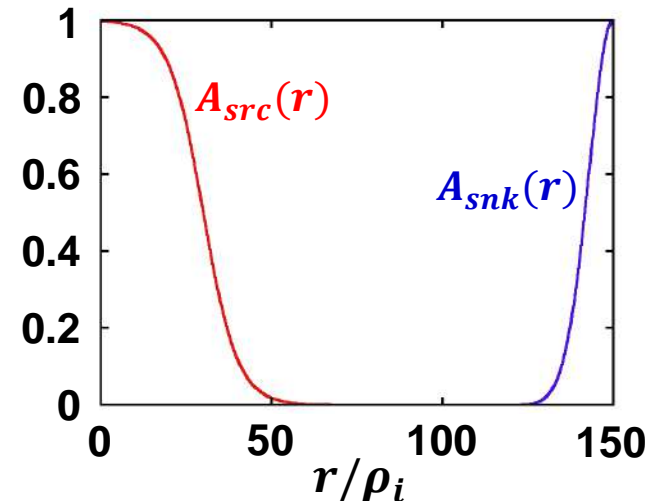
➤ Source/sink operators

$$S_{src} = A_{src}(r)\tau_{src}^{-1}[f_M(2\bar{T}) - f_M(\bar{T})]$$

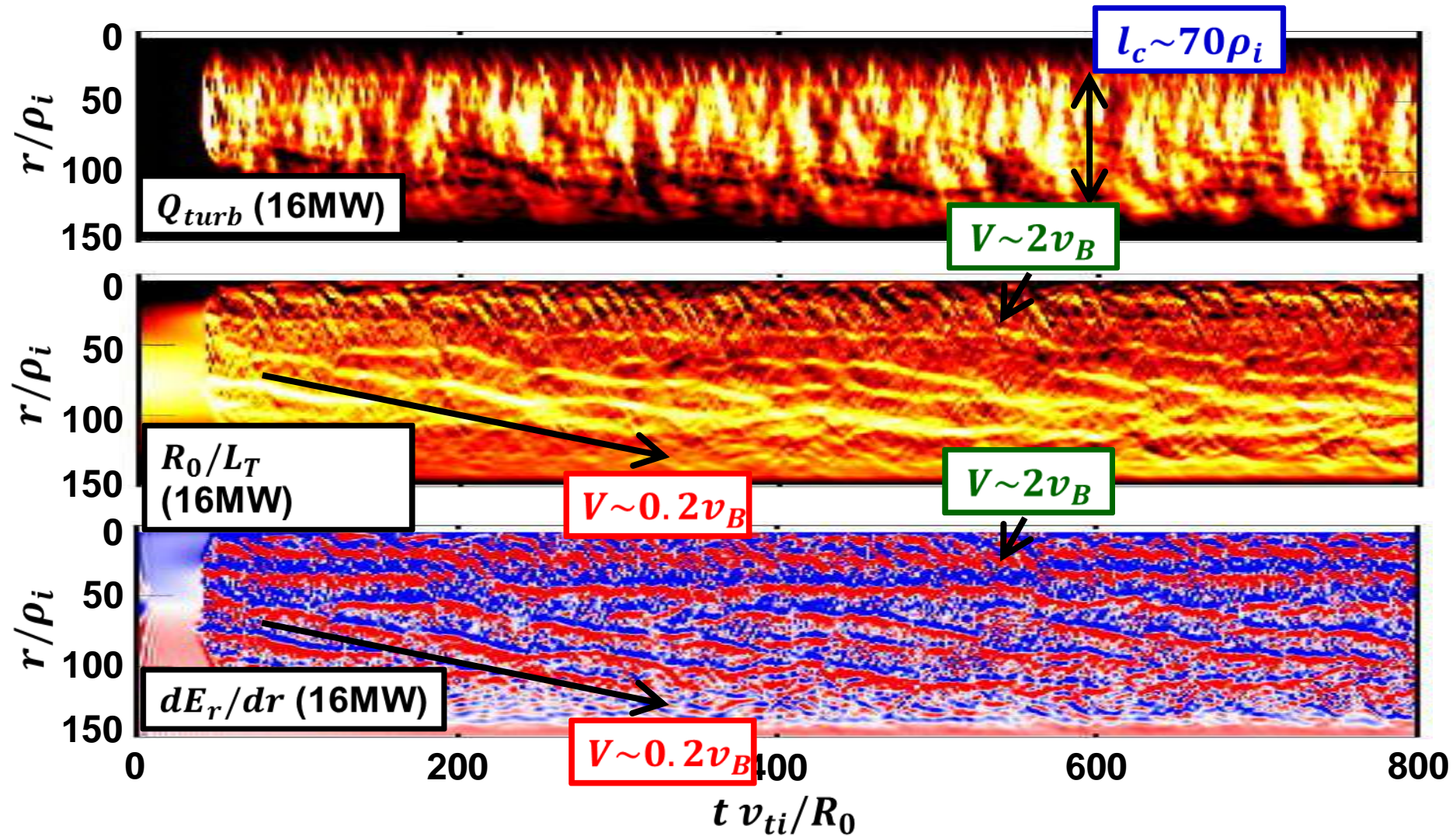
✓ Constant heat input near axis

$$S_{snk} = A_{snk}(r)\tau_{snk}^{-1}[f(t) - f(t=0)]$$

✓ Krook-type operator to f in boundary region

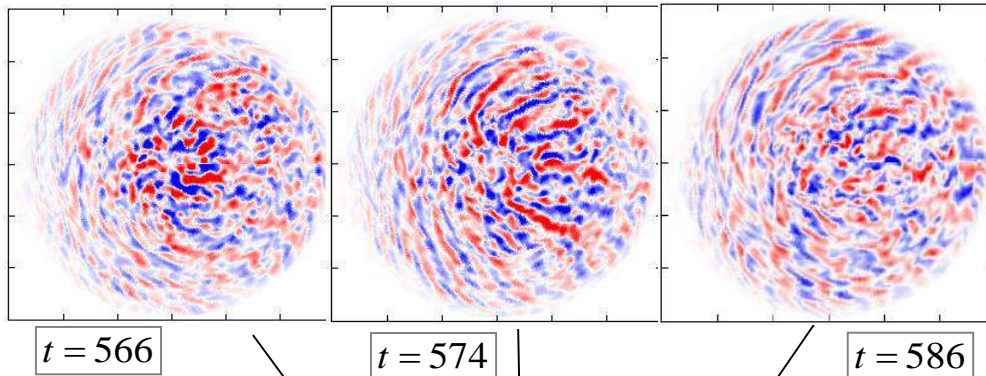


Time-Spatial features of Q_{turb} , L_T and E_r shear



- ✓ Flux-driven turbulent transport is mainly dominated by three process; (a) fast-scale avalanches; (b) slow-scale avalanches; (c) global transport.

Details of time-Spatial features



➤ Quasi-periodic burst exhibiting exponential growth and damping

$$\omega_{E \times B} \leq \gamma_{sp} < \gamma_L$$

~ 0.1

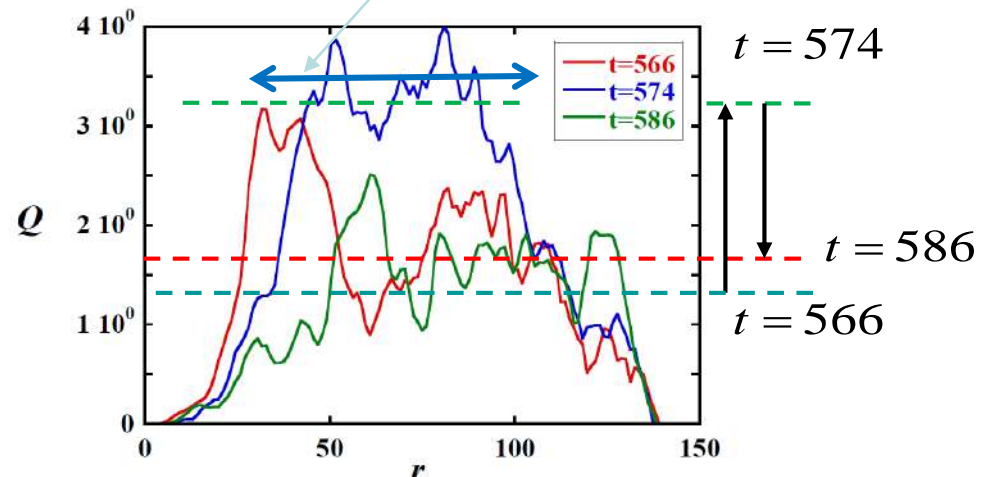
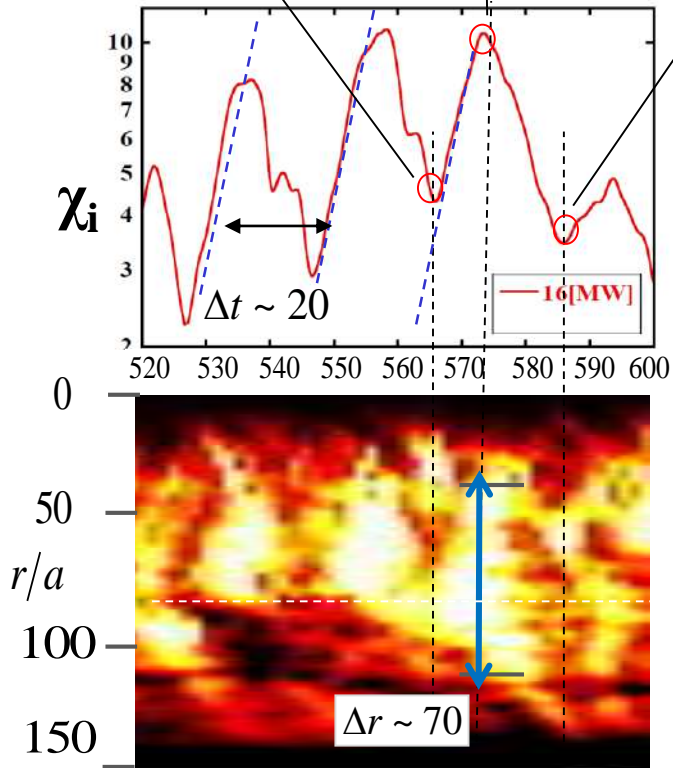
~ 0.15

~ 0.45

(linear growth rate)

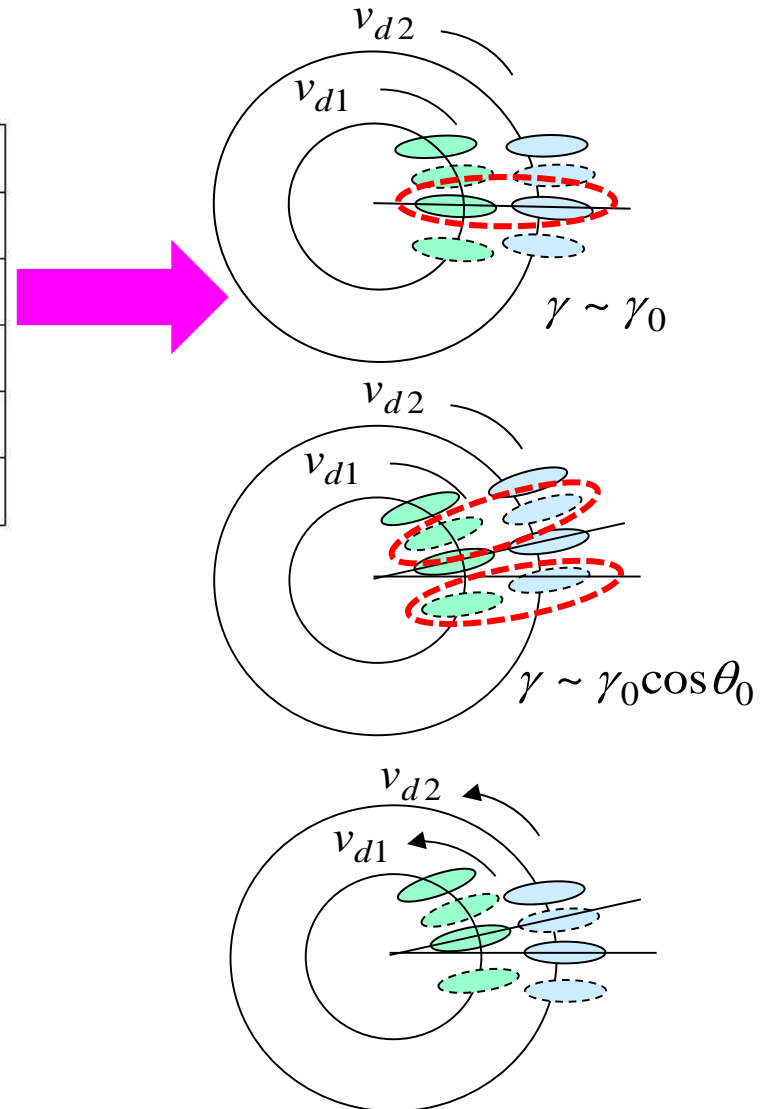
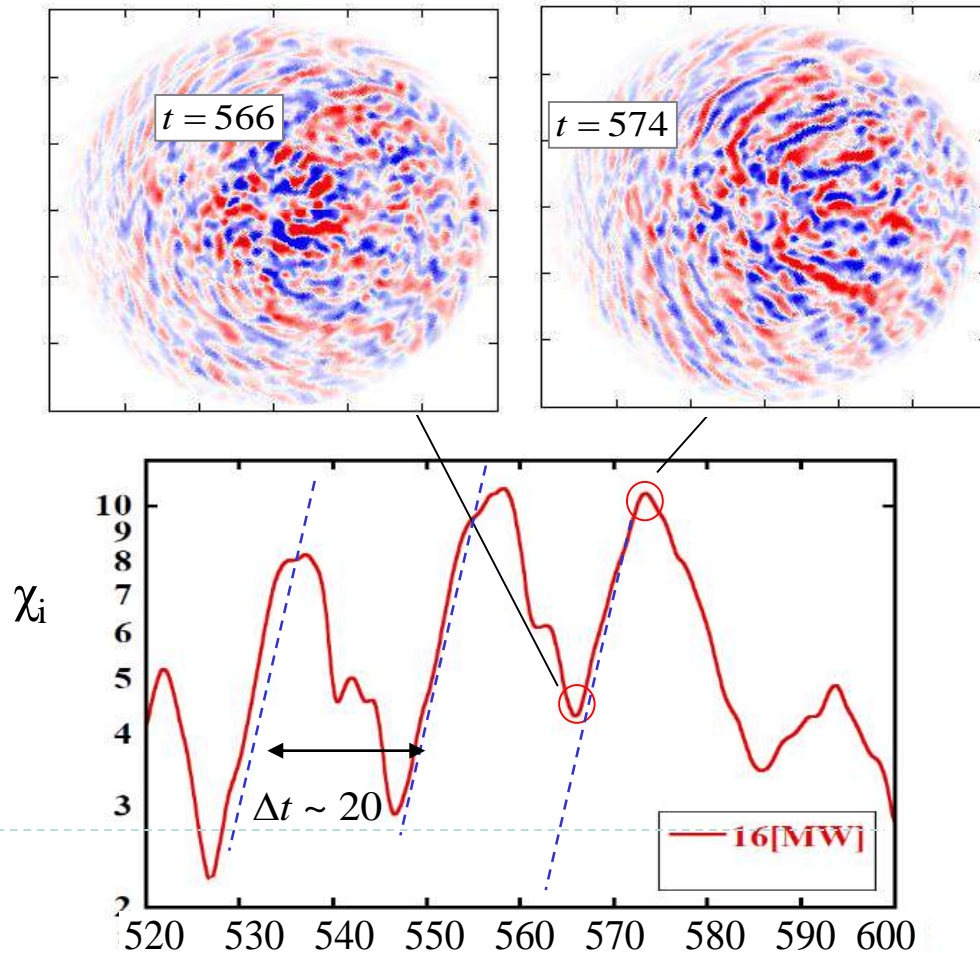
➤ Formation of radially extended global mode, leading to burst which ranges from meso- to macro-scale

Global relaxation (an origin of profile stiffness)

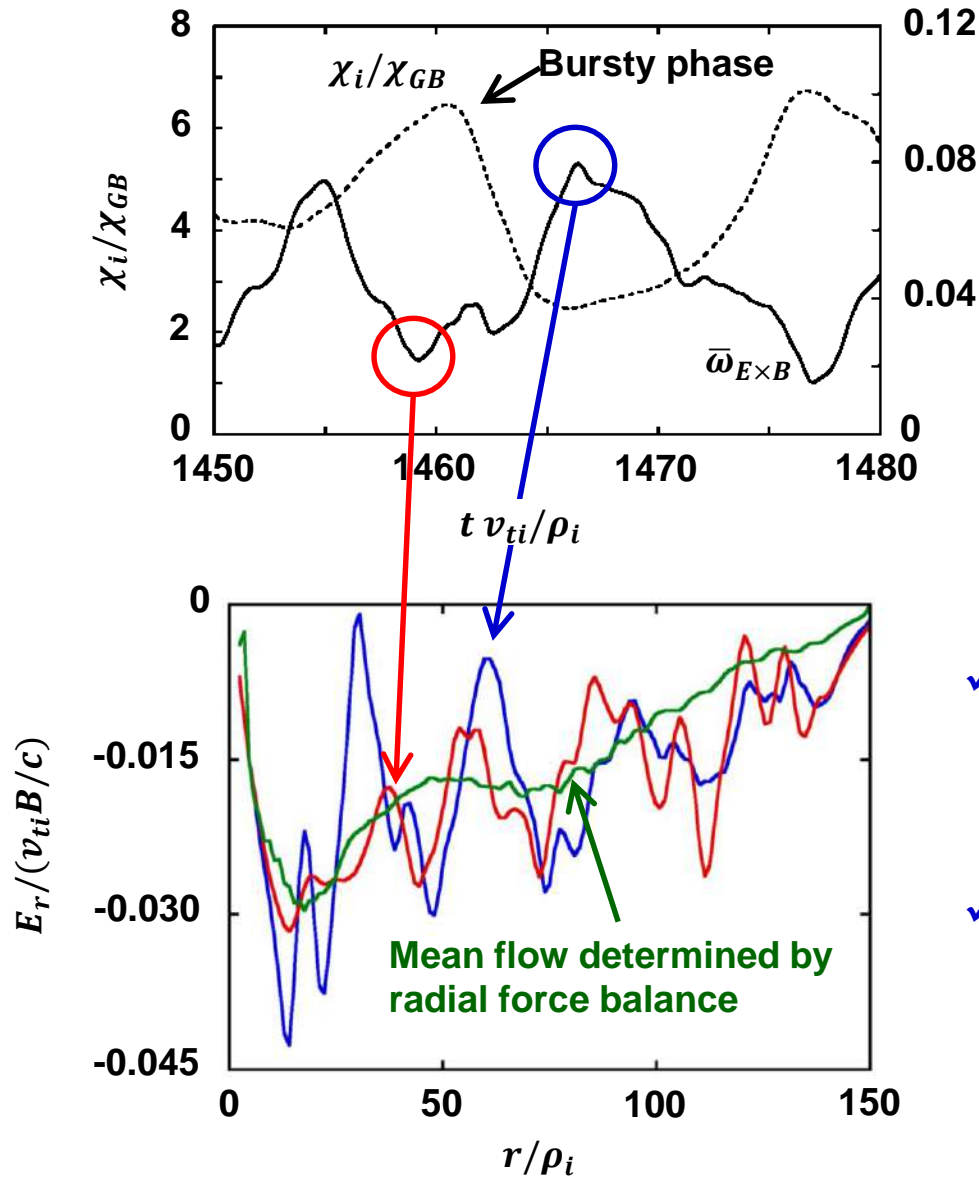


“Spontaneous phase alignment”

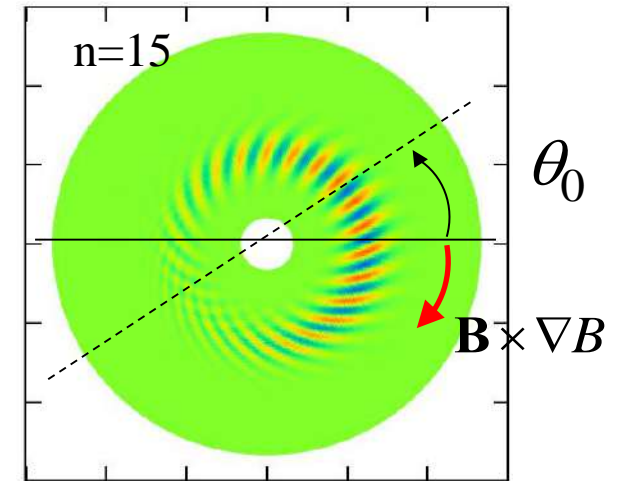
Phase alignment is established more easily when ballooning symmetry is recovered due to MF.



Effect of zonal shear flows



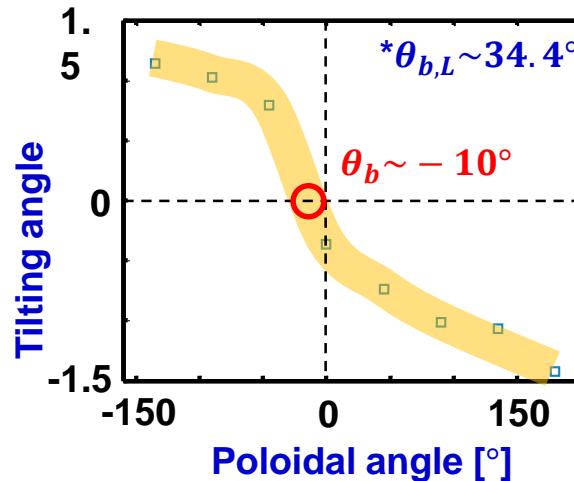
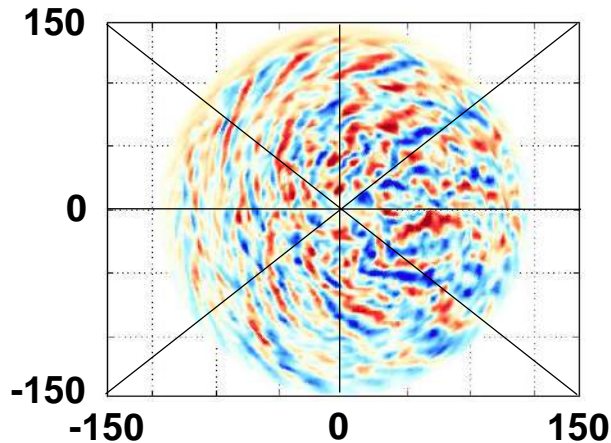
Linear ITG eigen-mode



- ✓ Among bursty phase, E_r does not work to stabilize the turbulence, as predicted in global linear theory;
- ✓ After explosive transport, meso-scale zonal flow grows to quickly disintegrates radially extended vortices.

Ballooning symmetry and profile stiffness

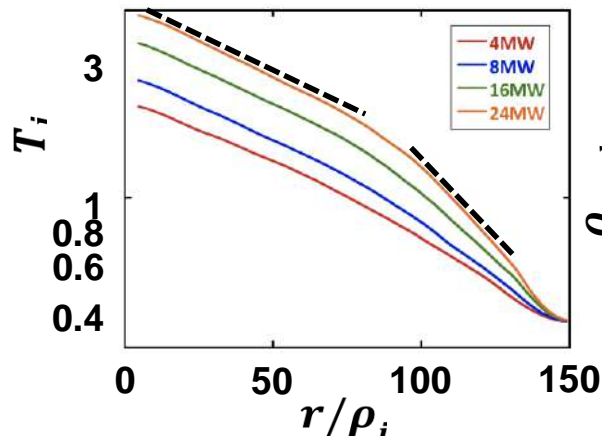
➤ 2D spatial correlation analysis (16MW)



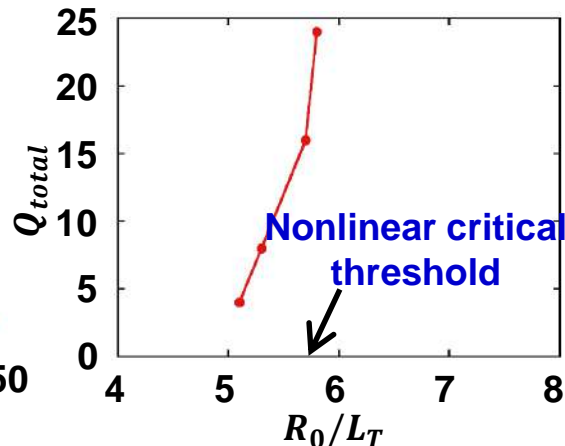
✓ Ballooning angle is smaller than that estimated from linear analysis without E_r .

➤ Profile stiffness mechanism

Time-averaged T_i profile



Gradient-Flux relation



✓ T profile stiffness may result from explosive global transport triggered by instantaneous formation of radially extended vortices, in which ballooning symmetry is recovered.

How to break profile stiffness?

$$\text{Radial force balance: } E_r + \frac{k}{e} \frac{\partial T_i}{\partial r} - \frac{rB}{qR} U_{\parallel} - \frac{1}{n_i e} \frac{\partial p_i}{\partial r} = 0$$

- ✓ Mean flow shear recovers the symmetry or weakly reverses the ballooning angle so that its stabilization effect is small.



- ✓ Toroidal rotation can change the mean flow shear through radial force balance, by which we may enhance its stabilization effect.



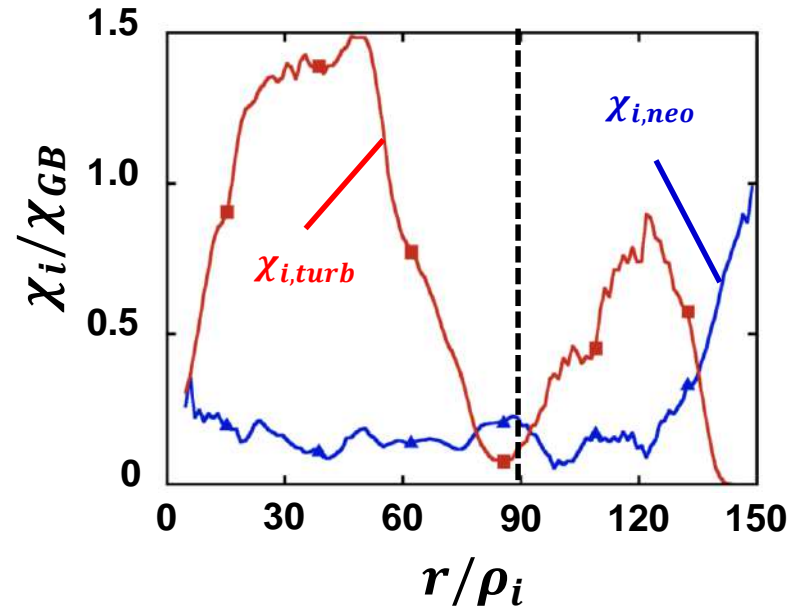
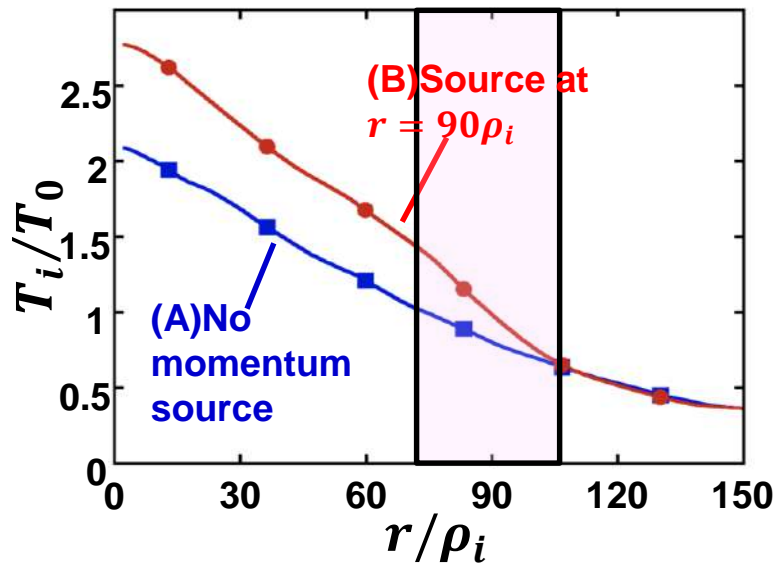
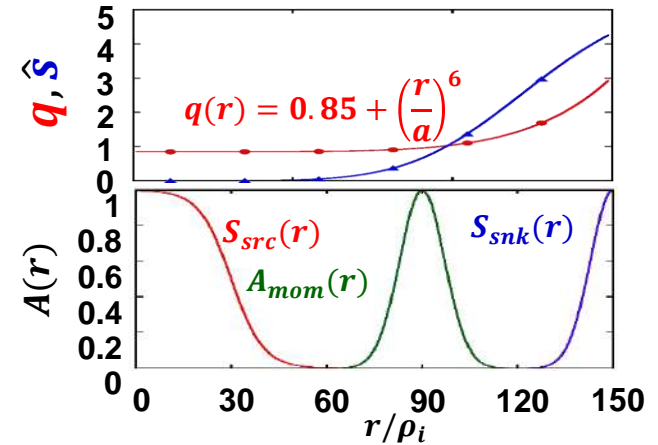
- ✓ Especially, toroidal rotation in outer region with small safety factor (weak/reversed magnetic shear) may be effective.

Flux-Driven turbulence with Momentum input

➤ Momentum source operator

$$S_M = \tau_M^{-1} A(r) [f_{LM}(n_0, 0.5v_{ti}, T_0) - f_{LM}(n_0, 0, T_0)]$$

$$f_{LM}(n, U_{\parallel}, T) = \frac{n}{\sqrt{2\pi T^3/m_i^3}} \exp\left[-\frac{0.5(v_{\parallel} - U_{\parallel})^2 + \mu B}{T/m_i}\right]$$



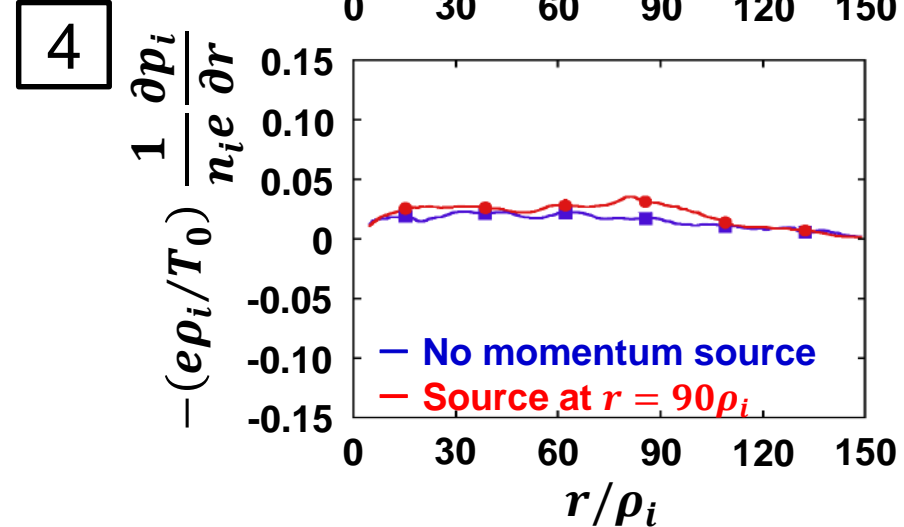
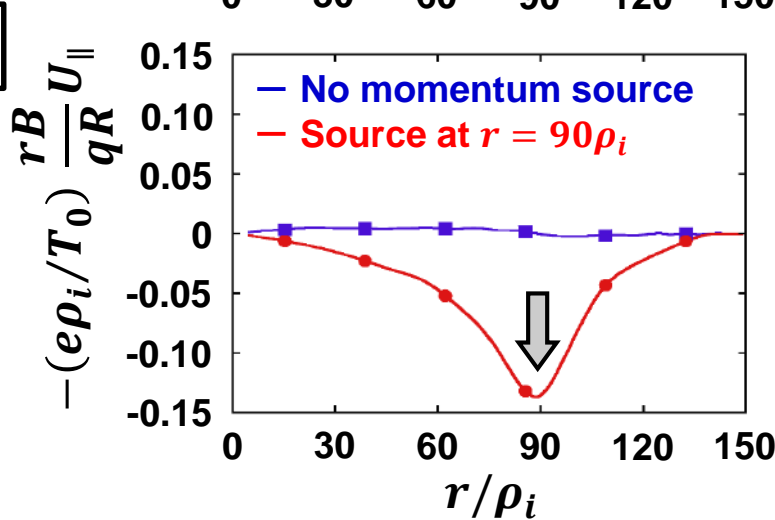
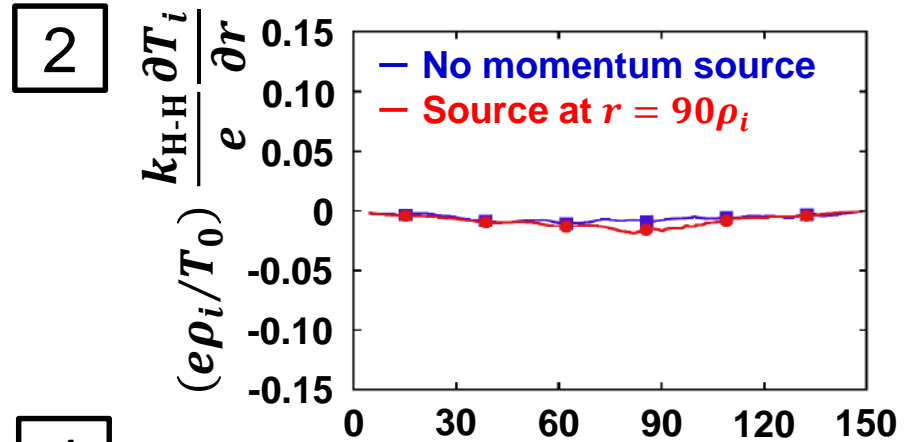
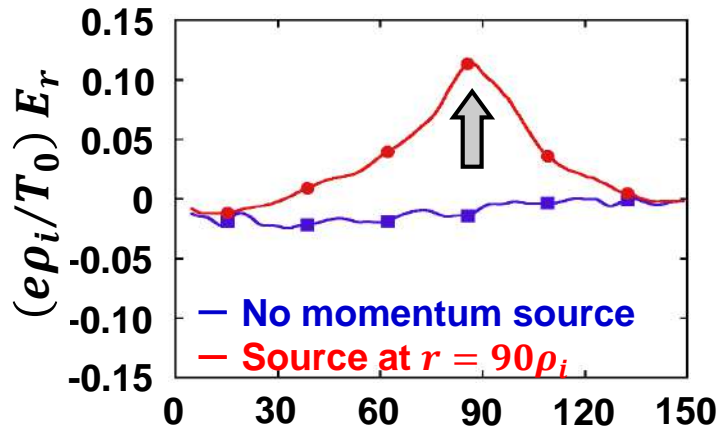
- ✓ Strong E_r shear triggered by toroidal rotation suppresses turbulence, leading to an ITB formation.

Impact of Momentum Source

Radial force balance:

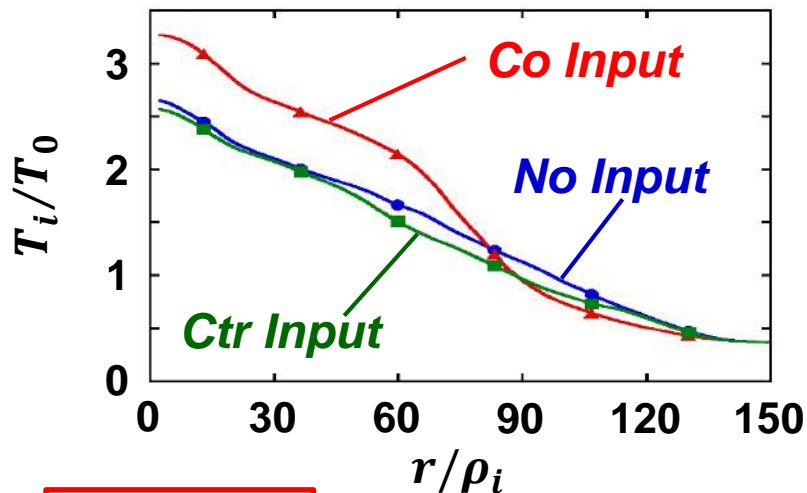
$$E_r + \frac{k}{e} \frac{\partial T_i}{\partial r} - \frac{rB}{qR} U_{\parallel} - \frac{1}{n_i e} \frac{\partial p_i}{\partial r} = 0$$

Strong correlation
↑



Effect of Rotation Direction on ITB formation

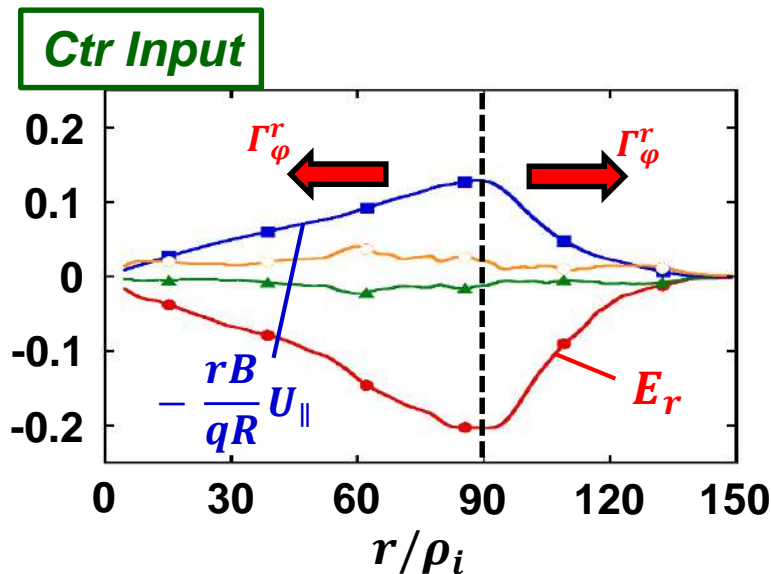
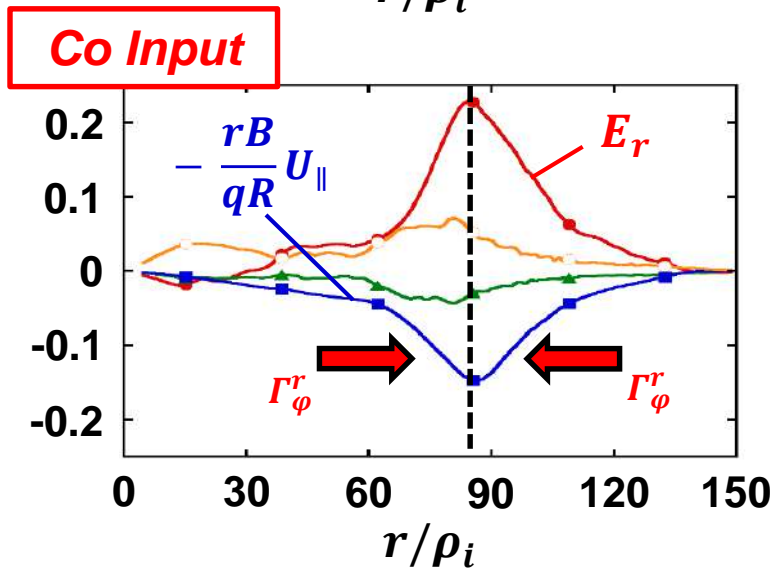
Camenen, et al. NF 2011



$$R_\phi \equiv \frac{\Gamma_\phi^r v_{ti}}{Q_i^r R_0} = \frac{2}{R/L_T} \frac{\chi_\phi}{\chi_i} \left[u' + \frac{R_0 V_{co}}{\chi_\phi} u + \frac{C^*}{\chi_\phi} \right]$$

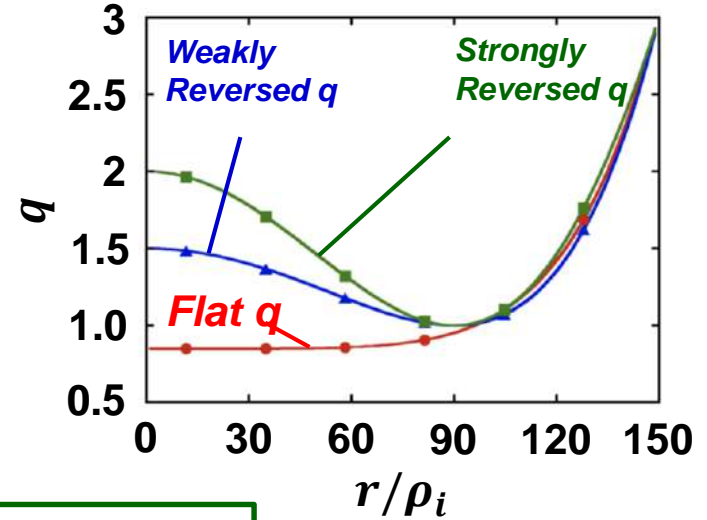
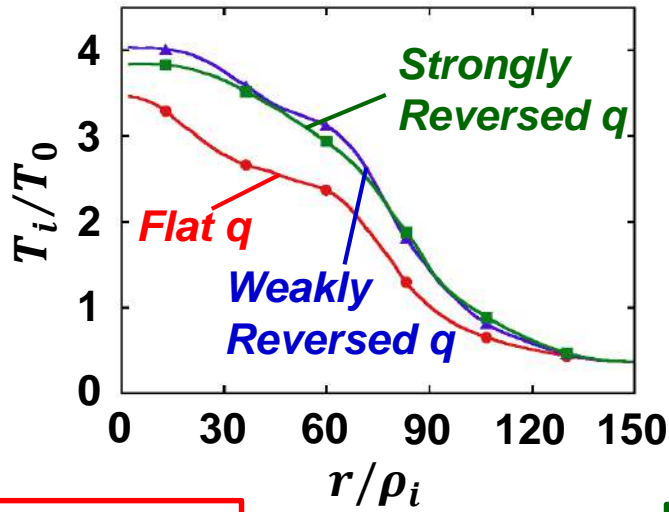
$$\frac{C^*}{\chi_\phi} \sim - \frac{\hat{s}}{2qk_\theta \rho_{ti}} \left[\frac{R_0}{L_n} + 4 \right] \theta_b$$

The diagram shows a circular cross-section of a tokamak with magnetic field lines. A blue arrow indicates 'Negative E_r shear' and a red arrow indicates 'Positive E_r shear'. A red circle highlights the angle θ_b .

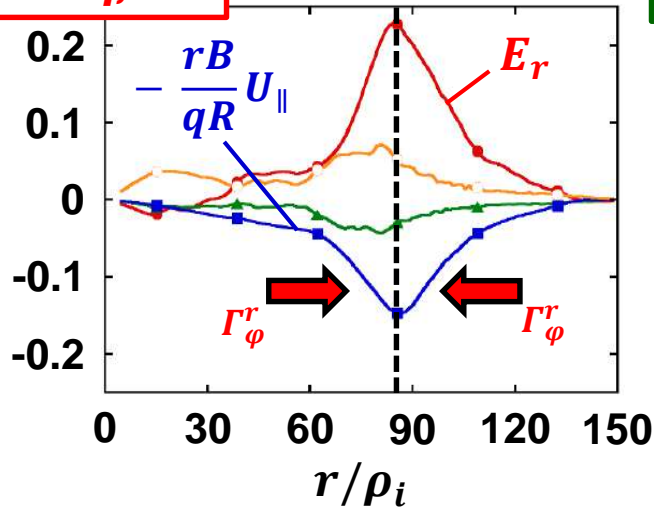


- ✓ Toroidal rotation enhances E_r shear through radial force balance;
- ✓ Co-rotation is more effective (this E_r reduces momentum diffusion).

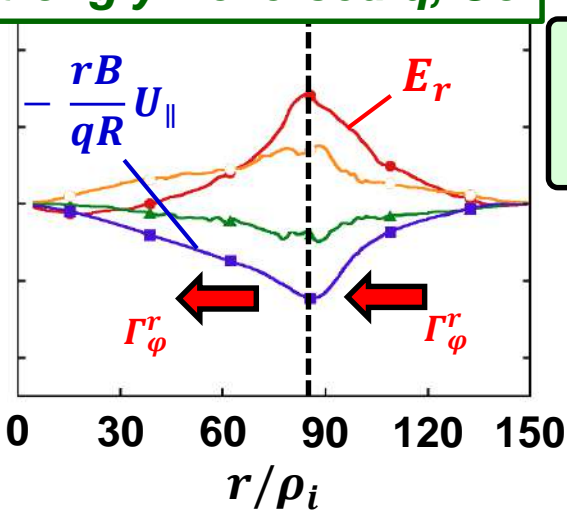
ITB formation in reversed shear plasma



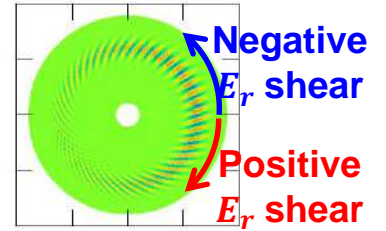
Flat q, Co



Strongly Reversed q, Co



$$\frac{C^*}{\chi_\phi} \sim - \frac{\hat{s}}{2qk_\theta \rho_{ti}} \left[\frac{R_0}{L_n} + 4 \right] \theta_0$$



✓ In the reversed magnetic shear case, peaking effect becomes weak since momentum flux in the left side becomes opposite.

Summary

- **Multi-scale phenomenon in MCF plasma is common and multi-scale turbulence is of essential importance in confinement and transport;**
- **Both gyrofluid and gyrokinetic simulations are of advantages;**
- **Reduced model for multi-scale turbulence simulation is necessary;**
Partial near-scale modeling is the first-step job and first-principle full-scale simulation is pursued.
 - ✓ **Mixed MHD-ITG scale simulation on nonlinear interaction between tearing mode (magnetic island) and micro-turbulence;**
 - ✓ **Micro-scale flow (e.g., ITG) may drive a dynamo current action to influence island dynamics;**
 - ✓ **Large magnetic island can also induce new micro-scale instability to enhance the transport**
- ✓ **Multi-scale transport processes and ITB formation are simulated in flux-driven global GK turbulence.**